

Local board resolutions and formal feedback on Governance Framework Review draft recommendations

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Albert-Eden Local Board feedback

The Albert-Eden Local Board supports the draft positions and recommendations of the Political Working Party in general, and provides other feedback as follows:

Regional policy and decision processes

The Board strongly supports a new procedural framework for regional policy development which includes the governing body and local boards agreeing regional policy priorities and the work programme at the beginning of each triennium with it being refreshed each term.

The Board supports changes to the process for regional policy that bring both governance arms together (such as joint briefings and workshops) to consider regional context and issues.

The Board supports earlier and better engagement with local boards on regional policy and decisions, including at a minimum:

- *An opt-in process where local boards can choose to be involved in the development of the policy should they be interested in a policy;*
- *Requirements for analysis of local impacts and local interest of regional decisions and options, and reporting of this to local boards and the governing body;*
- *Specified criteria for categorising the potential local impact and local board interest of regional decisions;*
- *Processes and methods for tailoring local board engagement in line with the local impact and local interest of regional decisions e.g. high categorisation requiring more specific local engagement and analysis, low categorisation requiring more cluster joint local board workshop sessions and less in-depth analysis;*
- *Specified methods for engagement and communication with local boards at all stages of the decision-making process; noting that some timeframes, such as parliamentary and central government submissions, are outside Council's control and the methods may not be able to be implemented in all cases.*

The Board supports more analysis of the local impacts of regional policy and considers that advice to local boards during the development of regional policy must cover:

- *how local board input was considered in the final policy;*
- *what changes were made to the policy following local board feedback;*
- *what the significant impacts will be on the local board area from the implementation of the policy.*

The Board requests that, whenever a local board is to make a decision that has potential impacts beyond the immediate local board area (e.g. a sub-regional impact or an impact on regional networks), staff provide advice on those potential impacts to the local board as a matter of course (for example through a regional impact statement).

The Board does not support any formal policies or procedures that control when and how local boards may procure external or contestable advice but notes that in principle the Auckland Council organisation should be the first provider of advice to local boards.

The Board requests that sufficient capacity be allocated for development of policy that is a priority for multiple local boards.

The Board requests that local boards be allocated decision-making to make submissions to central government on legislation and considers that the governing body and local boards should jointly represent Auckland Council at select committee hearings.

The Board notes that the local board chairs forum cannot provide local board views on policies or strategies and that the formal view of local boards must be obtained through reporting to a business meeting.

The Board requests that no policies or procedures that would limit local board's ability to advocate to the Governing Body on regional issues be implemented.

The Board requests that local boards are consulted on the details of these mechanisms prior to implementation.

Local decisions that may have regional impacts (development of a 'call-in' right)

The Board does not support a formal 'call-in right' or similar mechanism (such as allocating decision-making to the governing body) and supports instead the development of guidelines/protocols to ensure regional interests are considered in local decisions.

The Board does not support limiting the ability of local boards to advocate to the governing body as this does not allow local boards to fulfil their legislated role.

The Board notes that an explicit call-in right is not possible under the Local Government (Auckland Council) Act 2009.

Allocations and delegations

The Board recommends that the Governing Body delegates, subject to the necessary statutory tests being met, the following Reserves Act 1977 decision-making functions for local reserves to local boards:

- *declaration*
- *classification*
- *reclassification*
- *application for revocation of reserve status (limited to when there is a desire by a local board to manage open space under the LGA).*

The Board supports Reserves Act acquisitions and disposals remaining with the Governing Body.

The Board notes that the Working Party did not reach consensus on decision making for exchanges of reserve land, and will consider the feedback of local boards before making a recommendation.

The Board notes that officers' advice on decision making for exchanges of reserve land was that it should remain with the Governing Body, with the relevant local board consulted about these decisions.

The Board supports the Minister of Conservation's supervisory powers remaining delegated to staff, but where there is likely to be significant public interest, considers that an independent commissioner should be engaged.

The Board supports the creation of joint committees of the governing body and the relevant local board (on a case by case basis) to make Reserves Act decisions as this will result in more robust and considered decision-making.

The Board notes that budgets need to follow decision-making, so the change from regional to local decision-making will require a reallocation from regional to local budgets.

Local boards, place-making and Auckland Transport

The Board notes the role of local boards in place-making is significant and that Auckland Transport should be guided by and be more responsive to local boards to enable them to give effect to this role.

The Board notes the critical interface between the local place-shaping role of local boards and Auckland Transport's jurisdiction over the road corridor and transport networks.

The Board requests that Auckland Transport seek local board endorsement for projects where there are place-making outcomes before proceeding to delivery.

The Board supports a full evaluation of the Local Board Transport Capital Fund to ensure this is the appropriate vehicle for delivery of local transport priorities.

The Board supports improved local and tailored reporting and quality advice to local boards, including options analysis and clear recommendations, as part of regular Auckland Transport reporting.

The Board requests Auckland Transport to provide local boards with the opportunity to influence the prioritisation of projects in the local work programme prior to its finalisation.

The Board requests Auckland Transport to formally provide local boards with a local work programme for each financial year (prior to the commencement of the year) with regular formal updates on any changes to the programme.

The Board notes that the CCO Governance Manual requires Auckland Transport to include in its engagement plan how it will give local boards an opportunity to influence or to respond to the following:

- decisions affecting a local board's governance role;*
- decisions having a significant local impact (including mitigation measures);*
- decisions requiring a CCO to undertake community consultation (local or regional).*

The Board supports the expectations in the 2017 Letter of Expectation that Auckland Transport:

- better and earlier engagement and communication between Auckland Transport and local boards;*
- actively consider which of its decision-making powers it should delegate to local boards (within the constraints created by the regulatory environment, safety considerations, the needs of regional networks and the role played by NZTA in decision making).*

The Board supports strengthening the Letter of Expectation to Auckland Transport, to ensure place-making is considered in terms of more than just infrastructure, with early engagement with local boards.

The Board supports the requirement for individual engagement plans for local boards, including regular monitoring and reporting to local boards on the delivery and implementation of the engagement plans.

The Board supports enhanced and more frequent monitoring of Auckland Transport's performance with respect to its obligations under its Statement of Intent, the CCO Governance Manual and the local board engagement plans.

The Board considers that Auckland Transport's Relationship Managers should be advocates back into Auckland Transport for local boards and not just be advocates of Auckland Transport's position to local boards.

The Board notes that the Governance Framework Review has investigated the potential delegation of a range of Auckland Transport powers and the working party requests that additional work be undertaken to identify delegation opportunities.

The Board supports Auckland Transport being disestablished with the functions being returned to Auckland Council and appropriately allocated to the governing body and local boards.

The Board supports the requirement that Auckland Transport, in working with local boards:

- Ensures that local boards have a strong governance role in determining the 'look and feel' of town centres and streetscapes, in line with their allocation of non-regulatory decision-making;*
- Improves co-ordination between local place-shaping projects, such as town centre upgrades, and its renewals programmes;*
- Provides more opportunities for local board direction on the prioritisation of minor traffic safety projects, with the exception of those which Auckland Transport considers are of critical safety importance;*

- *Is more responsive to local place-shaping initiatives in non-transport parts of the road corridor, including planting policies, reducing or removing barriers to community place-making initiatives e.g. looking at ways to reduce the costs of developing traffic management plans for community events;*
- *Takes direction from local boards on how and where to implement community-focused programmes.*

Waiheke Pilot

The Board, should the Waiheke Island pilot go ahead, supports delegations being extended to all local boards as soon as possible and not at the end of the 3 year pilot.

The Board supports a pilot for extended decision-making allocations or delegations for the Albert-Eden Local Board, including area-based transport delegations from Auckland Transport, as piloting an isthmus local board will enable more allocations/delegations to be trialled which will be able to be extended to the majority of local boards in the short to medium term.

The Board supports additional resourcing for the Waiheke Local Board as part of the pilot in the areas of local policy, planning and operations, on the proviso that the same additional resourcing be allocated to all local boards as soon as possible as all local boards have issues to progress in the areas of local policy, planning and operations.

Funding and Finance workstream

Allocation of budgets and funding of local board activities

The Board agrees that the enhanced status quo model be moved to immediately, giving as much additional decision making to local boards as possible within that framework.

The Board notes that that further work can be progressed within that framework rather than waiting for further work before implementation.

The Board supports providing additional decision making to local boards by enabling them to increase or decrease service levels within and across ABS activities, provided that the impact is cost neutral (i.e., within the funding envelope).

The Board supports renaming the 'enhanced status quo' model to 'local decision making within a funding envelope.'

The Board supports additional work on the enhanced status quo model being undertaken, including a framework for service levels and local flexibility, options for addressing historical uneven funding and improving the information and advice that is provided to local boards.

The Board supports further work on the implications of the local decision making model also being undertaken for further consideration, including modelling of rates implications following the revaluation and costs to the organisation of supporting more local decision making etc.

Governance and Representation workstream

Number of local boards

The Board supports the status quo with respect to the number of local boards in the Auckland Region and notes that any move to reduce the number of local boards will impact adversely on residents and ratepayers as the local board areas will be so large that the 'local' as envisaged in the Local Government (Auckland Council) Act 2009 legislation will not be realised.

The Board agrees that there is no overwhelming need to change the current number of local boards at this time.

The Board agrees that any decision to undertake work to review the numbers of local boards should not occur until after the Governance Framework Review is completed and implemented and the outcomes of the re-organisation proposals for North Rodney and Waiheke are known.

Ward representation

The Board agrees that the election process for the number of governing body members and wards should remain unchanged as there is no identified need for change.

The Board supports the continuation of the first past the post (FFP) voting system for Auckland.

The Board supports Auckland Council having the decision-making to alter the number of governing body members and local board members and supports the Auckland Council continuing its advocacy to central government on this issue.

Naming conventions for elected members

The Board agrees that the current Auckland Council naming conventions ('councillor' and 'local board member') contribute to public confusion with respect to the roles and responsibilities in our shared governance model.

The Board supports the Auckland Council naming conventions being amended to reflect that governing body members and local board members are members of the shared governance model at Auckland Council; and the naming conventions should therefore be consistent as follows:

<i>Governing Body title</i>	<i>Local Board title</i>
<i>Regional Councillor</i>	<i>Local Councillor</i>

The Board requests that all references to 'Local Board' be capitalised in all council communications in all instances to reflect that the Local Boards are an equal part of the Auckland Council shared governance model.

The Board supports an ongoing political working party, comprising governing body and local board members, which would provide a valuable vehicle for considering matters that impact on both governance arms and making recommendations to the governing body on these matters.

Devonport-Takapuna Local Board Resolutions

Resolution number DT/2017/176

MOVED by Chairperson G Gillon, seconded by Member M Sheehy: _

That the Devonport-Takapuna Local Board:

a) provides the following feedback on the following draft positions and recommendations of the Political Working Party:

Regional policy and decision-making

i. **supports the political working party position** that council should implement new mechanisms that ensure effective local board input to regional policy decisions, via a framework that sets out, at a minimum:

- 1) a process for involving local boards in the development of regional work programmes at the beginning of each term and in an annual refresh;
- 2) earlier and more joint engagement between local boards and the governing body in regional decision-making processes;
- 3) requirements for analysis of local impacts and local interest of regional decisions and options, and reporting of this to local boards and the governing body;
- 4) specified criteria for categorising the potential local impact and local board interest of regional decisions;
- 5) processes and methods for tailoring local board engagement in line with the local impact and local interest of regional decisions e.g. high categorisation requiring more specific local engagement and analysis, low categorisation requiring more cluster joint local board workshop sessions and less in depth analysis; and
- 6) specified methods for engagement and communication with local boards at all stages of the decision-making process.

ii. **supports the political working party position** that local boards will be consulted on the details of these mechanisms prior to implementation.

iii. notes that the Quality Advice programme is continuing to be implemented in order to improve the quality of advice to elected members for decision-making, in line with the recommendations of the Governance Framework Review.

iv. **supports the political working party position** to not implement any formal policies or procedures that control when and how local boards may procure external or contestable advice, but note that, in principle, the Auckland Council organisation should be the first provider of advice to local boards.

v. **supports the political working party position** to not implement any policy or procedure that would limit local boards' ability to advocate to the governing body on regional issues.

CARRIED

Resolution number DT/2017/177

MOVED by Chairperson G Gillon, seconded by Member M Sheehy: _

That the Devonport-Takapuna Local Board:

Local decisions that may have regional impacts (development of a 'call-in' right)

vi. notes that an explicit call-in right is not possible under the Local Government (Auckland Council) Act.

vii. supports the political working party position to not to implement any mechanism that would have the effect of 'calling in' or allocating decision-making to the governing body for otherwise local activities or decisions that have regional implications.

viii. supports the political working party position to direct officers that, whenever a local board is to make a decision that has potential impacts beyond the immediate local board area e.g. a sub-regional impact or an impact on regional networks, advice on those potential impacts is to be provided to the local board as a matter of course (for example through a regional impact statement).

