

FINANCE AND PERFORMANCE COMMITTEE FORWARD WORK PROGRAMME 2017

The purpose of the Committee is to control and review expenditure across the Group to improve value for money; to monitor the overall financial management and performance of Auckland Council parent and Auckland Council Group; to make financial decisions required outside the annual budgeting processes and to perform the responsibilities of another committee, where it is necessary.

<p>Priorities for 2017 will be on initiatives which:</p> <p>1.</p>	<p>The work of the committee will:</p> <p>1.</p>
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Priority #	Lead <small>This column is not for inclusion in the public agenda</small>	Area of work	Reason for work	Finance and Performance Committee role <small>(decision or direction)</small>	Budget/ Funding	Expected timeframes <small>Highlight financial year quarter and state month if known</small>			
						FY17		FY18	
						Jan-Mar	Apr-Jun	Jul-Sep	Oct-Dec

Strategic Reviews: Annual Plan Development

		Rating and funding policy	<ul style="list-style-type: none"> • UAGC • Interim transport levy (status quo) • Growth Infrastructure Targeted Rates • Visitor Levy • Business rates differentials • Local Board targeted rates 	<p>Recommend changes for Mayoral Proposal</p> <p>Decide on Mayoral Proposal for inclusion in consultation document</p>		Q1	Q2	Q3	Q4
		Expenditure Review	<ul style="list-style-type: none"> • Key focus areas for net cost reduction • Identifying programmes for Maori outcomes • Local board advocacy • Capex review 	<p>Recommend changes for Mayoral Proposal</p> <p>Decide on Mayoral Proposal for inclusion in consultation document</p>		Q1	Q2	Q3	Q4
		Annual Plan process	<p>Statutory process</p> <ul style="list-style-type: none"> • Consultation process – including hearings for community to be heard, and local board engagement meetings (approach to communication of investments in LB areas to be considered) • Decision-making for Annual Plan • Annual plan adoption 	<p>Agree consultation items</p> <p>Adopt consultation documents and supporting material and recommend to Governing Body</p> <p>Adopt local board agreements</p> <p>Adopt annual plan and set rates and recommend to Governing Body</p>		Q1	Q2	Q3	Q4
		Growth Infrastructure Targeted Rates, Development Contributions, Depreciation and Transaction Services	<ul style="list-style-type: none"> • Growth Infrastructure Targeted Rates – further exploration of options, outcomes and impacts • Development Contributions – how do these really work (also need to explain Infrastructure Growth Charges). • Depreciation – analysis of our depreciation profile, path to full cash funded depreciation circa 2022 and implications • Transaction Services – non-rates debt and steps towards shared services 	<p>Update</p> <p>Recommend changes for Mayoral Proposal</p> <p>Decide on Mayoral Proposal for inclusion in consultation document</p>		Q1	Q2	Q3	Q4

		Alternative financing sources for council	Need to consider the EY and Cameron Partners reports in detail including through a confidential workshop(s) to look at revenue, sales of assets, infrastructure and different forms of taxation	Direction to LTP preparation		Q1	Q2	Q3	Q4
Monitoring									
		Bi-monthly business improvements and performance report	To monitor group performance against non-financial measures	Receive generally no decisions		Q1	Q2	Q3	Q4
		Auckland Council group quarterly financial results	To monitor Auckland Council financial performance	Receive generally no decisions		Q1	Q2	Q3	Q4
		CCO financial monitoring (separate from governance or operational issues)	To monitor more closely CCO financial management	Receive validate/challenge		Q1	Q2	Q3	Q4
		Maori Transformational Activity and Expenditure Report	To monitor progress on expenditure and delivery of Maori transformational activity	Receive generally no decisions		Q1	Q2	Q3	Q4
		Te Tiriti O Waitangi Audit Response Work Programme	To monitor progress in responding to 3 yearly Te Tiriti O Waitangi audit	Receive generally no decisions		Q1	Q2	Q3	Q4
		NewCore Project Update Report	Risk management – Update on progress from March 2017	Receive generally no decisions		Q1 (Mar)	Q2 (Jun)	Q3 (Aug)	Q4
		Major programme monitoring – to be developed. Could include monitoring of complex programmes such as the Southern Initiative, City Centre Integration Programme, consideration of and changes to “unlock” areas and ATEED work programme	Risk management	Receive and make decisions on changes to “unlock” areas		Q1	Q2	Q3	Q4
		Review of non-rateable land	Programme required to ensure Council’s policies are being implemented consistently	Decision on whether or not land should be rated		Q1	Q2	Q3	Q4
		Information and Communication Technology (ICT)	Update on Progress from paper submitted to Finance and Performance Committee March 2016	Monitor efficiencies and savings		Q1 (Mar)	Q2	Q3	Q4

