
Audit and Risk Committee

OPEN MINUTES

Minutes of a meeting of the Audit and Risk Committee held in Meeting Room 1, Level 26, 135 Albert Street, Auckland on Tuesday, 24 May 2016 at 1.33pm.

PRESENT

Chairperson	Cr Bill Cashmore	
Deputy Chairperson	Mr Paul Conder, CA	
Members	Cr Cameron Brewer	
	Cr Ross Clow	
	Cr Linda Cooper, JP	
	Cr Sharon Stewart, QSM	
	Mr Roy Tiffin, FCA	
	Cr Sir John Walker, KNZM, CBE	From 1.38pm, item 10 until 2.50pm, item 15
	Cr Penny Webster	

ABSENT

Mayor Len Brown, JP
Deputy Mayor Penny Hulse

ALSO PRESENT

Cr Denise Krum	Until 2.30pm, item 13
Cr Calum Penrose	Until 2.50pm, item 15

IN ATTENDANCE

Jo Smail, Audit Director, Audit New Zealand

1 Apologies

Resolution number AUD/2016/9

MOVED by Chairperson W Cashmore, seconded by Cr LA Cooper:

That the Audit and Risk Committee:

- a) **accept the apologies from Mayor LCM Brown and Deputy Mayor PA Hulse for absence on council business.**

CARRIED

2 Declaration of Interest

There were no declarations of interest.

3 Confirmation of Minutes

Resolution number AUD/2016/10

MOVED by Chairperson W Cashmore, seconded by Member P Conder:

That the Audit and Risk Committee:

- a) **confirm the ordinary minutes of its meeting held on Tuesday, 8 March 2016 as a true and correct record.**

CARRIED

4 Petitions

There were no petitions.

5 Public Input

There was no public input.

6 Local Board Input

There was no local board input.

7 Extraordinary Business

There was no extraordinary business.

8 Notices of Motion

There were no notices of motion.

9 Review of Forward Work Programme

Resolution number AUD/2016/11

MOVED by Cr R Clow, seconded by Cr SL Stewart:

That the Audit and Risk Committee:

- a) **re-confirm the approved 2016 forward work programme, which will include the following amendments as standing items from July 2016:**
- **report from Legal Services**
 - **progress on recladding of 135 Albert Street.**

CARRIED

10 Health, Safety and Wellbeing May 2016 Update

Cr J Walker entered the meeting at 1.38pm.

Resolution number AUD/2016/12

MOVED by Cr LA Cooper, seconded by Cr R Clow:

That the Audit and Risk Committee:

- a) **receive the update report on Health, Safety and Wellbeing.**
- b) **refer this report to the Finance and Performance Committee for its consideration.**
- c) **note that this report will also be provided to all Local Boards for their information.**

CARRIED

11 Office of the Auditor-General and Audit New Zealand briefing

Resolution number AUD/2016/13

MOVED by Chairperson W Cashmore, seconded by Member R Tiffin:

That the Audit and Risk Committee:

- a) **receive the information provided by the Auditor-General's representative, Jo Smail, Audit Director, Audit New Zealand.**

CARRIED

12 Review Engagement Management Report for the six months to 31 December 2015

Resolution number AUD/2016/14

MOVED by Cr R Clow, seconded by Cr MP Webster:

That the Audit and Risk Committee:

- a) **receive the report and note the recommendations made by Audit New Zealand and the council's responses.**

CARRIED

13 Update on status of outstanding items with Audit New Zealand

Cr D Krum left the meeting at 2.30pm.

Resolution number AUD/2016/15

MOVED by Chairperson W Cashmore, seconded by Member P Conder:

That the Audit and Risk Committee:

- a) **note the progress made to resolve issues raised by Audit New Zealand.**

CARRIED

14 Targeted rates/reserves update

Resolution number AUD/2016/16

MOVED by Cr LA Cooper, seconded by Cr R Clow:

That the Audit and Risk Committee:

- a) **receive the targeted rates/reserves update report.**
- b) **agree that the Hillary Commission – Reserve Account report be provided to the Finance and Performance Committee for decision.**
- c) **request council staff to investigate appropriate signage at the Waitakere Quarry and notify the Waitakere Ranges Local Board.**

CARRIED

15 Update on enterprise risk management and insurance activities

Cr C Penrose left the meeting at 2.50pm.

Cr J Walker left the meeting at 2.50pm.

Resolution number AUD/2016/17

MOVED by Cr MP Webster, seconded by Cr R Clow:

That the Audit and Risk Committee:

- a) **receive the update on enterprise risk management activities and insurance renewal 2016-17 report.**

CARRIED

16 Consideration of Extraordinary Items

There was no consideration of extraordinary items.

17 Procedural motion to exclude the public

Resolution number AUD/2016/18

MOVED by Chairperson W Cashmore, seconded by Cr LA Cooper:

That the Audit and Risk Committee:

- a) **exclude the public from the following part(s) of the proceedings of this meeting.**
- b) **agree that Jo Smaill, Audit Director, Audit New Zealand be permitted to remain for Confidential Item C1 Update on internal audit activity after the public has been excluded, because of her knowledge of the matters being discussed, which will help the Audit and Risk Committee in its decision-making.**

The general subject of each matter to be considered while the public is excluded, the reason for passing this resolution in relation to each matter, and the specific grounds under section 48(1) of the Local Government Official Information and Meetings Act 1987 for the passing of this resolution follows.

This resolution is made in reliance on section 48(1)(a) of the Local Government Official Information and Meetings Act 1987 and the particular interest or interests protected by section 6 or section 7 of that Act which would be prejudiced by the holding of the whole or relevant part of the proceedings of the meeting in public, as follows:

C1 Update on internal audit activity

Reason for passing this resolution in relation to each matter	Particular interest(s) protected (where applicable)	Ground(s) under section 48(1) for the passing of this resolution
The public conduct of the part of the meeting would be likely to result in the disclosure of information for which good reason for withholding exists under section 7.	s7(2)(c)(ii) - The withholding of the information is necessary to protect information which is subject to an obligation of confidence or which any person has been or could be compelled to provide under the authority of any enactment, where the making available of the information would be likely to damage the public interest. In particular, the report contains financial and operational information, and details of internal audit activity which if released may jeopardise the effective delivery of internal audit services.	s48(1)(a) The public conduct of the part of the meeting would be likely to result in the disclosure of information for which good reason for withholding exists under section 7.

The text of these resolutions is made available to the public who are present at the meeting and form part of the minutes of the meeting.

CARRIED

3.08pm The public was excluded.

Resolutions in relation to the confidential items are recorded in the confidential section of these minutes and are not publicly available.

3.25pm The public was re-admitted.

RESTATEMENTS

It was resolved while the public was excluded:

C1 Update on internal audit activity

Resolution number AUD/2016/19

MOVED by Cr R Clow, seconded by Chairperson W Cashmore:

That the Audit and Risk Committee:

- a) note the progress made by Internal Audit in delivering against the internal audit programme for the year to 30 June 2016
- b) agree that this report remains confidential, but the decisions be restated in the open minutes.

3.25pm

The Chairperson thanked Members for their attendance and attention to business and declared the meeting closed.

CONFIRMED AS A TRUE AND CORRECT RECORD
AT A MEETING OF THE AUDIT AND RISK
COMMITTEE HELD ON

DATE:.....

CHAIRPERSON:.....