CARRIED

Resolution number DT/2017/178

MOVED by Chairperson G Gillon, seconded by Member J O'Connor: _

That the Devonport-Takapuna Local Board:

Allocations and delegations

ix. **supports the political working party position** that the governing body delegates, subject to the necessary statutory tests being met, the following Reserves Act 1977 decision-making functions for local reserves to local boards:

- 1) declaration;
- 2) classification;
- 3) reclassification; and
- 4) application for revocation of reserve status (limited to when there is a desire by a local board to manage open space under the LGA).

x. notes that officers' advice on decision-making for exchanges of reserve land was that it should remain with the governing body, with the relevant local board consulted on these decisions

xi. notes that the working party did not reach consensus on this issue, and will consider the feedback of local boards before making a recommendation

xii. **supports the political working party position** that the Minister of Conservation's supervisory powers remain delegated to staff, but where there is likely to be significant public interest, an independent commissioner should be engaged.

CARRIED

Resolution number DT/2017/179

MOVED by Chairperson G Gillon, seconded by Member M Sheehy: _

That the Devonport-Takapuna Local Board:

The role of Auckland Transport and local boards

xiii. notes the critical interface between the local place-shaping role of local boards and Auckland Transport's jurisdiction over the road corridor and transport networks.

xiv. notes that the Governance Manual for Substantive CCOs requires Auckland Transport, amongst other things, to:

1) develop, with local boards, a shared understanding of local board views and CCO priorities to inform the following year's business planning, including through an annual interactive workshop 'where local boards communicate their local board priorities and the CCOs communicate how their current year work programme will contribute to local board priorities'.

2) develop by 31 July each year an annual local board engagement plan, which includes a schedule 'clearly indicate[ing] for each board, the projects and/or activities that it expects to report on, and the projects and activities that it expects to consult on, for the following year. This should be updated annually or more frequently if required.'

3) report against their local board engagement plan in their quarterly performance reports to the CCO Governance and Monitoring Committee.

xv. **supports the political working party position** to direct Auckland Transport to

meet all requirements for local board engagement as set out in the Governance Manual for Substantive CCOs.

xvi. **supports the political working party position** direct Council officers to monitor Auckland Transport's compliance with the requirements for local board engagement, as set out in the Governance Manual for Substantive CCOs, and to report this monitoring to the governing body at least annually.

xvii. notes that the Mayor's 2017 letter of expectation to Auckland Transport stipulated that council expects there to be:

4) better and earlier engagement and communication between Auckland Transport and local boards; and

5) active consideration by Auckland Transport of which of its decision-making powers it could delegate to local boards (within the constraints created by the regulatory environment, safety considerations, the needs of regional networks and the role played by NZTA in decision-making).

xviii. notes that the following activity statement has been included in Auckland Transport's 2017-2020 Statement of Intent:

'Participation in the governance review which is aimed at changing behaviours and processes across relevant Council family activities, including Auckland Transport, to enable local boards to give effect to their governance role, particularly around local place-shaping.'

xix. notes that the Governance Framework Review has investigated the potential delegation of a range of Auckland Transport powers and the working party recommends that additional work be undertaken to identify delegation opportunities.

xx. **supports the political working party position** to direct Auckland Transport, in working with local boards, to:

6) ensure that local boards have a strong governance role in determining the 'look and feel' of town centres and streetscapes, in line with their allocation of non-regulatory decision-making;

7) improve co-ordination between local place-shaping projects, such as town centre upgrades, and its renewals programmes

8) provide more opportunities for local board direction on the prioritisation of minor traffic safety projects, with the exception of those which Auckland Transport considers are of critical safety importance

9) be more responsive to local place-shaping initiatives in non-transport parts of the road corridor, including reducing or removing barriers to community place-making initiatives e.g. looking at ways to reduce the costs of developing traffic management plans for community events

10) take direction from local boards on how and where to implement community-focused programmes

xxi. **supports the political working party position** to direct Auckland Transport to report to the governing body annually on how it is meeting the directions given under recommendation xx.

xxii. notes that the Quality Advice programme has been working with Auckland Transport to improve the quality of advice to local boards and will shortly begin regular six monthly surveys of local board members' satisfaction with Auckland Transport advice, engagement and reporting to local boards.

xxiii. notes that the Local Board Transport Capital Fund is valued by local boards but that the level of funding means that some boards are not able to progress meaningful projects, and that improved local transport outcomes may be achieved if the size of the fund was significantly increased.

xxiv. **supports the political working party position** to direct officers to report to the

relevant governing body committee, through the Long Term Plan process, on options for significantly increasing the Local Board Transport Capital Fund and the method of allocation of the fund.

xxv. **supports the political working party position** to direct Auckland Transport to implement a more systematic work programme approach to assist local boards to identify potential projects and make decisions.

xxvi. **supports the political working party position to direct Auckland Transport to actively engage with governing body members (ward councillors) together with the relevant local board on transport projects and issues within their local board / ward areas.**

CARRIED

Resolution number DT/2017/180

MOVED by Member J O'Connor, seconded by Chairperson G Gillon: _

That the Devonport-Takapuna Local Board:

Waiheke Local Board pilot

xxvii. **supports the political working party position** that the governing body should endorse the Waiheke Local Board pilot project as set out in the Waiheke Local Board pilot project plan.

xxviii. notes that the Waiheke Local Board will maintain oversight of local implementation of the pilot.

CARRIED

Resolution number DT/2017/181

MOVED by Member M Cohen, seconded by Member J O'Connor: _

That the Devonport-Takapuna Local Board:

Local boards funding and finance

xxix. **supports the devolution of as much decision-making as possible in accordance with the principle of subsidiarity as articulated in the Local Government (Auckland Council) Act 2009.**

xxx. **supports the political working party position** that the enhanced status quo model be progressed now, giving as much additional decision making to local boards as possible within that framework.

xxxi. agrees that the additional work to support the Enhanced status quo model be undertaken – including the development of a framework for service levels and local flexibility, options for addressing historical uneven funding, improving the information and advice that is provided to local boards.

xxxii. supports the political working party position that further work on the implications of the local decision making model also be undertaken for further consideration, including the modelling of rates implication following the revaluation, costs to the organisation of supporting more local decision making etc.

xxxiii. **requests the ability for local board services to request from relevant staff the ability to independently audit local projects to determine their value for money.**

CARRIED

Resolution number DT/2017/182

MOVED by Member M Cohen, seconded by Member J O'Connor: _

That the Devonport-Takapuna Local Board:

The optimum number of local boards

xxxiv. supports the political working party position that council should undertake no further work on changing the number of local boards.

CARRIED

Resolution number DT/2017/183

MOVED by Chairperson G Gillon, seconded by Member M Sheehy: _

That the Devonport-Takapuna Local Board:

Methods of electing governing body members

xxxv. supports the political working party position that a change to the current system of electing governing body members from existing wards is not warranted purely to address alignment between governing body members and local boards

xxxvi. notes that the statutory review of representation arrangements for Auckland Council must be completed by September 2018.

xxxvii. notes that the Local Government Act Amendment Bill No. 2, which proposes a simplified process for local government-led reorganisation processes, is currently before Parliament.

xxxviii. supports the political working party position that council should continue to advocate to central government for legislative amendments that would allow changes to the number of governing body members in line with population changes, and simplification of the process for changes to numbers and boundaries of local boards.

CARRIED

Resolution number DT/2017/184

MOVED by Chairperson G Gillon, seconded by Member M Sheehy: _

That the Devonport-Takapuna Local Board:

b) supports the allocation of decision-making regarding the exchange of reserve land to local boards, including the power of local boards to refuse the sale / disposal of reserve land currently used as local pocket parks, fee simple land, and road ends, but not yet designated as reserves.

CARRIED

Resolution number DT/2017/185

MOVED by Chairperson G Gillon, seconded by Member J McKenzie: _

That the Devonport-Takapuna Local Board:

c) has mixed views on its preferred naming convention for governing body members and local boards members, and therefore does not have a unified position on this matter.

CARRIED

Resolution number DT/2017/186

MOVED by Member J O'Connor, seconded by Chairperson G Gillon: _

That the Devonport-Takapuna Local Board:

d) supports the continuation of the political working party (PWP), comprised of governing body and local board members as identified in Option 2 of the agenda report, with the following additional comments:

i. the local board agrees that the PWP would provide a valuable vehicle for considering matters that impact on both arms of governance, and as a mechanism to make recommendations to the governing body on these matters;

ii. the role and function of the PWP should be expanded to ensure ongoing

and continual oversight of the implementation of any recommendations that emanate from this review, with particular focus given to the development and monitoring of a project plan, including clear deliverables and timelines aligned to those matters that have been identified within this review to require further work by council staff; and

iii. requests that an opportunity be provided for an improved, broadened and more inclusive process of selecting working party members in the event that the PWP is retained.

CARRIED

Franklin Local Board resolutions

Resolution number FR/2017/128

MOVED by Chairperson A Fulljames, seconded by Member A Cole: _

That the Franklin Local Board:

- a. **supports the following draft positions and recommendations of the Political Working Party and provides other feedback as follows:**

Regional policy and decision-making

- i. Agrees that council should implement new mechanisms that ensure effective local board input to regional policy decisions, via a framework that sets out, at a minimum:
- A process for involving local boards in the development of jointly agreed regional work programmes at the beginning of each term and in an annual refresh
 - Earlier and more joint engagement between local boards and the governing body in regional decision-making processes
 - Requirements for analysis of local impacts and local interest of regional decisions and options, and reporting of this to local boards and the governing body
 - Specified criteria for categorising the potential local impact and local board interest of regional decisions
 - Processes and methods for tailoring local board engagement in line with the local impact and local interest of regional decisions e.g. high categorisation requiring more specific local engagement and analysis, low categorisation requiring more cluster joint local board workshop sessions and less in depth analysis.
 - Specified methods for engagement and communication with local boards at all stages of the decision-making process **noting that some timeframes (such as government submissions) are outside council's control, meaning that the methods may not be able to be implemented in all cases.**
- ii. Directs that local boards will be consulted on the details of these mechanisms prior to implementation.
- iii. **Requests sufficient capacity for development of policy that is a priority for multiple local boards;**
- iv. Notes that the Quality Advice programme is continuing to be implemented in order to improve the quality of advice to elected members for decision-making, in line with the recommendations of the Governance Framework Review.
- v. Agrees not to implement any formal policies or procedures that control when and how local boards may procure external or contestable advice, but note that, in principle, the Auckland Council organisation should be the first provider of advice to local boards.
- vi. Agrees not to implement any policy or procedure that would limit local boards' ability to advocate to the governing body on regional issues.

Local decisions that may have regional impacts (development of a 'call-in' right)

- vii. Notes that an explicit call-in right is not possible under the Local Government (Auckland Council) Act.
- viii. Agrees not to implement any mechanism that would have the effect of 'calling in' or allocating decision-making to the governing body for otherwise local activities or decisions that have regional implications **but advocate for the introduction of a mechanism that triggers notification to the governing body and any affected local board;**
- ix. Directs officers that, whenever a local board is to make a decision that has

potential impacts beyond the immediate local board area e.g. a sub-regional impact or an impact on regional networks, advice on those potential impacts is to be provided to the local board as a matter of course (for example through a regional impact statement).

Allocations and delegations

x. Agrees that the governing body delegates, subject to the necessary statutory tests being met, the following Reserves Act 1977 decision-making functions for local reserves to local boards:

- declaration
- classification
- reclassification
- application for revocation of reserve status (limited to when there is a desire by a local board to manage open space under the Local Government Act)

xi. Notes that officers' advice on decision-making for exchanges of reserve land was that it should remain with the governing body, with the relevant local board consulted on these decisions

xii. Notes that the working party did not reach consensus on this issue, and will consider the feedback of local boards before making a recommendation

xiii. Agrees that the Minister of Conservation's supervisory powers remain delegated to staff, but where there is likely to be significant public interest, an independent commissioner should be engaged.

