

Date: Tuesday 4 August 2015
Time: 9.30am
Meeting Room: Rooms 1 and 2, Level 26
Venue: 135 Albert Street
Auckland

Council Controlled Organisations Governance and Monitoring Committee

OPEN MINUTE ITEM ATTACHMENTS

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7 July 2015

Penny Bright
waterpressure@gmail.com

Dear Penny

Local Government Official Information and Meetings Act 1987

CAS-581119-X3C2H2

Thank you for contacting Auckland Transport on 12 May 2015 requesting information regarding public subsidies and transport services.

Auckland Transport can confirm the following information as per your request:

1. Since Auckland Transport was established on 1 November 2010, on a yearly basis, please provide a detailed breakdown which shows exactly how much public subsidy has been received by each and every private passenger provider for:

- a) Buses
- b) Ferries
- c) Trains

Payments to passenger transport providers are subject to contractual arrangements between Auckland Transport and each transport operator/provider. Due to contractual confidentiality, Auckland Transport has declined this portion of your request in accordance with section 7(2)(b)(ii) of the LGOIMA in that the information is commercially sensitive and the release of this information would likely to unreasonably prejudice the commercial position of the transport operator.

2. Please provide copies of all/any 'cost-benefit' analyses, produced by Auckland Transport, consultant or contractor, or all/any other party/parties, which prove that public subsidy of private passenger transport services, is more 'cost-effective' than 'in-house' public service provision.

NZTA is a co-investor of Public Transport services together with Auckland Council. As part of NZTA's statutory function, they endorse Auckland Transport procurement strategies that are required to demonstrate Value for Money (VfM). NZTA are required to be satisfied that VfM is being delivered by the proposed strategy. Your request for this documentation is therefore declined under section 17(e) of the LGOIMA, as the information requested does not exist.

aucklandtransport.govt.nz



3. Please provide copies of all/any requests received by Auckland Transport from the Auckland Council Governing Body or any Committee of Auckland Council for ANY 'cost-benefit' analyses, produced by Auckland Transport, to ensure that public subsidy of private passenger transport services is more 'cost-effective' than 'in-house' public service provision.

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4. Please provide copies of all/any requests received by Auckland Transport from the Board of Auckland Transport for any 'cost-benefit' analyses, which prove that public subsidy of private passenger transport services is more 'cost-effective' than 'in-house' public service provision.

This portion of your request has been declined in accordance with section 17(e) of the LGOIMA as the documentation requested does not exist.

5. Please provide the information/'organisational flow chart', which shows whom exactly from Auckland Transport is responsible for awarding contracts for private passenger transport services.

Dependant on the value of the contract, financial delegations for awarding contracts for private passenger transport services are restricted to the following:

- I. Chief Executive Office
- II. Chief Strategy Officer or
- III. General Manager of AT Metro

6. Please provide the information which clearly explains the tendering process for Auckland Transport contracts for private passenger transport services.

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7. Please provide the information which shows the 'corruption risk' processes and procedures in place, in order to minimise potential 'conflicts of interest' in the awarding of contracts for private passenger transport services.

Auckland Transport's Procurement Strategy is published on the procurement pages of the AT website.

Please see the following links:

<https://at.govt.nz/about-us/procurement/>

[https://at.govt.nz/media/imported/4186/PR01-01%20\(Jul12\)%20Procurement%20Policy%20V2.pdf](https://at.govt.nz/media/imported/4186/PR01-01%20(Jul12)%20Procurement%20Policy%20V2.pdf)

[https://at.govt.nz/media/imported/4186/PR02-01%20\(Jul12\)%20Procurement%20Strategy%20V2.pdf](https://at.govt.nz/media/imported/4186/PR02-01%20(Jul12)%20Procurement%20Strategy%20V2.pdf)

We are also expecting to release a new Procurement Strategy effective from 01 July this will be published as soon as approved and will replace the current documents on our website.

The strategy details our process for tendering and both documents cover the requirements with regard to the management of conflicts of interest.

Our Procurement Conflicts of Interest Guide in the link below also outlines the requirements of our Evaluation Teams around declaring conflicts of interests and our process requires all Tender Evaluation Members to complete a Conflict of Interest Declaration prior to evaluating any tender.

<https://teams.at.govt.nz/sites/prc/ProcurementFramework/PR04-103%20%28Jan13%29%20Conflict%20of%20Interest%20Guide.docx>

8. Please provide the information which shows how Auckland Transport 'corruption risk' processes and procedures, are audited by:

- a) Auckland Council
- b) External auditors e.g. the OAG

Auckland Council does not currently audit any AT procurements. Our external auditors, Audit New Zealand do however conduct reviews in this area. Your request for this documentation is therefore declined under section 17(e) of the LGOIMA, as the information requested does not exist.

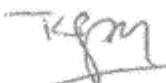
9. Please provide all/any information which proves that Auckland Transport provided to Auckland Council, alternative recommendations to fuel taxes/road tolls/rate increases.

Auckland Transport has not provided Auckland Council with any advice on alternative recommendations to fuel taxes/road tolls/rate increases. All advice to Auckland Council on transport funding options came from the Independent Advisory Body (IAB). Your request for this documentation is therefore declined under section 17(e) of the LGOIMA, as the information requested does not exist.

We trust the above information has addressed the matters raised however, should you believe that we have not responded appropriately to your request, you have the right in accordance with section 27(3) of the LGOIMA to make a complaint to the Office of the Ombudsman to seek an investigation and review in regard to this matter.

If you have any further queries, please contact me on (09) 355 3553 during business hours, quoting Local Government Official Information request number CAS-581119-X3C2H2.

Yours sincerely



Ray Day
Customer Response Manager



Contents

1. Development of the CCO Governance Manual
2. Outline of the content in the manual
3. Questions





CCO Governance Manual content

1. Introduction

- The purpose of the document and core governance principles (Leadership / Value Te Ao Māori / Value for money for all Aucklanders / Accountability / Collaboration / Transparency)

2. CCO Accountability Framework

- Council's approach to governance and the governance levers

3. Key relationships

- The approach for CCOs to work with the Governing body, Local boards, council staff, other CCOs, Māori, central government and media
- Includes no surprises protocols and issues resolution

4. Financial governance

- Alignment with LTP/Annual Plans
- Funding
- Surpluses

CCO Governance Manual content

5. Board governance

- The role of council and the board
- Board appointment and remuneration policy

6. Plans, policies, strategies and projects

- Legislative requirements
- Specific council plans, policies, strategies and projects
- Area and spatial plans

7. Māori responsiveness

- Whiria Te Muka Tangata - Māori responsiveness framework
- Te Toa Takitini (Māori Responsive High Performance Council)
- Co-governance and co-management arrangements

8. Reporting and monitoring

- Transparency and timeliness
- Continuous disclosure and no surprises
- Ad hoc reporting



CCO Governance Manual content

9. Organisational governance

- Staff engagement
- Organisational health and safety
- Business continuity plan

10. Appendices

- Templates and additional content for clarification



