

Finance and Performance Committee - Forward Work Programme to June 2017

Project or Programme	Reason for work	Decisions required	F&P Delegations	Timing (if known)	
Strategic Reviews: Annual Plan Development					
1.1	Rating and funding policy <ul style="list-style-type: none"> • UAGC • Interim transport levy (Status quo) • Growth Infrastructure Targeted Rates • Visitor Levy • Business rates differentials • Local Board targeted rates 		<ul style="list-style-type: none"> • Recommend changes for Mayoral Proposal • Decide on Mayoral Proposal for inclusion in consultation document 	Delegations include development of the 2017/2018 Annual Plan and amendments to the LTP including financial policy	See end of table for programme
1.2	Expenditure review: <ul style="list-style-type: none"> • Key focus areas for net cost reduction • Identifying programmes for Maori outcomes • Local board advocacy • Capex review 		<ul style="list-style-type: none"> • Recommend changes for Mayoral Proposal • Decide on Mayoral Proposal for inclusion in consultation document 		See end of table for programme
1.3	Annual Plan process <ul style="list-style-type: none"> • Consultation process – including hearings for community to be heard, and local board engagement meetings (approach to communication of investment in LB areas to be considered) • Decision-making for Annual plan • Annual plan adoption 	Statutory process	<ul style="list-style-type: none"> • Agree consultation items • Adopt consultation document and supporting material (recommend to GB) • Adopt local board agreements • Adopt annual plan and set rates (recommend to GB) 	Delegations include development of the 2017/2018 Annual Plan and amendments to the LTP including preparation of the consultation document and supporting information for the LTP and Annual Plan (recommendation to the Governing Body)	See end of table for programme
1.4	Growth Infrastructure Targeted Rates, Development Contributions, Depreciation and Transaction Services	<ul style="list-style-type: none"> • Growth Infrastructure Targeted Rates – further exploration of options, outcomes and impacts. • Development Contributions – how do these really work (also need to explain Infrastructure Growth Charges). • Depreciation – analysis of our depreciation profile, path to full cash funded depreciation circa 2022 and implications. • Transaction Services – Non-rates debt and steps towards shared services. 	<ul style="list-style-type: none"> • Update • Recommend changes for Mayoral Proposal • Decide on Mayoral Proposal for inclusion in consultation document 		See end of table for programme
1.5	Alternative financing sources for council	Need to consider the EY and Cameron Partners reports in detail including through a confidential workshop(s) to look at revenue, sales of assets, infrastructure and different forms of taxation	<ul style="list-style-type: none"> • Input to LTP preparation 		See end of table for programme

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Monitoring					
2.1	Bi-monthly business improvement and performance report	To monitor group performance against non-financial measures	Receive – generally no decisions	The committee is responsible for monitoring achievement of financial and other measures of performance and service levels	Bi-monthly
2.2	Auckland Council group quarterly financial results	To monitor Auckland Council financial performance	Receive – generally no decisions		Quarterly
2.3	CCO financial monitoring (separate from governance or operational issues)	To monitor more closely CCO financial management	Receive – validate/challenge		Quarterly
2.4	Maori Transformational Activity and Expenditure Report	To monitor progress on expenditure and delivery of Maori transformational activity	Receive – generally no decisions		Quarterly
2.5	Te Tiriti O Waitangi Audit Response Work Programme	To monitor progress in responding to 3 yearly Te Tiriti O Waitangi audit	Receive – generally no decisions		Quarterly
2.6	NewCore Project Update report	Risk management Update on progress from March 2017	Receive – generally no decisions		March 2017, June 2017 with closeout report in August 2017
2.7	Major programme monitoring – to be developed. Could include monitoring of complex programmes such as the Southern Initiative, City Centre Integration Programme, consideration of and changes to “unlock” areas and ATEED work programme.	Risk management	Receive and make decisions on changes to “unlock” areas		TBC
2.8	Review of non-rateable land	Programme required to ensure Council’s policies are being implemented consistently	Whether or not land should be rated		Quarterly
2.9	Information and Communication Technology (ICT)	Update on progress from paper submitted to Finance and Performance Committee March 2016	Monitor efficiencies and savings.		Updated at Workshop on 11 May 2017
2.10	Annual Report / half year reports	Statutory requirement	Recommend to Governing Body		The committee delegations include recommending the Annual Report to the Governing Body
Acquisitions and disposals					
3.1	Recommended disposals or acquisitions (taking care to consider LB input)	Panuku Development Auckland is tasked with identifying council owned land that is surplus to requirements.	Agree to proceed with recommended disposals or acquisitions	Delegations include “Acquisition and disposal of property related to the Committee’s responsibilities.” Note – this includes parks acquisitions in excess of delegations of Environment and Community Committee	Monthly