The role of Auckland Transport and local boards

xiv. Notes the critical interface between the local place-shaping role of local boards and Auckland Transport's jurisdiction over the road corridor and transport networks.

xv. Notes that the Governance Manual for Substantive Council Controlled Organisations requires Auckland Transport, amongst other things, to:

- develop, with local boards, a shared understanding of local board views and Council Controlled Organisation priorities to inform the following year's business planning, including through an annual interactive workshop 'where local boards communicate their local board priorities and the Council Controlled Organisations communicate how their current year work programme will contribute to local board priorities'.
- develop, by 31 July each year, an annual local board engagement plan, which includes a schedule 'clearly indicat[ing] for each board, the projects and/or activities that it expects to report on, and the projects and activities that it expects to consult on, for the following year. This should be updated annually or more frequently if required'.
 - report against their local board engagement plan in their quarterly performance reports to the Council Controlled Organisation Governance and Monitoring Committee.

xvi. Directs Auckland Transport to meet all requirements for local board engagement as set out in the Governance Manual for Substantive Council Controlled Organisations.

xvii. Directs Auckland Council officers to monitor Auckland Transport's compliance with the requirements for local board engagement, as set out in the Governance Manual for Substantive Council Controlled Organisations, and to report this monitoring to the governing body at least annually.

xviii. Requests inclusion of the directions and outcomes specified at xvi and xvii above within the Auckland Transport Statement of Intent;

xix. Notes that the Mayor's 2017 letter of expectation to Auckland Transport

stipulated that council expects there to be:

- □ better and earlier engagement and communication between Auckland Transport and local boards;
- □ active consideration by Auckland Transport of which of its decision-making powers it could delegate to local boards (within the constraints created by the regulatory environment, safety considerations, the needs of regional networks and the role played by NZ Transport Agency in decision-making).

xx. Notes that the following activity statement has been included in Auckland Transport's 2017-2020 Statement of Intent:

'Participation in the governance review which is aimed at changing behaviours and processes across relevant Council family activities, including Auckland Transport, to enable local boards to give effect to their governance role, particularly around local place-shaping.'

xxi. Notes that the Governance Framework Review has investigated the potential delegation of a range of Auckland Transport powers and the working party recommends that additional work be undertaken to identify delegation opportunities

xxii. Directs Auckland Transport, in working with local boards, to:

- □ ensure that local boards have a strong governance role in determining the 'look and feel' of town centres and streetscapes, in line with their allocation of non-regulatory decision-making
- □ improve co-ordination between local place-shaping projects, such as town centre upgrades, and its renewals programmes
- □ provide more opportunities for local board direction on the prioritisation of minor traffic safety projects, with the exception of those which Auckland Transport considers are of critical safety importance
- □ be more responsive to local place-shaping initiatives in non-transport parts of the road corridor, including reducing or removing barriers to community place-making initiatives e.g. looking at ways to reduce the costs of developing traffic management plans for community events
- □ take direction from local boards on how and where to implement community-focused programmes

xxiii. Directs Auckland Transport to report to the governing body annually on how it is meeting the directions given under recommendation xxii.

xxiv. Notes that the Quality Advice programme has been working with Auckland Transport to improve the quality of advice to local boards and will shortly begin regular six monthly surveys of local board members' satisfaction with Auckland Transport advice, engagement and reporting to local boards.

xxv. Notes that the Local Board Transport Capital Fund is valued by local boards but that the level of funding means that some boards have not been able to progress meaningful projects, and that improved local transport outcomes may be achieved if the size of the fund was significantly increased.

xxvi. Notes that Franklin Local Board would welcome additional funding, given that its existing transport capital fund for the electoral term has been 100% allocated and that it has limited funding for rural road improvements such as kerb and channel;

xxvii. Directs officers to report to the relevant governing body committee, through the Long Term Plan process, on options for significantly increasing the Local Board Transport Capital Fund and the method of allocation of the fund.

xxviii. Directs Auckland Transport to implement a more systematic work programme

approach to assist local boards to identify potential projects to enable appropriate decision making, advocacy or collaboration;

xxix. Directs Auckland Transport to actively engage with governing body members on transport projects and issues in a consistent manner;

Waiheke Local Board pilot

xxx. Agrees that the governing body should endorse the Waiheke Local Board pilot project as set out in the Waiheke Local Board pilot project plan.

xxxi. Notes that the Waiheke Local Board will maintain oversight of local implementation of the pilot.

xxxii. Requests that evaluation of the pilot is reported back to all local boards;

Local boards funding and finance

xxxiii. Expresses support for the Local Decision Making model as the preferred approach for enabling greater local self-determination and accountability;

xxxiv. Requests that if the Local Decision Making model is not the agreed approach, consideration be given to piloting a local rate option for the Rodney, Franklin and Waiheke local boards;

xxxv. Requests that further work on the implications of the Local Decision Making model be completed within the next 12 months and commitment given to reconsider the Local Decision Making model at completion of this work;

xxxvi. Notwithstanding xxxiii – xxxv above, agrees that the ‘enhanced status quo’ model be moved to immediately, noting that further work can be progressed in parallel, rather than waiting for further work before implementation;

xxxvii. Agrees that the ‘enhanced status quo’ model be renamed, using a term that clearly distinguishes it from the ‘local decision making’ model;

xxxviii. Seeks additional decision making to local boards by introducing bulk funding of local services, enabling local boards to increase or decrease service levels within a funding envelope, both within and across asset based activities, provided that the impact is cost neutral;

The optimum number of local boards

xxxix. Agrees that any decision to review the number of local boards should not occur until after the Governance Framework Review is completed and implemented and the outcomes of the re-organisation proposals for North Rodney and Waiheke are known;

xl. Requests a process similar to the Electoral Boundaries Commission be established for Auckland to provide a more agile and responsive mechanism to assess the representative needs of a rapidly changing Auckland region;

Methods of electing governing body members

xli. Agrees that the election process for the number of governing body members and wards should remain unchanged, as there is no identified need to change or to undertake an in-depth review on the election of governing body members;

xlii. Notes that the statutory review of representation arrangements for Auckland Council must be completed by September 2018.

xliii. Notes that the Local Government Act Amendment Bill No. 2, which proposes a simplified process for local government-led reorganisation processes, is currently before Parliament.

b. Provides feedback on whether decision-making for exchanges of reserve land

should sit with the governing body or local boards

- c. **Supports 'Ward Councillor' and 'Local Councillor' as the naming convention for elected members of the Auckland Council;**
- d. **Supports the continuation of a joint local board/governing body political working party focusing on matters of governance impacting on both governance arms.**
- e. **Requests that the governance model of the Manukau Harbour Forum be reviewed**

CARRIED

Great Barrier Island Local Board resolutions

Resolution number GBI/2017/78

MOVED by Chairperson I Fordham, seconded by Member S Johnson: _

That the Great Barrier Local Board:

a) **Provide feedback on the following draft positions and recommendations of the Political Working Party:**

Regional policy and decision-making

- i. **Agree that council should implement new mechanisms that ensure effective local board input to regional policy decisions, via a framework that sets out, at a minimum:**
 - A process for involving local boards in the development of regional work programmes at the beginning of each term and in an annual refresh;**
 - Earlier and more joint engagement between local boards and the governing body in regional decision-making processes**
 - Requirements for analysis of local impacts and local interest of regional decisions and options, and reporting of this to local boards and the governing body**
 - Specified criteria for categorising the potential local impact and local board interest of regional decisions**
 - Processes and methods for tailoring local board engagement in line with the local impact and local interest of regional decisions e.g. high categorisation requiring more specific local engagement and analysis, low categorisation requiring more cluster joint local board workshop sessions and less in depth analysis.**
 - Specified methods for engagement and communication with local boards at all stages of the decision-making process.**
- ii. **Direct that local boards will be consulted on the details of these mechanisms prior to implementation.**
- iii. **Note that the Quality Advice programme is continuing to be implemented in order to improve the quality of advice to elected members for decision-making, in line with the recommendations of the Governance Framework Review.**
- iv. **Agree not to implement any formal policies or procedures that control when and how local boards may procure external or contestable advice, but note that, in principle, the Auckland Council organisation should be the first provider of advice to local boards.**
- v. **Agree not to implement any policy or procedure that would limit local boards' ability to advocate to the governing body on regional issues.**

Local decisions that may have regional impacts (development of a 'call-in' right)

- vi. **Note that an explicit call-in right is not possible under the Local Government (Auckland Council) Act.**
- vii. **Agree not to implement any mechanism that would have the effect of 'calling in' or allocating decision-making to the governing body for otherwise local**

activities or decisions that have regional implications.

- viii. Direct officers that, whenever a local board is to make a decision that has potential impacts beyond the immediate local board area e.g. a sub-regional impact or an impact on regional networks, advice on those potential impacts is to be provided to the local board as a matter of course (for example through a regional impact statement).

Allocations and delegations

- ix. Agree that the governing body delegates, subject to the necessary statutory tests being met, the following Reserves Act 1977 decision-making functions for local reserves to local boards:
- declaration
 - classification
 - reclassification
 - application for revocation of reserve status (limited to when there is a desire by a local board to manage open space under the LGA)
- x. Note that officers' advice on decision-making for exchanges of reserve land was that it should remain with the governing body, with the relevant local board consulted on these decisions.
- xi. Note that the working party did not reach consensus on this issue, and will consider the feedback of local boards before making a recommendation.
- xii. Agree that the Minister of Conservation's supervisory powers remain delegated to staff, but where there is likely to be significant public interest, an independent commissioner should be engaged.

The role of Auckland Transport and local boards

- xiii. Note the critical interface between the local place-shaping role of local boards and Auckland Transport's jurisdiction over the road corridor and transport networks.
- xiv. Note that the Governance Manual for Substantive CCOs requires Auckland Transport, amongst other things, to:
- develop, with local boards, a shared understanding of local board views and CCO priorities to inform the following year's business planning, including through an annual interactive workshop 'where local boards communicate their local board priorities and the CCOs communicate how their current year work programme will contribute to local board priorities'.
 - develop by 31 July each year an annual local board engagement plan, which includes a schedule 'clearly indicat[ing] for each board, the projects and/or activities that it expects to report on, and the projects and activities that it expects to consult on, for the following year. This should be updated annually or more frequently if required.'
 - report against their local board engagement plan in their quarterly performance reports to the CCO Governance and Monitoring Committee.
- xv. Direct Auckland Transport to meet all requirements for local board

engagement as set out in the Governance Manual for Substantive CCOs.

- xvi. Direct Auckland Council officers to monitor Auckland Transport's compliance with the requirements for local board engagement, as set out in the Governance Manual for Substantive CCOs, and to report this monitoring to the governing body at least annually.
- xvii. Note that the Mayor's 2017 letter of expectation to Auckland Transport stipulated that council expects there to be:
 - better and earlier engagement and communication between Auckland Transport and local boards;
 - active consideration by Auckland Transport of which of its decision-making powers it could delegate to local boards (within the constraints created by the regulatory environment, safety considerations, the needs of regional networks and the role played by NZTA in decision-making).
- xviii. Note that the following activity statement has been included in Auckland Transport's 2017-2020 Statement of Intent:

'Participation in the governance review which is aimed at changing behaviours and processes across relevant Council family activities, including Auckland Transport, to enable local boards to give effect to their governance role, particularly around local place-shaping.'
- xix. Note that the Governance Framework Review has investigated the potential delegation of a range of Auckland Transport powers and the working party recommends that additional work be undertaken to identify delegation opportunities.
- xx. Direct Auckland Transport, in working with local boards, to:
 - Ensure that local boards have a strong governance role in determining the 'look and feel' of town centres and streetscapes, in line with their allocation of non-regulatory decision-making
 - Improve co-ordination between local place-shaping projects, such as town centre upgrades, and its renewals programmes
 - Provide more opportunities for local board direction on the prioritisation of minor traffic safety projects, with the exception of those which Auckland Transport considers are of critical safety importance
 - Be more responsive to local place-shaping initiatives in non-transport parts of the road corridor, including reducing or removing barriers to community place-making initiatives e.g. looking at ways to reduce the costs of developing traffic management plans for community events
 - Take direction from local boards on how and where to implement community-focused programmes.
- xxi. Direct Auckland Transport to report to the governing body annually on how it is meeting the directions given under recommendation xx.
- xxii. Note that the Quality Advice programme has been working with Auckland Transport to improve the quality of advice to local boards and will shortly begin regular six monthly surveys of local board members' satisfaction with

Auckland Transport advice, engagement and reporting to local boards.

- xxiii. Note that the Local Board Transport Capital Fund is valued by local boards but that the level of funding means that some boards are not able to progress meaningful projects, and that improved local transport outcomes may be achieved if the size of the fund was significantly increased.
- xxiv. Direct officers to report to the relevant governing body committee, through the Long Term Plan process, on options for significantly increasing the Local Board Transport Capital Fund and the method of allocation of the fund.
- xxv. Direct Auckland Transport to implement a more systematic work programme approach to assist local boards to identify potential projects and make decisions.
- xxvi. Direct Auckland Transport to actively engage with governing body members (ward councillors) on transport projects and issues within their ward areas.

Waiheke Local Board pilot

- xxvii. Agree that the governing body should endorse the Waiheke Local Board pilot project as set out in the Waiheke Local Board pilot project plan.
- xxviii. Note that as the pilot progresses, other matters beyond the current scope of the project plan, including matters discussed by the Governance Framework Review Political Working Party but not currently being progressed, may arise or merit investigation and agree that these be investigated if appropriate and support is available.
- xxix. Note that the Waiheke Local Board will maintain oversight of local implementation of the pilot.
- xxx. Note that regular reports on the agreed formal RIMU led Waiheke pilot evaluation process will be reported back to all local boards.

Local boards funding and finance

- xxxi. Agree that the enhanced status quo model be moved to immediately, noting that further work can be progressed within this framework rather than waiting for further work before implementing the enhanced status quo.
- xxxii. Re-name the enhanced status quo to be called, "local decision-making within a funding envelope."
- xxxiii. Provide additional decision-making to local boards by enabling them to increase or decrease service levels within and across ABS activities provided that the impact is cost neutral, i.e. within the "funding envelope".
- xxxiv. Agree that the additional work to support the Enhanced status quo model be undertaken – framework for service levels and local flexibility, options for addressing historical uneven funding, improving the information and advice that is provided to local boards.
- xxxv. Agree that further work on the implications of the local decision making model also be undertaken for further consideration - modelling of rates implication following the revaluation, costs to the organisation of supporting more local decision making etc.

