

2017	March	July	September	December
Focus Areas - Deep Dive	<ul style="list-style-type: none"> Health and Safety - Special Focus Risk #1 (High) 	<ul style="list-style-type: none"> City Rail Link Project Legal Risk – Core Risk #20 (High) 	<ul style="list-style-type: none"> Fraud and Corruption Risk (group) – Core Risk # 6 (High) Procurement & Supplier Management - Core Risk #15 (Mod) Programme and Project Delivery - Core Risk #2 (High) 	<ul style="list-style-type: none"> Business Disruptions – Natural Hazards (Special Focus Risk #2(High))
Risk	<ul style="list-style-type: none"> Endorsement of Risk Appetite Statement 	<ul style="list-style-type: none"> Top Risk Reporting by CCO's. Legal Risk Report Business Plan 17-18 	<ul style="list-style-type: none"> Risk Strategy 2018-20 Legal risk report 	<ul style="list-style-type: none"> Report back on Risk maturity Legal risk report
Internal Audit	<ul style="list-style-type: none"> IA 6 month Report Treaty Audit Monitoring 	<ul style="list-style-type: none"> IA Year in Review IA 3 year strategy update 2017/18 Audit Plan Business Plan 2017/18 	<ul style="list-style-type: none"> Treaty Audit Monitoring IA update report 	<ul style="list-style-type: none"> Fraud and Integrity Strategy update IA 6 month report
Insurance		<ul style="list-style-type: none"> Update on Insurance renewal programme Insurance Business Plan 	<ul style="list-style-type: none"> Insurance Strategy 	
External Reporting – Annual Report	<ul style="list-style-type: none"> APL 2017-19 2017 Audit Arrangements Engagement Letter 	<ul style="list-style-type: none"> Interim management report 2017 	<ul style="list-style-type: none"> Annual report 2017 	<ul style="list-style-type: none"> 2017 arrangements
External Reporting – Interim Financial statements		<ul style="list-style-type: none"> Review engagement management report 		<ul style="list-style-type: none"> Engagement review arrangements
External Reporting – LTP 2018-28		<ul style="list-style-type: none"> LTP Arrangements LTP progress Update 	<ul style="list-style-type: none"> LTP progress Update 	<ul style="list-style-type: none"> LTP Progress Update

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Regular Updates Reports	<ul style="list-style-type: none"> • Committee Workplan • Risk • Internal Audit • Health and safety 	<ul style="list-style-type: none"> • Committee Workplan • Risk • Internal Audit • Health and safety 	<ul style="list-style-type: none"> • Committee Workplan • Risk • Internal Audit • Health and safety 	<ul style="list-style-type: none"> • Committee Workplan • Risk • Internal Audit • Health and safety
Other	<ul style="list-style-type: none"> • OAG report (if applicable) 	<ul style="list-style-type: none"> • OAG report (if applicable) 	<ul style="list-style-type: none"> • OAG report (if applicable) 	<ul style="list-style-type: none"> • OAG report (if applicable) • Annual Report on Committee's performance