		Annual Report / half year reports	Statutory requirement	Recommend to Governing Body		Q1	Q2	Q3	Q4
Acquisitions and disposals									
		Recommended disposals or acquisitions (taking care to consider LB input)	Panuku Development Auckland is tasked with identifying council owned land that is surplus to requirements.	Decision to proceed with recommended disposals or acquisitions.		Q1	Q2	Q3	Q4
OTHER FINANCIAL DECISIONS									
		Auckland Regional Amenities Levy	Statutory process	Decision to approve submission on draft Funding Plan Decision to approve levies		Q1	Q2	Q3	Q4
		MOTAT and Auckland War Memorial Museum Levy	Statutory process	Decision to approve levies		Q1 (Mar)	Q2	Q3	Q4
		Significant unbudgeted expenditure (one-off)	Financial management	Decision to approve or refer to AP/LTP process		Q1	Q2	Q3	Q4
		Budget Update	Financial management	Decision to agree recommended budget changes		Q1	Q2	Q3	Q4
		Loan write-offs and restructuring	Responding to proposals and recommendations	Decision to approve proposed write-offs and restructuring		Q1	Q2	Q3	Q4
		Development contributions funding area review	Work request by committee	Decision on whether to amend current DC policy		Q1	Q2	Q3	Q4
		Review of existing community loans and guarantees, consideration of new applications and development of a policy framework	Existing arrangements have been entered into without clear policy direction	Decision on whether Council should continue, change or exit from existing arrangements		Q1 (Feb)	Q2	Q3	Q4
		Consideration and adoption of Group policies for Treasury, Insurance and Procurement	Mayoral Office request	Decision on whether to amend current policy		Q1 (Feb)	Q2	Q3	Q4
		Consideration and adoption of Group policies relating to	Mayoral Office request	Decision on whether to amend current policy		Q1 (Feb)	Q2	Q3	Q4

		Business Cases							
		Consideration and adoption of Group policies for Employee Expenses, Gifts and Hospitality Declarations	Mayoral Office request	Decision on whether to amend current policy		Q1 (Feb)	Q2	Q3	Q4
		Value for Money Review Programme	Section 17A requirement	Decision to agree principles, priorities and terms of reference.		Q1 (March)	Q2	Q3	Q4

Annual Plan Work Proposed Programme		Proposed 2018 Long Term Plan Schedule	
15 November 2016 workshop	Budget background, process and timing	Feb – Nov 2017	Process for early discussions on direction, priorities and prioritisation (within this time period) is currently being prepared and will require Mayoral advice and approval.
22 November 2016 workshop	Discussion with LB Chairs Budget background/local board support	13 March 2017 workshop	Alternative Sources of Financing
30 November 2016 meeting	Mayoral Proposal	Dec 2017	Audit of consultation material
8 December 2016 workshop	Discuss consultation items	Dec 2017	Consultation Document adopted, including local content
13 December 2016 workshop	Agree consultation items	Late Jan – Mar 2018	Public Consultation
9 February 2017	GB Adopt consultation document and supporting information (GB workshop 2 Feb)	Mar – Apr 2018	Processing of consultation feedback and briefing of elected members
27 February - 27 March 2017	Public consultation	Apr – May 2018	Budget discussions and decision-making (both locally and regionally)
9 March 2017 workshop	ICT, Growth Infrastructure Targeted Rates, Development Contributions and Depreciation.	May - June 2018	Audit of Long-term Plan
April 2017 workshops	Consultation feedback briefing Budget update	June 2018	Adoption of Long-term Plan, including Local Board Agreements