The optimum number of local boards

xxxvi. Agree that council should undertake no further work on changing the number of local boards until at least after the governance framework review has been completed and implemented, and the outcomes of reorganisation proposals that are underway for North Rodney and Waiheke Island are known.

Methods of electing governing body members

xxxvii. Agree that a change to the current system of electing governing body members from existing wards is not warranted purely to address alignment between governing body members and local boards.

xxxviii. Note that the statutory review of representation arrangements for Auckland Council must be completed by September 2018.

xxxix. Note that the Local Government Act Amendment Bill No. 2, which proposes a simplified process for local government-led reorganisation processes, is currently before Parliament.

xl. Agree that council should continue to advocate to central government for legislative amendments that would allow changes to the number of governing body members in line with population changes, and simplification of the process for changes to numbers and boundaries of local boards.

b) **Support a delegation to local boards for decision-making for exchanges of reserve land.**

c) **Support the continuation of a joint local board/governing body forum focusing on matters of governance impacting on both governance arms.**

CARRIED

Henderson-Massey Local Board resolutions

Governance Framework Review recommendations

Resolution number HM/2017/139

MOVED by Deputy Chairperson P Chan, seconded by Member V Neeson: _

That the Henderson-Massey Local Board:

a) Approves draft positions and recommendations of the Political Working Party:

Regional policy and decision-making

i. **Agree** that council should implement new mechanisms that ensure effective local board input to regional policy decisions, via a framework that sets out, at a minimum:

- A process for involving local boards in the development of regional work programmes at the beginning of each term and in an annual refresh;
- Earlier and more joint engagement between local boards and the governing body in regional decision-making processes
- Requirements for analysis of local impacts and local interest of regional decisions and options, and reporting of this to local boards and the governing body
- Specified criteria for categorising the potential local impact and local board interest of regional decisions
- Processes and methods for tailoring local board engagement in line with the local impact and local interest of regional decisions e.g. high categorisation requiring more specific local engagement and analysis, low categorisation requiring more cluster joint local board workshop sessions and less in depth analysis.
- Specified methods for engagement and communication with local boards at all stages of the decision-making process.

ii. **Direct** that local boards will be consulted on the details of these mechanisms prior to implementation.

iii. **Note** that the Quality Advice programme is continuing to be implemented in order to improve the quality of advice to elected members for decision-making, in line with the recommendations of the Governance Framework Review.

iv. **Agree** not to implement any formal policies or procedures that control when and how local boards may procure external or contestable advice, but note that, in principle, the Auckland Council organisation should be the first provider of advice to local boards.

v. **Agree** not to implement any policy or procedure that would limit local boards' ability to advocate to the governing body on regional issues.

Local decisions that may have regional impacts (development of a 'call-in' right)

vi. **Note** that an explicit call-in right is not possible under the Local Government (Auckland Council) Act

vii. **Agree** not to implement any mechanism that would have the effect of 'calling in' or allocating decision-making to the governing body for otherwise local activities or decisions that have regional implications.

viii. **Direct** officers that, whenever a local board is to make a decision that has potential impacts beyond the immediate local board area e.g. a sub-regional impact or an impact on

regional networks, advice on those potential impacts is to be provided to the local board as a matter of course (for example through a regional impact statement).

Allocations and delegations

ix. **Agree** that the governing body delegates, subject to the necessary statutory tests being met, the following Reserves Act 1977 decision-making functions for local reserves to local boards:

- declaration
- classification
- reclassification
- application for revocation of reserve status (limited to when there is a desire by a local board to manage open space under the LGA)

x. **Note** that officers' advice on decision-making for exchanges of reserve land was that it should remain with the governing body, with the relevant local board consulted on these decisions

xi. **Note** that the working party did not reach consensus on this issue, and will consider the feedback of local boards before making a recommendation

xii. **Agree** that the Minister of Conservation's supervisory powers remain delegated to staff, but where there is likely to be significant public interest, an independent commissioner should be engaged.

The role of Auckland Transport and local boards

xiii. **Note** the critical interface between the local place-shaping role of local boards and Auckland Transport's jurisdiction over the road corridor and transport networks.

xiv. **Note** that the Governance Manual for Substantive CCOs requires Auckland Transport, amongst other things, to:

- develop, with local boards, a shared understanding of local board views and CCO priorities to inform the following year's business planning, including through an annual interactive workshop 'where local boards communicate their local board priorities and the CCOs communicate how their current year work programme will contribute to local board priorities'.
- develop by 31 July each year an annual local board engagement plan, which includes a schedule 'clearly indicat[ing] for each board, the projects and/or activities that it expects to report on, and the projects and activities that it expects to consult on, for the following year. This should be updated annually or more frequently if required.'
- report against their local board engagement plan in their quarterly performance reports to the CCO Governance and Monitoring Committee.

xv. **Direct** Auckland Transport to meet all requirements for local board engagement as set out in the Governance Manual for Substantive CCOs.

xvi. **Direct** Auckland Council officers to monitor Auckland Transport's compliance with the requirements for local board engagement, as set out in the Governance Manual for Substantive CCOs, and to report this monitoring to the governing body at least annually.

xvii. **Note** that the Mayor's 2017 letter of expectation to Auckland Transport stipulated

that council expects there to be:

- better and earlier engagement and communication between Auckland Transport and local boards;
- active consideration by Auckland Transport of which of its decision-making powers it could delegate to local boards (within the constraints created by the regulatory environment, safety considerations, the needs of regional networks and the role played by NZTA in decision-making).

xviii. **Note** that the following activity statement has been included in Auckland Transport's 2017-2020 Statement of Intent:

'Participation in the governance review which is aimed at changing behaviours and processes across relevant Council family activities, including Auckland Transport, to enable local boards to give effect to their governance role, particularly around local place-shaping.'

xix. **Note** that the Governance Framework Review has investigated the potential delegation of a range of Auckland Transport powers and the working party recommends that additional work be undertaken to identify delegation opportunities

xx. **Direct** Auckland Transport, in working with local boards, to:

- Ensure that local boards have a strong governance role in determining the 'look and feel' of town centres and streetscapes, in line with their allocation of non-regulatory decision-making
- Improve co-ordination between local place-shaping projects, such as town centre upgrades, and its renewals programmes
- Provide more opportunities for local board direction on the prioritisation of minor traffic safety projects, with the exception of those which Auckland Transport considers are of critical safety importance
- Be more responsive to local place-shaping initiatives in non-transport parts of the road corridor, including reducing or removing barriers to community place-making initiatives e.g. looking at ways to reduce the costs of developing traffic management plans for community events
- Take direction from local boards on how and where to implement community-focused programmes

xxi. **Direct** Auckland Transport to report to the governing body annually on how it is meeting the directions given under recommendation xx.

xxii. **Note** that the Quality Advice programme has been working with Auckland Transport to improve the quality of advice to local boards and will shortly begin regular six monthly surveys of local board members' satisfaction with Auckland Transport advice, engagement and reporting to local boards.

xxiii. **Note** that the Local Board Transport Capital Fund is valued by local boards but that the level of funding means that some boards are not able to progress meaningful projects, and that improved local transport outcomes may be achieved if the size of the fund was significantly increased.

xxiv. **Direct** officers to report to the relevant governing body committee, through the Long Term Plan process, on options for significantly increasing the Local Board Transport Capital

Fund and the method of allocation of the fund.

xxv. **Direct** Auckland Transport to implement a more systematic work programme approach to assist local boards to identify potential projects and make decisions.

xxvi. **Direct** Auckland Transport to actively engage with governing body members (ward councillors) on transport projects and issues within their ward areas, **with their comments feedback and advocacy on local activities being directed back to the relevant local board.**

Waiheke Local Board pilot

xxvii. **Agree** that the governing body should endorse the Waiheke Local Board pilot project as set out in the Waiheke Local Board pilot project plan.

xxviii. **Note** that the Waiheke Local Board will maintain oversight of local implementation of the pilot.

Local boards funding and finance

xxix. **Agree that the enhanced status quo model be moved to immediately, noting that further work can be progressed within this framework rather than waiting for further work before implementing the enhanced status quo.**

- **Re-name the enhanced status quo to be called, "local decision-making within a funding envelope."**
- **Provide additional decision-making to local boards by enabling them to increase or decrease service levels within and across asset based services activities provided that the impact is cost neutral, i.e. within the funding envelope.**

xxx. **Agree** that the additional work to support the Enhanced status quo model be undertaken – framework for service levels and local flexibility, options for addressing historical uneven funding, improving the information and advice that is provided to local boards.

xxxi. **Agree** that further work on the implications of the local decision making model also be undertaken for further consideration - modelling of rates implication following the revaluation, costs to the organisation of supporting more local decision making etc.

The optimum number of local boards

xxxii. **Agree** that council should undertake no further work on changing the number of local boards until at least after the governance framework review has been completed and implemented, and the outcomes of reorganisation proposals that are underway for North Rodney and Waiheke Island are known.

Methods of electing governing body members

xxxiii. **Agree** that a change to the current system of electing governing body members from existing wards is not warranted purely to address alignment between governing body members and local boards

xxxiv. **Note** that the statutory review of representation arrangements for Auckland Council must be completed by September 2018.

xxxv. **Note** that the Local Government Act Amendment Bill No. 2, which proposes a simplified process for local government-led reorganisation processes, is currently before Parliament.

xxxvi. **Agree** that council should continue to advocate to central government for legislative amendments that would allow changes to the number of governing body members in line

with population changes, and simplification of the process for changes to numbers and boundaries of local boards.

- b) Support officers' advice on decision-making for exchanges of reserve land was that it should remain with the governing body, with the relevant local board consulted on these decisions
- c) Requests that local board members be referred to as local board councillors. This change in terminology is anticipated to assist members of the community identifying that local board elected members are part of council.
- d) Propose that consideration with regard to continuation of a joint local board/governing body political working party focusing on matters of governance impacting on both governance arms be made at the conclusion of the process noting discussion and points to be considered are still progressing.

CARRIED

Hibiscus and Bays Local Board resolutions

Resolution number HB/2017/1

MOVED by Chairperson J Parfitt, seconded by Member G Holmes:

That the Hibiscus and Bays Local Board:

- a) **supports the following draft positions and recommendations of the Political Working Party, and provides other feedback as follows:**

Regional policy and decision-making

- i. **Agree that council should implement new mechanisms that ensure effective local board input to regional policy decisions, via a framework that sets out, at a minimum:**
 - a. **A process for involving local boards in the development of jointly agreed regional work programmes at the beginning of each term and in an annual refresh**
 - b. **Earlier and more joint engagement between local boards and the Governing Body in regional decision-making processes**
 - c. **Requirements for analysis of local impacts and local interest of regional decisions and options, and reporting of this to local boards and the Governing Body**
 - d. **Specified criteria for categorising the potential local impact and local board interest of regional decisions**
 - e. **Processes and methods for tailoring local board engagement in line with the local impact and local interest of regional decisions e.g. high categorisation requiring more specific local engagement and analysis, low categorisation requiring more cluster joint local board workshop sessions and less in depth analysis**
 - f. **Specified methods for engagement and communication with local boards at all stages of the decision-making process, noting that some timeframes e.g central government submissions, are outside council's control and the methods may not be able to be implemented in all cases**
- ii. **Direct that local boards will be consulted on the details of these mechanisms prior to implementation**
- iii. **Request sufficient capacity for development of policy that is a priority for multiple local boards**
- iv. **Note that the Quality Advice programme is continuing to be implemented in order to improve the quality of advice to elected members for decision-making, in line with the recommendations of the Governance Framework Review**
- v. **Agree not to implement any formal policies or procedures that control when and how local boards may procure external or contestable advice, but note that, in principle, the Auckland Council organisation should be the first provider of advice to local boards**
- vi. **Agree not to implement any policy or procedure that would limit local boards'**

ability to advocate to the governing body on regional issues

Local decisions that may have regional impacts (development of a 'call-in' right)

- vii. Note that an explicit call-in right is not possible under the Local Government (Auckland Council) Act 2009
- viii. Agree not to implement any mechanism that would have the effect of 'calling in' or allocating decision-making to the Governing Body for otherwise local activities or decisions that have regional implications
- ix. Direct officers that, whenever a local board is to make a decision that has potential impacts beyond the immediate local board area e.g. a sub-regional impact or an impact on regional networks, advice on those potential impacts is to be provided to the local board as a matter of course (for example through a regional impact statement)

Allocations and delegations

- x. Agree that the Governing Body delegates, subject to the necessary statutory tests being met, the following Reserves Act 1977 decision-making functions for local reserves to local boards:
 - a. declaration
 - b. classification
 - c. reclassification
- xi application for revocation of reserve status (limited to when there is a desire by a local board to manage open space under the LGA)
- xii. Note that officers' advice on decision-making for exchanges of reserve land was exchanges of reserve land should remain with the Governing Body, with the relevant local board consulted on these decisions
- xiii. Note that the political working party did not reach consensus on exchange of reserve land issue, and will consider the feedback of local boards before making a recommendation
- xiv. Agree that the Minister of Conservation's supervisory powers remain delegated to staff, but where there is likely to be significant public interest, an independent commissioner should be engaged