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Other Financial Decisions					
4.1	Auckland Regional Amenities Levy	Statutory process	<ul style="list-style-type: none"> Approve submission on draft Funding Plan Approve levies 	Delegations include development of the 2017/18 Annual Plan which includes setting levies	
4.2	MOTAT and Auckland War Memorial Museum Levy	Statutory process	<ul style="list-style-type: none"> Approve levies 	Delegations include development of the 2017/18 Annual Plan which includes setting levies	March 2017
4.3	Significant unbudgeted expenditure (one-off)	Financial management	<ul style="list-style-type: none"> Approve or refer to AP/LTP process 	The committee is responsible for financial management including approval of non-budgeted expenditure	As required
4.4	Budget update	Financial management	<ul style="list-style-type: none"> Agree recommended budget changes 	The committee is responsible for financial management including approval of non-budgeted expenditure	As required – usually monthly
4.5	Loan write-offs and restructuring	Responding to proposals and recommendations	<ul style="list-style-type: none"> Approve proposed write-offs and restructuring 	Committee delegations include write-offs	As required
4.6	Development contributions funding area review	Work requested by committee	<ul style="list-style-type: none"> Decide whether to amend current DC policy 	Committee delegations include financial policy outside of the AP/LTP	
4.7	Review of existing community loans and guarantees, consideration of new applications and development of a policy framework	Existing arrangements have been entered into without clear policy direction	<ul style="list-style-type: none"> Whether Council should continue, change or exit from existing arrangements 	The committee is responsible for establishing and managing a structured approach to the approval of non-budgeted expenditure (including grants, loans or guarantees)	February 2017
4.8	Consideration and adoption of Group policies for Treasury, Insurance and Procurement	Mayoral Office request	<ul style="list-style-type: none"> Decide whether to amend current policy 	The committee is responsible for approving the financial policy of the Council parent organisation	February 2017
4.9	Consideration and adoption of Group policies relating to Business Cases.	Mayoral Office request	<ul style="list-style-type: none"> Decide whether to amend current policy 	The committee is responsible for approving the financial policy of the Council parent organisation	February 2017
4.10	Consideration and adoption of Group policies for Employee Expenses, Gifts and Hospitality Declarations.	Mayoral Office request	<ul style="list-style-type: none"> Decide whether to amend current policy 	The committee is responsible for approving the financial policy of the Council parent organisation	February 2017
4.11	Value for Money Review Programme	Section 17A requirement	<ul style="list-style-type: none"> Agree principles, priorities and terms of reference. 	Overseeing and making decisions relating to an ongoing programme of service delivery reviews, as required under section 17A of the Local Government Act 2002.	February 2017

Annual Plan Work Proposed Programme	
15 November 2016 workshop	Budget background, process and timing
22 November 2016 workshop	Discussion with LB Chairs Budget background/local board support
30 November 2016 meeting	Mayoral Proposal
8 December 2016 workshop	Discuss consultation items
13 December 2016 workshop	Agree consultation items
9 February 2017	GB Adopt consultation document and supporting information (GB workshop 2 Feb)
27 February - 27 March 2017	Public consultation
9 March 2017 workshop	Growth Infrastructure Targeted Rates, Development Contributions, Depreciation and Transaction Services.
April 2017 workshops	Consultation feedback briefing Budget update

Proposed 2018 Long Term Plan Schedule	
Feb – Nov 2017	Process for early discussions on direction, priorities and prioritisation (within this time period) is currently being prepared and will require Mayoral advice and approval.
13 March 2017 workshop	Alternative Sources of Financing
Dec 2017	Audit of consultation material
Dec 2017	Consultation Document adopted, including local content
Late Jan – Mar 2018	Public Consultation
Mar – Apr 2018	Processing of consultation feedback and briefing of elected members
Apr – May 2018	Budget discussions and decision-making (both locally and regionally)
May - June 2018	Audit of Long-term Plan
June 2018	Adoption of Long-term Plan, including Local Board Agreements

