

Attachment B

Treaty Audit Register

Action Group	Treaty Audit Rec	Treaty Audit 2015 Recommendation (some have been summarised)	Council Projects	Due (and revised date)	Action Group closed?
1	1, 3, 38, 41, 62, 65	An independent review of the pilots should be undertaken to inform planning. A project plan be developed setting out what MRPs will be completed, and when, within each 12 month period. Prior to finalisation, MRPs should be subject to specialist review from legal (from a legislative obligation perspective), internal audit (from a controls perspective) and TWA (from a Māori perspective) Templates should be developed and shared	Department/ CCO Māori Responsiveness Plans	Apr 16 (Jun 16)	Closed
2	6	The communications around the Significance and Engagement Policy have been completed.	Significance and Engagement Policy	Dec 15 (March 17)	Closed
3	8	To ensure a consistent approach to partnership across the various departments in Council the Governance Statement, to affirm Council's position on partnership with Māori, should be updated The refresh of the Auckland Plan should ensure that it accurately reflect Council's affirmation of partnership with Maori as set out in the Governance Statement.	Governance Statement and Auckland Plan Refresh	Sept 15 (Jun 18)	1 of 2 criteria closed
4	9, 22, 23, 35	The policy for Mana Whenua participation in natural resource management, including the development of strategic and implementation policy guidance to ensure meaningful engagement of Mana Whenua in natural resource decision making, should be completed.	Kaitiakitanga/Mana whenua participation in resource consents	Jun 16 (Jun 18)	No criteria closed
5	10	The Te Reo Framework and the Māori Place Names Policy currently under development should be finalised, after engaging with CCOs and Local Boards to ensure a consistent approach.	Te Reo Māori Framework	Oct 15 (Sept 17)	1 of 2 criteria closed
6	12	The Taonga Management Policy should be finalised: The policy should include principles, rules and guidelines to direct the Council's actions with regard to the management of	Taonga Management Policy	Mar 16 (Sept 17)	No criteria closed

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		taonga, to ensure it is properly cared for, used, accessed and protocols respected.			
7	13	Clear practice guidance should be developed for the protection and management of sensitive information from Māori. This should include principles, rules and guidelines to direct the Council's actions with regard to the protection and management of sensitive information from Māori.	Protection of sensitive information	Aug 16 (Jun 18)	No criteria closed
8	14	Council should clarify the principles, rules and guidelines to direct its actions to ensure the effective management of existing treaty settlement requirements, as well as new settlement requirements and their impact on existing policies/processes.	Treaty of Waitangi Settlements Implementation	Jul 16	Closed
9	24	The Hearings Policy should be updated to recognise and provide for effective participation of Māori and the inclusion of tikanga Māori and Te Reo Māori where appropriate.	Hearings Policy	Sep 15	Closed
10	25, 26, 29, 52, 54	Knowledge management framework drafted to share information to assist Māori input into decision-making and for cross council information sharing with relevant accurate and up-to-date information	Māori Information Portal	Jun 18	No criteria closed
11	30	Consideration of Māori values, interests and priorities should be addressed as part of policy development and improvements driven from the Quality Policy Advice initiative, in particular the thinking behind the Māori Impact statements which is as important as the statement itself.	Quality Māori Responsiveness Advice	Jan 16 (Sept 17)	1 of 2 criteria closed
12	31	Processes to support the effective management of Memorandums of Understanding, service agreements and co-management/ co-governance agreements with Iwi should be developed. These should align to policies over these areas.	Relationship Agreements	Dec 16 (Sept 17)	Not closed
13	33	Recommendations to address the low numbers of Māori cadet, interns and staff generally. Council should refine and finalise the Māori Employment Strategy, the Recruitment Strategy, and the underlying frameworks.	Māori Capability and Employment	Jun 16 (Sept 17)	No criteria closed
14	34	The TWA and Resource Consents teams should work together with Mana Whenua to establish monitoring processes for consultation and engagement around	Mana Whenua Participation in Resource Consents	Dec 16 (June 18)	No criteria closed

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		consents.			
15	39	A relationship framework between Council and Māori at a chief-to-chief, governance, service design and operational level across Council should be finalised. This relationship should be reflected in any Memorandum of Understanding or capacity contract that is established between Council and Māori.	Relationship Agreements	Dec 16	Closed
16	40	To clarify who Mataawaka are, a schedule of organisations that can be used to consult with Mataawaka, and what their role is with regard to Council decision making and consultation should be developed. A Māori Communications and Engagement Strategy should be developed	Māori Communication Strategy	Dec 15 (Sept 17)	No criteria closed
17	44, 61	TWA should establish a mechanism to share examples of current good initiatives with the wider organisation, Local Boards and CCOs to promote awareness of the various ways to up skill staff and increase awareness of Māori aspects.	Good Practice Benchmarking	Jul 16	Closed
18	46, 47	The establishment of the Kaitiaki Forum should be completed and its purpose clearly communicated. Complete the Māori Engagement Calendar.	Regional Mana Whenua Kaitiaki Forum	Dec 16 (Sept 17)	Not closed
19	57	The remaining actions in the Capacity Building project should be completed. The Mataawaka Communication and Education Programme should be developed in consultation with TWA and Iwi.	Capacity Building & Māori Education Plan	Jul 16 (June 18)	Not closed
20	58	Council to review and respond to the Cultural Impact Assessment project working group issues and recommendations report, including the recommendation to include responding to the weekly applications register and Cultural Impact Assessments in the capacity contracts with Iwi.	Mana Whenua Participation in Resource Consents	Jul 16 (June 18)	No criteria closed
21	59	As a refinement to the current programme, targeted training for Elected Members and key roles (or for clusters e.g. Southern, Central, West, North) should be developed	Ngā Kete Akoranga	Jun 18	No criteria closed
22	60	Agree specific implementation dates for the Performance Management system change, of which PDP is a part, and for the incorporation of training and awareness key performance indicators into position descriptions, staff	Ngā Kete Akoranga	Jun 18	No criteria closed

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		performance objectives and broader competency frameworks ensuring alignment of objectives from MRPs, through team to personal.			
23	66	The monitoring framework will be enabled as a matter of course through the revised format of this report which will seek to ensure a clear understanding of key controls.	Effectiveness and Compliance Framework	Jun 16	Closed
24	67	The project to develop the monitoring and performance framework should be completed.	Performance Framework	Aug 16 (Sept 17)	No criteria closed