The role of Auckland Transport and local boards

- xv. Note the critical interface between the local place-shaping role of local boards and Auckland Transport's jurisdiction over the road corridor and transport networks
- xvi. Note that the Governance Manual for Substantive Council Controlled Organisations requires Auckland Transport, amongst other things, to:
 - a. develop, with local boards, a shared understanding of local board views and Council Controlled Organisation priorities to inform the following year's business planning, including through an annual interactive workshop 'where local boards communicate their local board priorities and the Council Controlled Organisations' communicate how their current year work programme will contribute to local board priorities'

- b. develop by 31 July each year an annual local board engagement plan, which includes a schedule ‘clearly indicating for each board, the projects and activities that Auckland Transport expects to report on, and the projects and activities that it expects to consult on, for the following year. This should be updated annually or more frequently if required’
 - c. report against the Auckland Transport local board engagement plan in their quarterly performance reports to the Council Controlled Organisation Governance and Monitoring Committee
- xvii. Direct Auckland Transport to meet all requirements for local board engagement as set out in the Governance Manual for Substantive Council Controlled Organisations
- xviii. Direct Auckland Council officers to monitor Auckland Transport’s compliance with the requirements for local board engagement, as set out in the Governance Manual for Substantive Council Controlled Organisations, and to report this monitoring to the Governing Body at least annually
- xix. Note that the Mayor’s 2017 letter of expectation to Auckland Transport stipulated that council expects there to be:
 - a. better and earlier engagement and communication between Auckland Transport and local boards
 - b. active consideration by Auckland Transport of which of its decision-making powers it could delegate to local boards (within the constraints created by the regulatory environment, safety considerations, the needs of regional networks and the role played by the New Zealand Transport Agency in decision-making)
- xx. Note that the following activity statement has been included in Auckland Transport’s 2017-2020 Statement of Intent:

‘Participation in the governance review which is aimed at changing behaviours and processes across relevant Council family activities, including Auckland Transport, to enable local boards to give effect to their governance role, particularly around local place-shaping’
- xxi. Note that the Governance Framework Review has investigated the potential delegation of a range of Auckland Transport powers and the working party recommends that additional work be undertaken to identify delegation opportunities
- xxii. Direct Auckland Transport, in working with local boards, to:
 - a. Ensure that local boards have a strong governance role in determining the ‘look and feel’ of town centres and streetscapes, in line with their allocation of non-regulatory decision-making
 - b. Improve co-ordination between local place-shaping projects, such as town centre upgrades, and Auckland Transport’s renewals programmes
 - c. Provide more opportunities for local board direction on the prioritisation of minor traffic safety projects, with the exception of those which Auckland Transport considers are of critical safety importance

- d. Be more responsive to local place-shaping initiatives in non-transport parts of the road corridor, including reducing or removing barriers to community place-making initiatives e.g. looking at ways to reduce the costs of developing traffic management plans for community events
 - e. Take direction from local boards on how and where to implement community-focused programmes
- xxiii. Direct Auckland Transport to report to the Governing Body annually on how it is meeting the directions given under recommendation a) xxii
 - xxiv. Note that the Quality Advice programme has been working with Auckland Transport to improve the quality of advice to local boards and will shortly begin regular six monthly surveys of local board members' satisfaction with Auckland Transport advice, engagement and reporting to local boards
 - xxv. Note that the Local Board Transport Capital Fund is valued by local boards but that the level of funding means that some local boards are not able to progress meaningful projects, and that improved local transport outcomes may be achieved if the size of the fund was significantly increased
 - xxvi. **Supports Local Board Transport Capital Fund Option 2 to increase the size of the Local Board Transport Capital Fund from \$11 million to \$20 million to provide local boards with a more systematic work programme approach to managing projects**
 - xxvii. Direct officers to report to the relevant **council** committee, through the Long-term Plan process, on options for significantly increasing the Local Board Transport Capital Fund and the method of allocation of the fund
 - xxviii. Direct Auckland Transport to implement a more systematic work programme approach to assist local boards to identify potential projects and make decisions
 - xxix. Direct Auckland Transport to actively engage with Governing Body members (ward councillors) on transport projects and issues within their ward areas

Waiheke Local Board pilot

- xxx. Agree that the Governing Body should endorse the Waiheke Local Board pilot project as set out in the Waiheke Local Board pilot project plan
- xxxi. Note that the Waiheke Local Board will maintain oversight of local implementation of the pilot
- xxxii. **Request that evaluation of the Waiheke Local Board pilot is reported back to all local boards**

Local boards funding and finance

Note; refer to clause xxxix which was voted on at the end of the item

The optimum number of local boards

- xxxiii. **Agree that there is no need to change the current number of local boards as at this time as there is no overwhelming need to change**
- xxxiv. Agree that any decision to review the numbers of local boards should not

occur until after the Governance Framework Review is completed and implemented and the outcomes of the re-organisation proposals for North Rodney and Waiheke are known

Methods of electing governing body members

- xxxv. **Agree that the election process for the number of governing body members and wards should remain unchanged as there is no identified need to change or undertake an in-depth review on the election of Governing Body members**
- xxxvi. Note that the statutory review of representation arrangements for Auckland Council must be completed by September 2018
- xxxvii. Note that the Local Government Act Amendment Bill No. 2, which proposes a simplified process for local government-led reorganisation processes, is currently before Parliament
- xxxviii. Support Auckland Council advocating to central government for legislative amendments that would allow for future changes to the number of governing body members in line with population change and to simplify the process for changes to the numbers and boundaries of local **boards and the creation of Maori wards in Auckland**

Note: Refer to clause xl which was voted on at the end of the item

- b) supports decision-making for exchanges of reserve land sitting with local boards
- c) supports the continuation of a joint local board/Governing Body political working party focusing on matters of governance impacting on both governance parts of council.

CARRIED

MOVED by Member G Holmes, seconded by Member C Bettany:

That the Hibiscus and Bays Local Board:

- xxxix. supports Local Decision Making with Parameters (Option 2) in preference to the Enhanced Status Quo as this enables local board to have; additional decision making and accountability for local activities and services being funded through a local rate, increased flexibility in determining levels of service, and will enable direct engagement with the local board's community on the costs and benefits of providing local services.

LOST

Resolution number HB/2017/2

MOVED by Member G Holmes, seconded by Member V Watson:

That the Hibiscus and Bays Local Board:

- xxxix. **request that further work on the implications of the Local Decision Making model be undertaken for further consideration including modelling of rates implications following the revaluation, costs to the organisation of supporting more local decision making, before a final decision is made on the Local**

Decision Making with Parameters (Option 2).

CARRIED

Resolution number HB/2017/3

MOVED by Member C Watson, seconded by Member V Watson:

That the Hibiscus and Bays Local Board:

- xi. Supports the following naming conventions for elected members of the Auckland Council as
Councillor and Local Councillor**

CARRIED

Howick Local Board resolutions

Resolution number HW/2017/149

MOVED by Chairperson D Collings, seconded by Member J Spiller: _

That the Howick Local Board:

a) **Provide feedback on the following draft positions and recommendations of the Political Working Party:**

Regional policy and decision-making

i. **Agree that council should implement new mechanisms that ensure effective local board input to regional policy decisions, via a framework that sets out, at a minimum:**

- **A process for involving local boards in the development of jointly agreed regional work programmes at the beginning of each term and in an annual refresh;**
- **Earlier and more joint engagement between local boards and the governing body in regional decision-making processes**
- **Requirements for analysis of local impacts and local interest of regional decisions and options, and reporting of this to local boards and the governing body**
- **Specified criteria for categorising the potential local impact and local board interest of regional decisions**
- **Processes and methods for tailoring local board engagement in line with the local impact and local interest of regional decisions e.g. high categorisation requiring more specific local engagement and analysis, low categorisation requiring more cluster joint local board workshop sessions and less in depth analysis.**
- **Specified methods for engagement and communication with local boards at all stages of the decision-making process, noting that some timeframes e.g. central government submissions are outside council's control and the methods may not be able to be implemented in all cases.**

ii. **Direct that localboards will be consulted on the details of these mechanisms prior to implementation.**

iii. **Request sufficient capacity for development of policy that is a priority for multiple local boards.**

iv. **Note that the Quality Advice programme is continuing to be implemented in order to improve the quality of advice to elected members for decision-making, in line with the recommendations of the Governance Framework Review.**

v. **Agree not to implement any formal policies or procedures that control when and how local boards may procure external or contestable advice, but note that, in principle, the Auckland Council organisation should be the first provider of advice to local boards.**

vi. **Agree not to implement any policy or procedure that would limit local boards' ability to advocate to the governing body on regional issues.**

Local decisions that may have regional impacts (development of a 'call-in' right)

vii. **Note that an explicit call-in right is not possible under the Local Government (Auckland Council) Act**

viii. **Agree not to implement any mechanism that would have the effect of 'calling in' or allocating decision-making to the governing body for otherwise local activities or decisions that have regional implications.**

ix. **Direct officers that, whenever a local board is to make a decision that has potential impacts beyond the immediate local board area e.g. a sub-regional impact or an impact on regional networks, advice on those potential impacts is to be provided to the local board as a matter of course (for example through a regional impact statement).**

Allocations and delegations

x. Agree that the governing body delegates, subject to the necessary statutory tests being met, the following Reserves Act 1977 decision-making functions for local reserves to local boards:

- declaration
- classification
- reclassification
- application for revocation of reserve status (limited to when there is a desire by a local board to manage open space under the LGA)

xi. Note that officers' advice on decision-making for exchanges of reserve land was that it should remain with the governing body, with the relevant local board consulted on these decisions

xii. Note that the working party did not reach consensus on this issue, and will consider the feedback of local boards before making a recommendation

xiii. Agree that the Minister of Conservation's supervisory powers remain delegated to staff, but where there is likely to be significant public interest, an independent commissioner should be engaged.

The role of Auckland Transport and local boards

xiv. Note the critical interface between the local place-shaping role of local boards and Auckland Transport's jurisdiction over the road corridor and transport networks.

xv. Note that the Governance Manual for Substantive CCOs requires Auckland Transport, amongst other things, to:

- develop, with local boards, a shared understanding of local board views and CCO priorities to inform the following year's business planning, including through an annual interactive workshop 'where local boards communicate their local board priorities and the CCOs communicate how their current year work programme will contribute to local board priorities'.
- develop by 31 July each year an annual local board engagement plan, which includes a schedule 'clearly indicat[ing] for each board, the projects and/or activities that it expects to report on, and the projects and activities that it expects to consult on, for the following year. This should be updated annually or more frequently if required.'
- report against their local board engagement plan in their quarterly performance reports to the CCO Governance and Monitoring Committee.

xvi. Direct Auckland Transport to meet all requirements for local board engagement as set out in the Governance Manual for Substantive CCOs.

xvii. Direct Auckland Council officers to monitor Auckland Transport's compliance with the requirements for local board engagement, as set out in the Governance Manual for Substantive CCOs, and to report this monitoring to the governing body at least annually.

xviii. Note that the Mayor's 2017 letter of expectation to Auckland Transport stipulated that council expects there to be:

- better and earlier engagement and communication between Auckland Transport and local boards;
- active consideration by Auckland Transport of which of its decision-making powers it could delegate to local boards (within the constraints created by the regulatory environment, safety considerations, the needs of regional networks and the role played by NZTA in decision-making).

xix. Note that the following activity statement has been included in Auckland Transport's 2017-2020 Statement of Intent:

'Participation in the governance review which is aimed at changing behaviours and processes across relevant Council family activities, including Auckland Transport, to enable local boards to give effect to their governance role, particularly around local place-shaping.'

xx. Note that the Governance Framework Review has investigated the potential delegation of a range of Auckland Transport powers and the working party recommends that additional work be undertaken to identify delegation opportunities

xxi. Direct Auckland Transport, in working with local boards, to:

- Ensure that local boards have a strong governance role in determining the 'look and feel' of town centres and streetscapes, in line with their allocation of non-regulatory decision-making
- Improve co-ordination between local place-shaping projects, such as town centre upgrades, and its renewals programmes
- Provide more opportunities for local board direction on the prioritisation of minor traffic safety projects, with the exception of those which Auckland Transport considers are of critical safety importance
- Be more responsive to local place-shaping initiatives in non-transport parts of the road corridor, including reducing or removing barriers to community place-making initiatives e.g. looking at ways to reduce the costs of developing traffic management plans for community events
- Take direction from local boards on how and where to implement community-focused programmes

xxii. Direct Auckland Transport to report to the governing body annually on how it is meeting the directions given under recommendation xxi.

xxiii. Note that the Quality Advice programme has been working with Auckland Transport to improve the quality of advice to local boards and will shortly begin regular six monthly surveys of local board members' satisfaction with Auckland Transport advice, engagement and reporting to local boards.

xxiv. Note that the Local Board Transport Capital Fund is valued by local boards but that the level of funding means that some boards are not able to progress meaningful projects, and that improved local transport outcomes may be achieved if the size of the fund was significantly increased.

xxv. Direct officers to report to the relevant governing body committee, through the Long Term Plan process, on options for significantly increasing the Local Board Transport Capital Fund and the method of allocation of the fund.

xxvi. Direct Auckland Transport to implement a more systematic work programme approach to assist local boards to identify potential projects and make decisions.

xxvii. Direct Auckland Transport to actively engage with governing body members (ward councillors) on transport projects and issues within their ward areas.