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Focus Areas – Deep Dive	<ul style="list-style-type: none"> Quality of Asset Management Planning (Infrastructure and Physical)- Core Activity Risk #4 (Mod) 	<ul style="list-style-type: none"> Central Interceptor Project Business Disruptions – Inadequate IT environment to support Corporate Strategy – Special Focus Risk #4 (Mod) 	<ul style="list-style-type: none"> Privacy and Confidentiality – Core Risk #7 (Mod) Group Risk and mitigations 	<ul style="list-style-type: none"> Business Disruptions (Information and Cyber) – Special Focus Risk #3 (Mod)
Risk	<ul style="list-style-type: none"> Update on Champions Programme Legal Risk report 	<ul style="list-style-type: none"> Report on Focus areas from Audit findings Business Plan 18-19 Legal Risk report 	<ul style="list-style-type: none"> Legal risk report 	<ul style="list-style-type: none"> Report on Risk Maturity Legal Risk report
Internal Audit	<ul style="list-style-type: none"> Treaty Audit Monitoring 	<ul style="list-style-type: none"> IA Year in Review IA 3 year strategy update 2018/19 Audit Plan Business Plan 2018/19 	<ul style="list-style-type: none"> Treaty Audit Monitoring 	<ul style="list-style-type: none"> Fraud and Integrity Strategy update IA 6 month report
Insurance	<ul style="list-style-type: none"> Insurance Risk Profiling 	<ul style="list-style-type: none"> Report on insurance renewal programme Business Plan 18-19 		
Annual Report		<ul style="list-style-type: none"> Interim management report 2018 	<ul style="list-style-type: none"> 2018 Annual Report 	<ul style="list-style-type: none"> 2019 Arrangements
Interim financial Statements	<ul style="list-style-type: none"> Dec 2017 Interim Financial Statements 	<ul style="list-style-type: none"> Review engagement management report Dec 2017 		<ul style="list-style-type: none"> Review arrangements Dec 2018
LTP 2018-28 / Annual Plan	<ul style="list-style-type: none"> 2018-28 LTP (Final) 	<ul style="list-style-type: none"> 2018-28 LTP Management Report 		<ul style="list-style-type: none"> Update on 2019/20 Annual Plan

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Regular Update Reports	<ul style="list-style-type: none"> • Committee workplan • Risk • Internal Audit • Health and safety 	<ul style="list-style-type: none"> • Committee workplan • Risk • Internal Audit • Health and safety 	<ul style="list-style-type: none"> • Committee workplan • Risk • Internal Audit • Health and safety 	<ul style="list-style-type: none"> • Committee workplan • Risk • Internal Audit • Health and safety
Other	<ul style="list-style-type: none"> • OAG report (if applicable) 	<ul style="list-style-type: none"> • OAG report (if applicable) 	<ul style="list-style-type: none"> • OAG report (if applicable) 	<ul style="list-style-type: none"> • OAG report (if applicable) • Annual Report on Committee's performance

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Focus Areas – Deep Dive	<ul style="list-style-type: none"> 2019 LG Elections – management of risks - special Focus Risk #9 (Mod) 	<ul style="list-style-type: none"> Council Governance – special Focus Risk #7 (Mod) 		<ul style="list-style-type: none">
Risk	<ul style="list-style-type: none"> Legal Risk report 	<ul style="list-style-type: none"> Review against targets Business Plan 19-20 Legal Risk report 	<ul style="list-style-type: none"> Legal Risk report 	<ul style="list-style-type: none"> Report on Risk Maturity Legal Risk report
Internal Audit	<ul style="list-style-type: none"> Treaty Audit Monitoring 	<ul style="list-style-type: none"> IA Year in Review IA 3 year strategy update 2019/20 Audit Plan Business Plan 2019/20 	<ul style="list-style-type: none"> Treaty Audit Monitoring 	<ul style="list-style-type: none"> Fraud and Integrity Strategy update IA 6 month report
Insurance	<ul style="list-style-type: none"> Insurance risk profiling 	<ul style="list-style-type: none"> Business Plan 19-20 Report on Insurance renewal programme 		
Annual Report		<ul style="list-style-type: none"> Interim management report 2019 	<ul style="list-style-type: none"> 2019 Annual Report 	<ul style="list-style-type: none"> 2020 Arrangements
Interim financial Statements	<ul style="list-style-type: none"> Dec 2018 Interim Financial Statements 	<ul style="list-style-type: none"> Review engagement management report Dec 2018 		<ul style="list-style-type: none"> Review arrangements Dec 2019
LTP 2018-28 / Annual Plan	<ul style="list-style-type: none"> 2019/20 Annual Plan (final) 			<ul style="list-style-type: none"> 2020/21 Annual Plan (draft)

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