Waiheke Local Board pilot

xxviii. Agree that the governing body should endorse the Waiheke Local Board pilot project as set out in the Waiheke Local Board pilot project plan.

xxix. Note that the Waiheke Local Board will maintain oversight of local implementation of the pilot.

xxx. **Request that evaluation of the pilot is reported back to all local boards.**

Local boards funding and finance

xxxi. Agree that the enhanced status quo model be progressed now, giving as much additional decision making to local boards as possible within that framework.

xxxii. Agree that the additional work to support the Enhanced status quo model be undertaken – framework for service levels and local flexibility, options for addressing historical uneven funding, improving the information and advice that is provided to local boards.

xxxiii. Agree that further work on the implications of the local decision making model also be undertaken for further consideration - modelling of rates implication following the revaluation, costs to the organisation of supporting more local decision making etc.

The optimum number of local boards

xxxiv. **Agree that there is no need to change the current number of local boards as at this time as there is no overwhelming need to change.**

xxxv. **Agree that any decision to review the numbers of local boards should not occur until after the Governance Framework Review is completed and implemented and the outcomes of the re-organisation proposals for North Rodney and Waiheke are known.**

Methods of electing governing body members

xxxvi. **Agree that the election process for the number of governing body members and wards should remain unchanged as there is no identified need to change or undertake an in-depth review on the election of governing body members.**

xxxvii. **Note that the statutory review of representation arrangements for Auckland Council must be completed by September 2018.**

xxxviii. **Note that the Local Government Act Amendment Bill No. 2, which proposes a simplified process for local government-led reorganisation processes, is currently before Parliament.**

xxxix. **Support Auckland Council advocating to central government for legislative amendments that would allow for future changes to the number of governing body members in line with population change and to simplify the process for changes to the numbers and boundaries of local boards and the creation of Maori wards in Auckland.**

xl. **Support the following naming conventions for elected members of the Auckland Council as: Regional Council Member and Local Council Member.**

CARRIED

Resolution number HW/2017/150

MOVED by Member A White, seconded by Member J Spiller: _

That the Howick Local Board:

b) Provide local feedback as follows;

- **Agree that the enhanced status quo model be moved to immediately, noting that further work can be progressed within this framework rather than waiting for further work before implementing the enhanced status quo.**
- **Re-name the enhanced status quo to be called, "local decision-making within a bulk funded envelope."**
- **Provide additional decision-making to local boards by enabling them to increase or decrease service levels within and across asset based services activities provided that the impact is cost neutral, i.e. within the funding envelope.**

CARRIED

Resolution number HW/2017/151

MOVED by Member A White, seconded by Member J Spiller: _

That the Howick Local Board provide local feedback as follows;

c) Decision-making for exchanges of reserve land should sit with the local boards.

d) Agree that the joint local board/governing body political working party focusing on matters of governance impacting on both governance arms continues in some form.

CARRIED

Kaipātiki Local Board resolutions

MOVED by Chairperson D Grant, seconded by Deputy Chairperson J Gillon: _

That the Kaipātiki Local Board:

- a) provide feedback on the following draft positions and recommendations of the Political Working Party:

Regional policy and decision-making

- i. **support the political working party position** that council should implement new mechanisms that ensure effective local board input to regional policy decisions, via a framework that sets out, at a minimum:
 1. a process for involving local boards in the development of regional work programmes at the beginning of each term and in an annual refresh;
 2. earlier and more joint engagement between local boards and the governing body in regional decision-making processes;
 3. requirements for analysis of local impacts and local interest of regional decisions and options, and reporting of this to local boards and the governing body;
 4. specified criteria for categorising the potential local impact and local board interest of regional decisions;
 5. processes and methods for tailoring local board engagement in line with the local impact and local interest of regional decisions e.g. high categorisation requiring more specific local engagement and analysis, low categorisation requiring more cluster joint local board workshop sessions and less in depth analysis; and
 6. specified methods for engagement and communication with local boards at all stages of the decision-making process.
- ii. **support the political working party position** that local boards will be consulted on the details of these mechanisms prior to implementation.
- iii. note that the Quality Advice programme is continuing to be implemented in order to improve the quality of advice to elected members for decision-making, in line with the recommendations of the Governance Framework Review.
- iv. **support the political working party position** to not implement any formal policies or procedures that control when and how local boards may procure external or contestable advice, but note that, in principle, the Auckland Council organisation should be the first provider of advice to local boards.
- v. **support the political working party position** to not implement any policy or procedure that would limit local boards' ability to advocate to the governing body on regional issues.

Local decisions that may have regional impacts (development of a 'call-in' right)

- vi. note that an explicit call-in right is not possible under the Local Government (Auckland Council) Act.
- vii. **support the political working party position** to not implement any mechanism that would have the effect of 'calling in' or allocating decision-making to the governing body for otherwise local activities or decisions that have regional implications.
- viii. **support the political working party position to** direct officers that, whenever a local board is to make a decision that has potential impacts beyond the immediate local board area

e.g. a sub-regional impact or an impact on regional networks, advice on those potential impacts is to be provided to the local board as a matter of course (for example through a regional impact statement).

Allocations and delegations

- ix. **support the political working party position** that the governing body delegates, subject to the necessary statutory tests being met, the following Reserves Act 1977 decision-making functions for local reserves to local boards:
 - 1. declaration;
 - 2. classification;
 - 3. reclassification; and
 - 4. application for revocation of reserve status (limited to when there is a desire by a local board to manage open space under the Local Government Act).
- x. note that officers' advice on decision-making for exchanges of reserve land was that it should remain with the governing body, with the relevant local board consulted on these decisions.
- xi. note that the working party did not reach consensus on this issue, and will consider the feedback of local boards before making a recommendation.
- xii. **support the political working party position** that the Minister of Conservation's supervisory powers remain delegated to staff, but where there is likely to be significant public interest, an independent commissioner should be engaged.

The role of Auckland Transport and local boards

- xiii. note the critical interface between the local place-shaping role of local boards and Auckland Transport's jurisdiction over the road corridor and transport networks.
- xiv. note that the Governance Manual for Substantive Council Controlled Organisations requires Auckland Transport, amongst other things, to:
 - 1. develop, with local boards, a shared understanding of local board views and council controlled organisation priorities to inform the following year's business planning, including through an annual interactive workshop 'where local boards communicate their local board priorities and the council controlled organisations communicate how their current year work programme will contribute to local board priorities';
 - 2. develop by 31 July each year an annual local board engagement plan, which includes a schedule 'clearly indicat[ing] for each board, the projects and/or activities that it expects to report on, and the projects and activities that it expects to consult on, for the following year. This should be updated annually or more frequently if required.'; and
 - 3. report against their local board engagement plan in their quarterly performance reports to the Council Controlled Organisation Governance and Monitoring Committee.
- xv. **support the political working party position to** direct Auckland Transport to meet all requirements for local board engagement as set out in the Governance Manual for Substantive Council Controlled Organisations.
- xvi. **support the political working party position to** direct Auckland Council officers to monitor Auckland Transport's compliance with the requirements for local board engagement, as

- set out in the Governance Manual for Substantive Council Controlled Organisations, and to report this monitoring to the governing body at least annually.
- xvii. note that the Mayor's 2017 letter of expectation to Auckland Transport stipulated that council expects there to be:
1. better and earlier engagement and communication between Auckland Transport and local boards; and
 2. active consideration by Auckland Transport of which of its decision-making powers it could delegate to local boards (within the constraints created by the regulatory environment, safety considerations, the needs of regional networks and the role played by New Zealand Transport Agency in decision-making).
- xviii. note that the following activity statement has been included in Auckland Transport's 2017-2020 Statement of Intent:
1. 'Participation in the governance review which is aimed at changing behaviours and processes across relevant Council family activities, including Auckland Transport, to enable local boards to give effect to their governance role, particularly around local place-shaping.'
- xix. note that the Governance Framework Review has investigated the potential delegation of a range of Auckland Transport powers and the working party recommends that additional work be undertaken to identify delegation opportunities.
- xx. **support the political working party position to** direct Auckland Transport, in working with local boards, to:
1. ensure that local boards have a strong governance role in determining the 'look and feel' of town centres and streetscapes, in line with their allocation of non-regulatory decision-making;
 2. improve co-ordination between local place-shaping projects, such as town centre upgrades, and its renewals programmes;
 3. provide more opportunities for local board direction on the prioritisation of minor traffic safety projects, with the exception of those which Auckland Transport considers are of critical safety importance;
 4. be more responsive to local place-shaping initiatives in non-transport parts of the road corridor, including reducing or removing barriers to community place-making initiatives e.g. looking at ways to reduce the costs of developing traffic management plans for community events; and
 5. take direction from local boards on how and where to implement community-focused programmes.
- xxi. **support the political working party position to** direct Auckland Transport to report to the governing body annually on how it is meeting the directions given under recommendation xx) above.
- xxii. note that the Quality Advice programme has been working with Auckland Transport to improve the quality of advice to local boards and will shortly begin regular six monthly surveys of local board members' satisfaction with Auckland Transport advice, engagement and reporting to local boards.
- xxiii. note that the Local Board Transport Capital Fund is valued by local boards but that the level of funding means that some boards are not able to progress meaningful projects,

and that improved local transport outcomes may be achieved if the size of the fund was significantly increased.

- xxiv. **support the political working party position to** direct officers to report to the relevant governing body committee, through the Long Term Plan process, on options for significantly increasing the Local Board Transport Capital Fund and the method of allocation of the fund.
- xxv. **support the political working party position to** direct Auckland Transport to implement a more systematic work programme approach to assist local boards to identify potential projects and make decisions.
- xxvi. **support the political working party position to** direct Auckland Transport to actively engage with governing body members (ward councillors) on transport projects and issues within their ward areas.

Waiheke Local Board pilot

- xxvii. **support the political working party position** that the governing body should endorse the Waiheke Local Board pilot project as set out in the Waiheke Local Board pilot project plan.
- xxviii. note that the Waiheke Local Board will maintain oversight of local implementation of the pilot.

Local boards funding and finance

- xxix. **support the political working party position** that the enhanced status quo model be progressed now, giving as much additional decision-making to local boards as possible within that framework.
- xxx. **support the political working party position** that the additional work to support the Enhanced status quo model be undertaken, including the development of a framework for service levels and local flexibility, options for addressing historical uneven funding, improving the information and advice that is provided to local boards.
- xxxi. **support the political working party position that further work on the implications of the local decision-making model also be undertaken for further consideration as part of the next scheduled review of the governance model as required by the Local Government (Auckland Council) Act 2009, including the modelling of rates implication following the revaluation, costs to the organisation of supporting more local decision-making.**

The optimum number of local boards

- xxxii. support the political working party position that council should undertake no further work on changing the number of local boards until at least after the governance framework review has been completed and implemented, and the outcomes of reorganisation proposals that are underway for North Rodney and Waiheke Island are known.

Methods of electing governing body members

- xxxiii. support the political working party position that a change to the current system of electing governing body members from existing wards is not warranted purely to address alignment between governing body members and local boards.
- xxxiv. note that the statutory review of representation arrangements for Auckland Council must be completed by September 2018.
- xxxv. note that the Local Government Act Amendment Bill No. 2, which proposes a simplified

process for local government-led reorganisation processes, is currently before Parliament.

xxxvi. support the political working party position that council should continue to advocate to central government for legislative amendments that would allow changes to the number of governing body members in line with population changes, and simplification of the process for changes to numbers and boundaries of local boards.

- b) support the delegation of decision-making regarding the exchange of reserve land to local boards, particularly when the exchange occurs between two parcels of land within the local board area.
- c) support use of the term 'local councillor' as the preferred naming convention for local board members, as our community does not currently understand the roles and responsibilities of the different arms of governance, and the board believes that it is the responsibility of the governing body to develop a naming convention for themselves that makes sense and provides clarity of role for the community.
- d) support the continuation of the political working party (PWP), comprised of governing body and local board members as identified in Option 2 of the agenda report, with the following additional comments:
 - i. the local board agrees that the PWP would provide a valuable vehicle for considering matters that impact on both arms of governance, and as a mechanism to make recommendations to the governing body on these matters;
 - ii. the role and function of the PWP should be expanded to ensure ongoing and continual oversight of the implementation of any recommendations that emanate from this review, with particular focus given to the development and monitoring of a project plan, including clear deliverables and timelines aligned to those matters that have been identified within this review to require further work by council staff; and
 - iii. requests that an opportunity be provided for an improved, broadened and more inclusive process of selecting working party members in the event that the PWP is retained.

MOVED by Member L Waugh, seconded by Member A Hartley:

Moved an amendment to the original motion as follows:

That the Kaipātiki Local Board:

- a) acknowledges the significant improvements that have been achieved under the new Auckland governance structure established in 2010, with particular regard to improvements to Auckland's public transport revolution, as well as the ability for local boards to truly represent their local communities to readdress historic inequality.
- b) thanks the political working party for their recommendations and provide the following feedback on the draft positions (no changes to subclauses as originally moved by Chairperson).
- c) retain original recommendations b) through d) as originally moved by Chairperson.

A division was called for, voting on which was as follows:

<u>For</u>	<u>Against</u>	<u>Abstained</u>
Member A Hartley	Deputy Chairperson J Gillon	
Member K McIntyre	Member P Gillon	

Member L Waugh

Member A Smithson

Member A Tyler

Chairperson D Grant

The motion was declared LOST by 3 votes to 5.

LOST

The Chairperson put the substantive motion.

MOVED by Chairperson D Grant, seconded by Deputy Chairperson J Gillon:

Resolution number KT/2017/106

That the Kaipātiki Local Board:

a) provides feedback on the following draft positions and recommendations of the Political Working Party:

Regional policy and decision-making

- i. supports the political working party position that council should implement new mechanisms that ensure effective local board input to regional policy decisions, via a framework that sets out, at a minimum:
 1. a process for involving local boards in the development of regional work programmes at the beginning of each term and in an annual refresh;
 2. earlier and more joint engagement between local boards and the governing body in regional decision-making processes;
 3. requirements for analysis of local impacts and local interest of regional decisions and options, and reporting of this to local boards and the governing body;
 4. specified criteria for categorising the potential local impact and local board interest of regional decisions;
 5. processes and methods for tailoring local board engagement in line with the local impact and local interest of regional decisions e.g. high categorisation requiring more specific local engagement and analysis, low categorisation requiring more cluster joint local board workshop sessions and less in depth analysis; and
 6. specified methods for engagement and communication with local boards at all stages of the decision-making process.
- ii. supports the political working party position that local boards will be consulted on the details of these mechanisms prior to implementation.
- iii. notes that the Quality Advice programme is continuing to be implemented in order to improve the quality of advice to elected members for decision-making, in line with the recommendations of the Governance Framework Review.
- iv. supports the political working party position to not implement any formal policies or procedures that control when and how local boards may procure external or contestable advice, but note that, in principle, the Auckland Council organisation

should be the first provider of advice to local boards.

- v. supports the political working party position to not implement any policy or procedure that would limit local boards' ability to advocate to the governing body on regional issues.

Local decisions that may have regional impacts (development of a 'call-in' right)

- vi. notes that an explicit call-in right is not possible under the Local Government (Auckland Council) Act.
- vii. supports the political working party position to not implement any mechanism that would have the effect of 'calling in' or allocating decision-making to the governing body for otherwise local activities or decisions that have regional implications.
- viii. supports the political working party position to direct officers that, whenever a local board is to make a decision that has potential impacts beyond the immediate local board area e.g. a sub-regional impact or an impact on regional networks, advice on those potential impacts is to be provided to the local board as a matter of course (for example through a regional impact statement).

Allocations and delegations

- ix. supports the political working party position that the governing body delegates, subject to the necessary statutory tests being met, the following Reserves Act 1977 decision-making functions for local reserves to local boards:
 - 1. declaration;
 - 2. classification;
 - 3. reclassification; and
 - 4. application for revocation of reserve status (limited to when there is a desire by a local board to manage open space under the Local Government Act).
- x. notes that officers' advice on decision-making for exchanges of reserve land was that it should remain with the governing body, with the relevant local board consulted on these decisions.
- xi. notes that the working party did not reach consensus on this issue, and will consider the feedback of local boards before making a recommendation.
- xii. supports the political working party position that the Minister of Conservation's supervisory powers remain delegated to staff, but where there is likely to be significant public interest, an independent commissioner should be engaged.

The role of Auckland Transport and local boards

- xiii. notes the critical interface between the local place-shaping role of local boards and Auckland Transport's jurisdiction over the road corridor and transport networks.
- xiv. notes that the Governance Manual for Substantive Council Controlled Organisations requires Auckland Transport, amongst other things, to:
 - 1. develop, with local boards, a shared understanding of local board views and council controlled organisation priorities to inform the following year's business planning, including through an annual interactive workshop 'where

- local boards communicate their local board priorities and the council controlled organisations communicate how their current year work programme will contribute to local board priorities’;
2. develop by 31 July each year an annual local board engagement plan, which includes a schedule ‘clearly indicat[ing] for each board, the projects and/or activities that it expects to report on, and the projects and activities that it expects to consult on, for the following year. This should be updated annually or more frequently if required.’; and
 3. report against their local board engagement plan in their quarterly performance reports to the Council Controlled Organisation Governance and Monitoring Committee.
- xv. supports the political working party position to direct Auckland Transport to meet all requirements for local board engagement as set out in the Governance Manual for Substantive Council Controlled Organisations.
- xvi. supports the political working party position to direct Auckland Council officers to monitor Auckland Transport’s compliance with the requirements for local board engagement, as set out in the Governance Manual for Substantive Council Controlled Organisations, and to report this monitoring to the governing body at least annually.
- xvii. notes that the Mayor’s 2017 letter of expectation to Auckland Transport stipulated that council expects there to be:
1. better and earlier engagement and communication between Auckland Transport and local boards; and
 2. active consideration by Auckland Transport of which of its decision-making powers it could delegate to local boards (within the constraints created by the regulatory environment, safety considerations, the needs of regional networks and the role played by New Zealand Transport Agency in decision-making).
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1. ‘Participation in the governance review which is aimed at changing behaviours and processes across relevant Council family activities, including Auckland Transport, to enable local boards to give effect to their governance role, particularly around local place-shaping.’
- xix. notes that the Governance Framework Review has investigated the potential delegation of a range of Auckland Transport powers and the working party recommends that additional work be undertaken to identify delegation opportunities.
- xx. supports the political working party position to direct Auckland Transport, in working with local boards, to:
1. ensure that local boards have a strong governance role in determining the ‘look and feel’ of town centres and streetscapes, in line with their allocation of non-regulatory decision-making;
 2. improve co-ordination between local place-shaping projects, such as town

centre upgrades, and its renewals programmes;

3. provide more opportunities for local board direction on the prioritisation of minor traffic safety projects, with the exception of those which Auckland Transport considers are of critical safety importance;
 4. be more responsive to local place-shaping initiatives in non-transport parts of the road corridor, including reducing or removing barriers to community place-making initiatives e.g. looking at ways to reduce the costs of developing traffic management plans for community events; and
 5. take direction from local boards on how and where to implement community-focused programmes.
- xxi. supports the political working party position to direct Auckland Transport to report to the governing body annually on how it is meeting the directions given under recommendation xx) above.
- xxii. notes that the Quality Advice programme has been working with Auckland Transport to improve the quality of advice to local boards and will shortly begin regular six monthly surveys of local board members' satisfaction with Auckland Transport advice, engagement and reporting to local boards.
- xxiii. notes that the Local Board Transport Capital Fund is valued by local boards but that the level of funding means that some boards are not able to progress meaningful projects, and that improved local transport outcomes may be achieved if the size of the fund was significantly increased.
- xxiv. supports the political working party position to direct officers to report to the relevant governing body committee, through the Long Term Plan process, on options for significantly increasing the Local Board Transport Capital Fund and the method of allocation of the fund.
- xxv. support the political working party position to direct Auckland Transport to implement a more systematic work programme approach to assist local boards to identify potential projects and make decisions.
- xxvi. supports the political working party position to direct Auckland Transport to actively engage with governing body members (ward councillors) on transport projects and issues within their ward areas.

Waiheke Local Board pilot

- xxvii. supports the political working party position that the governing body should endorse the Waiheke Local Board pilot project as set out in the Waiheke Local Board pilot project plan.
- xxviii. notes that the Waiheke Local Board will maintain oversight of local implementation of the pilot.

Local boards funding and finance

- xxix. supports the political working party position that the enhanced status quo model be progressed now, giving as much additional decision-making to local boards as possible within that framework.
- xxx. supports the political working party position that the additional work to support the Enhanced status quo model be undertaken, including the development of a framework for service levels and local flexibility, options for addressing historical

uneven funding, improving the information and advice that is provided to local boards.

- xxxi. supports the political working party position that further work on the implications of the local decision-making model also be undertaken for further consideration as part of the next scheduled review of the governance model as required by the Local Government (Auckland Council) Act 2009, including the modelling of rates implication following the revaluation, costs to the organisation of supporting more local decision-making.

The optimum number of local boards

- xxxii. supports the political working party position that council should undertake no further work on changing the number of local boards until at least after the governance framework review has been completed and implemented, and the outcomes of reorganisation proposals that are underway for North Rodney and Waiheke Island are known.

Methods of electing governing body members

- xxxiii. supports the political working party position that a change to the current system of electing governing body members from existing wards is not warranted purely to address alignment between governing body members and local boards.
 - xxxiv. notes that the statutory review of representation arrangements for Auckland Council must be completed by September 2018.
 - xxxv. notes that the Local Government Act Amendment Bill No. 2, which proposes a simplified process for local government-led reorganisation processes, is currently before Parliament.
 - xxxvi. supports the political working party position that council should continue to advocate to central government for legislative amendments that would allow changes to the number of governing body members in line with population changes, and simplification of the process for changes to numbers and boundaries of local boards.
- b) supports the delegation of decision-making regarding the exchange of reserve land to local boards, particularly when the exchange occurs between two parcels of land within the local board area.
 - c) supports use of the term 'local councillor' as the preferred naming convention for local board members, as our community does not currently understand the roles and responsibilities of the different arms of governance, and the board believes that it is the responsibility of the governing body to develop a naming convention for themselves that makes sense and provides clarity of role for the community.
 - d) supports the continuation of the political working party (PWP), comprised of governing body and local board members as identified in Option 2 of the agenda report, with the following additional comments:
 - i. the local board agrees that the PWP would provide a valuable vehicle for considering matters that impact on both arms of governance, and as a mechanism to make recommendations to the governing body on these matters;
 - ii. the role and function of the PWP should be expanded to ensure ongoing and continual oversight of the implementation of any recommendations that emanate

from this review, with particular focus given to the development and monitoring of a project plan, including clear deliverables and timelines aligned to those matters that have been identified within this review to require further work by council staff; and

- iii. requests that an opportunity be provided for an improved, broadened and more inclusive process of selecting working party members in the event that the PWP is retained.**

The substantive motion was declared

CARRIED

Māngere-Ōtāhuhu Local Board resolutions

Resolution number MO/2017/160

MOVED by Chairperson L Sosene, seconded by Member C O'Brien: _

That the Māngere-Ōtāhuhu Local Board:

a) Provide the following feedback on the draft positions and recommendations of the Political Working Party:

Regional policy and decision-making

i. Agree that council should implement new mechanisms that ensure effective local board input to regional policy decisions, via a framework that sets out, at a minimum:

- A process for involving local boards in the development of regional work programmes at the beginning of each term and in an annual refresh;**
- Earlier and more joint engagement between local boards and the governing body in regional decision-making processes**
- Requirements for analysis of local impacts and local interest of regional decisions and options, and reporting of this to local boards and the governing body**
- Specified criteria for categorising the potential local impact and local board interest of regional decisions**
- Processes and methods for tailoring local board engagement in line with the local impact and local interest of regional decisions e.g. high categorisation requiring more specific local engagement and analysis, low categorisation requiring more cluster joint local board workshop sessions and less in depth analysis.**
- Specified methods for engagement and communication with local boards at all stages of the decision-making process.**

ii. Direct that local boards will be consulted on the details of these mechanisms prior to implementation.

iii. Note that the Quality Advice programme is continuing to be implemented in order to improve the quality of advice to elected members for decision-making, in line with the recommendations of the Governance Framework Review.

iv. Agree not to implement any formal policies or procedures that control when and how local boards may procure external or contestable advice, but note that, in principle, the Auckland Council organisation should be the first provider of advice to local boards.

v. Agree not to implement any policy or procedure that would limit local boards' ability to advocate to the governing body on regional issues.

Local decisions that may have regional impacts (development of a 'call-in' right)

vi. Note that an explicit call-in right is not possible under the Local Government (Auckland Council) Act

vii. Agree not to implement any mechanism that would have the effect of 'calling in' or allocating decision-making to the governing body for otherwise local activities or decisions that have regional implications.

viii. Direct officers that, whenever a local board is to make a decision that has potential impacts beyond the immediate local board area e.g. a sub-regional impact or an impact on regional networks, advice on those potential impacts is to be provided to the local board as a matter of course (for example through a regional impact statement).

Allocations and delegations

ix. Agree that the governing body delegates, subject to the necessary statutory tests being met, the following Reserves Act 1977 decision-making functions for local reserves to local boards:

- declaration
- classification
- reclassification
- application for revocation of reserve status (limited to when there is a desire by a local board to manage open space under the LGA)

x. Agree that the Minister of Conservation's supervisory powers remain delegated to staff, but where there is likely to be significant public interest, an independent commissioner should be engaged.

The role of Auckland Transport and local boards

xi. Note the critical interface between the local place-shaping role of local boards and Auckland Transport's jurisdiction over the road corridor and transport networks.

xii. Note that the Governance Manual for Substantive CCOs requires Auckland Transport, amongst other things, to:

- develop, with local boards, a shared understanding of local board views and CCO priorities to inform the following year's business planning, including through an annual interactive workshop 'where local boards communicate their local board priorities and the CCOs communicate how their current year work programme will contribute to local board priorities'.
- develop by 31 July each year an annual local board engagement plan, which includes a schedule 'clearly indicat[ing] for each board, the projects and/or activities that it expects to report on, and the projects and activities that it expects to consult on, for the following year. This should be updated annually or more frequently if required.'
- report against their local board engagement plan in their quarterly performance reports to the CCO Governance and Monitoring Committee.

xiii. Direct Auckland Transport to meet all requirements for local board engagement as set out in the Governance Manual for Substantive CCOs.

xiv. Direct Auckland Council officers to monitor Auckland Transport's compliance with the requirements for local board engagement, as set out in the Governance Manual for Substantive CCOs, and to report this monitoring to the governing body at least annually.

xv. Note that the Mayor's 2017 letter of expectation to Auckland Transport stipulated that council expects there to be:

- better and earlier engagement and communication between Auckland

Transport and local boards;

active consideration by Auckland Transport of which of its decision-making powers it could delegate to local boards (within the constraints created by the regulatory environment, safety considerations, the needs of regional networks and the role played by NZTA in decision-making).

xvi. Note that the following activity statement has been included in Auckland Transport's 2017-2020 Statement of Intent:

'Participation in the governance review which is aimed at changing behaviours and processes across relevant Council family activities, including Auckland Transport, to enable local boards to give effect to their governance role, particularly around local place-shaping.'

xvii. Note that the Governance Framework Review has investigated the potential delegation of a range of Auckland Transport powers and the working party recommends that additional work be undertaken to identify delegation opportunities

xviii. Direct Auckland Transport, in working with local boards, to:

Ensure that local boards have a strong governance role in determining the 'look and feel' of town centres and streetscapes, in line with their allocation of non-regulatory decision-making

Improve co-ordination between local place-shaping projects, such as town centre upgrades, and its renewals programmes

Provide more opportunities for local board direction on the prioritisation of minor traffic safety projects, with the exception of those which Auckland Transport considers are of critical safety importance

Be more responsive to local place-shaping initiatives in non-transport parts of the road corridor, including reducing or removing barriers to community place-making initiatives e.g. looking at ways to reduce the costs of developing traffic management plans for community events

Take direction from local boards on how and where to implement community-focused programmes

xix. Direct Auckland Transport to report to the governing body annually on how it is meeting the directions given under recommendation xx.

xx. Note that the Quality Advice programme has been working with Auckland Transport to improve the quality of advice to local boards and will shortly begin regular six monthly surveys of local board members' satisfaction with Auckland Transport advice, engagement and reporting to local boards.

xxi. Note that the Local Board Transport Capital Fund is valued by local boards but that the level of funding means that some boards are not able to progress meaningful projects, and that improved local transport outcomes may be achieved if the size of the fund was significantly increased.

xxii. Direct officers to report to the relevant governing body committee, through the Long Term Plan process, on options for significantly increasing the Local Board Transport Capital Fund and the method of allocation of the fund.

xxiii. Direct Auckland Transport to implement a more systematic work programme

approach to assist local boards to identify potential projects and make decisions.

xxiv. Direct Auckland Transport to actively engage with governing body members (ward councillors) on transport projects and issues within their ward areas.

Waiheke Local Board pilot

xxv. Agree that the governing body should endorse the Waiheke Local Board pilot project as set out in the Waiheke Local Board pilot project plan, **although the Māngere-Ōtāhuhu Local Board is surprised that such effort and resource is being directed towards a local board area seeking to leave Auckland Council under a local government reorganisation proposal.**

xxvi. Note that the Waiheke Local Board will maintain oversight of local implementation of the pilot.

Local boards funding and finance

xxvii. Agree that the enhanced status quo model be progressed now, giving as much additional decision making to local boards as possible within that framework.

xxviii. Agree that the additional work to support the Enhanced status quo model be undertaken – framework for service levels and local flexibility, options for addressing historical uneven funding, bulk funding of asset based services, improving the information and advice that is provided to local boards.

xxix. Agree that further work on the implications of the local decision making model also be undertaken for further consideration - modelling of rates implication following the revaluation, costs to the organisation of supporting more local decision making etc. **The Māngere-Ōtāhuhu Local Board supports further work on this option and agrees with principles around a local rates model that would give local boards more opportunity to exercise effective and comprehensive local decision-making and place-shaping. However, local board members have considerable concerns about this model, as the local board cannot guarantee that the Governing Body would agree a local rates model that takes sufficient account of deprivation in our community and ensures our local ratepayers don't face significant increases for the same levels of service.**

The optimum number of local boards

xxx. Agree that council should undertake no further work on changing the number of local boards until at least after the governance framework review has been completed and implemented, and the outcomes of reorganisation proposals that are underway for North Rodney and Waiheke Island are known.

Methods of electing governing body members

xxxi. Agree that a change to the current system of electing governing body members from existing wards is not warranted purely to address alignment between governing body members and local boards

xxxii. Note that the statutory review of representation arrangements for Auckland Council must be completed by September 2018.

xxxiii. Note that the Local Government Act Amendment Bill No. 2, which proposes a simplified process for local government-led reorganisation processes, is currently before Parliament.

xxxiv. Agree that council should continue to advocate to central government for legislative amendments that would allow changes to the number of governing body members in line with population changes, and simplification of the process for changes to numbers and boundaries of local boards.

b) Provide feedback that decision-making for exchanges of reserve land is best undertaken with a regional view and should continue to sit with the governing body, provided local boards are well consulted and involved in the process.

c) Provide feedback that its preferred naming conventions for governing body members and local board members are Councillor and Local Councillor respectively.

d) Provide feedback that a joint local board/governing body political working party should continue with the work of ensuring these recommendations are implemented and to keep the shared governance model on track.

CARRIED

Manurewa Local Board resolutions

Resolution number MR/2017/187

MOVED by Chairperson A Dalton, seconded by Member S Colcord: _

That the Manurewa Local Board:

a) provide feedback on the following draft positions and recommendations of the Political Working Party:

Regional policy and decision-making

i. agree that council should implement new mechanisms that ensure effective local board input to regional policy decisions, via a framework that sets out, at a minimum:

- a process for involving local boards in the development of regional work programmes at the beginning of each term and in an annual refresh
- earlier and more joint engagement between local boards and the governing body in regional decision-making processes
- requirements for analysis of local impacts and local interest of regional decisions and options, and reporting of this to local boards and the governing body
- specified criteria for categorising the potential local impact and local board interest of regional decisions
- processes and methods for tailoring local board engagement in line with the local impact and local interest of regional decisions e.g. high categorisation requiring more specific local engagement and analysis, low categorisation requiring more cluster joint local board workshop sessions and less in depth analysis
- specified methods for engagement and communication with local boards at all stages of the decision-making process, noting that some timeframes e.g. central government submissions are outside council's control and the methods may not be able to be implemented in all cases.

ii. direct that local boards will be consulted on the details of these mechanisms prior to implementation.

iii. note that the Quality Advice programme is continuing to be implemented in order to improve the quality of advice to elected members for decision-making, in line with the recommendations of the Governance Framework Review.

iv. **request sufficient capacity for development of policy that is a priority for multiple local boards.**

v. agree not to implement any formal policies or procedures that control when and how local boards may procure external or contestable advice, but note that, in principle, the Auckland Council organisation should be the first provider of advice to local boards.

vi. agree not to implement any policy or procedure that would limit local boards' ability to advocate to the governing body on regional issues.

Local decisions that may have regional impacts (development of a 'call-in' right)

vii. note that an explicit call-in right is not possible under the Local Government (Auckland Council) Act.

viii. agree not to implement any mechanism that would have the effect of 'calling in' or allocating decision-making to the governing body for otherwise local activities or decisions that have regional implications.

ix. direct officers that, whenever a local board is to make a decision that has

potential impacts beyond the immediate local board area e.g. a sub-regional impact or an impact on regional networks, advice on those potential impacts is to be provided to the local board as a matter of course (for example through a regional impact statement).

x. **express its expectation that where a local board is to make a decision that affects local activities in any other local board area, the decision-making local board shall consult with any affected local board.**

Allocations and delegations

xi. agree that the governing body delegates, subject to the necessary statutory tests being met, the following Reserves Act 1977 decision-making functions for local reserves to local boards:

- declaration
- classification
- reclassification
- application for revocation of reserve status (limited to when there is a desire by a local board to manage open space under the LGA).

xii. note that officers' advice on decision-making for exchanges of reserve land was that it should remain with the governing body, with the relevant local board consulted on these decisions.

xiii. note that the working party did not reach consensus on this issue, and will consider the feedback of local boards before making a recommendation.

xiv. Agree that the Minister of Conservation's supervisory powers remain delegated to staff, but where there is likely to be significant public interest, an independent commissioner should be engaged.

xv. **note that budgets need to follow decision-making, so the change from regional to local decision-making will require a reallocation from regional to local budgets.**

The role of Auckland Transport and local boards

xvi. **note the role of local boards in place-making and that Auckland Transport should be guided by and responsive to local boards acting in this role to enable them to give effect to this role.**

xvii. note the critical interface between the local place-shaping role of local boards and Auckland Transport's jurisdiction over the road corridor and transport networks.

xviii. **request that Auckland Transport seek local board endorsement for projects where there are place-making outcomes before proceeding to delivery.**

xix. **request that Auckland Transport provide local boards with the opportunity to influence the prioritisation of projects in the local work programme prior to its finalisation.**

xx. **request Auckland Transport formally provide local boards with a local work programme for each financial year (prior to the commencement of the year) with regular formal updates on any changes to the programme.**

xxi. note that the Governance Manual for Substantive CCOs requires Auckland Transport, amongst other things, to:

- develop, with local boards, a shared understanding of local board views and CCO priorities to inform the following year's business planning, including through an annual interactive workshop 'where local boards communicate their local board priorities and the CCOs communicate how their current year work programme will contribute to local

board priorities’

develop by 31 July each year an annual local board engagement plan, which includes a schedule ‘clearly indicat[ing] for each board, the projects and/or activities that it expects to report on, and the projects and activities that it expects to consult on, for the following year. This should be updated annually or more frequently if required

report against their local board engagement plan in their quarterly performance reports to the CCO Governance and Monitoring Committee.

xxii. note that the CCO Governance Manual requires Auckland Transport to include in its engagement plan how it will give local boards an opportunity to influence or to respond to the following:

decisions affecting a local board’s governance role

decisions having a significant local impact (including mitigation measures)

decisions requiring a CCO to undertake community consultation (local or regional).

xxiii. direct Auckland Transport to meet all requirements for local board engagement as set out in the Governance Manual for Substantive CCOs.

xxiv. direct Auckland Council officers to monitor Auckland Transport’s compliance with the requirements for local board engagement, as set out in the Governance Manual for Substantive CCOs, and to report this monitoring to the governing body at least annually.

xxv. note that the Mayor’s 2017 letter of expectation to Auckland Transport stipulated that council expects there to be:

better and earlier engagement and communication between Auckland Transport and local boards

active consideration by Auckland Transport of which of its decision-making powers it could delegate to local boards (within the constraints created by the regulatory environment, safety considerations, the needs of regional networks and the role played by NZTA in decision-making).

xxvi. note that the following activity statement has been included in Auckland Transport’s 2017-2020 Statement of Intent:

‘participation in the governance review which is aimed at changing behaviours and processes across relevant Council family activities, including Auckland Transport, to enable local boards to give effect to their governance role, particularly around local place-shaping.’

xxvii. note that the Governance Framework Review has investigated the potential delegation of a range of Auckland Transport powers and the working party recommends that additional work be undertaken to identify delegation opportunities.

xxviii. direct Auckland Transport, in working with local boards, to:

ensure that local boards have a strong governance role in determining the ‘look and feel’ of town centres and streetscapes, in line with their allocation of non-regulatory decision-making

improve co-ordination between local place-shaping projects, such as town centre upgrades, and its renewals programmes

provide more opportunities for local board direction on the prioritisation of minor traffic safety projects, with the exception of those which Auckland Transport considers are of critical safety importance

be more responsive to local place-shaping initiatives in non-transport parts of the road corridor, including reducing or removing barriers to community place-making initiatives e.g. looking at ways to reduce the costs of developing traffic management

plans for community events

□ take direction from local boards on how and where to implement community-focused programmes.

xxix. direct Auckland Transport to report to the governing body annually on how it is meeting the directions given under recommendation xxiv.

xxx. note that the Quality Advice programme has been working with Auckland Transport to improve the quality of advice to local boards and will shortly begin regular six monthly surveys of local board members' satisfaction with Auckland Transport advice, engagement and reporting to local boards.

xxxii. note that the Local Board Transport Capital Fund is valued by local boards but that the level of funding means that some boards are not able to progress meaningful projects, and that improved local transport outcomes may be achieved if the size of the fund was significantly increased.

xxxiii. direct officers to report to the relevant governing body committee, through the Long Term Plan process, on options for significantly increasing the Local Board Transport Capital Fund and the method of allocation of the fund.

xxxiv. direct Auckland Transport to implement a more systematic work programme approach to assist local boards to identify potential projects and make decisions.

xxxv. direct Auckland Transport to actively engage with governing body members (ward councillors) on transport projects and issues within their ward areas.

xxxvi. **support Local Board Transport Capital Fund Option 2 to increase the size of the Local Board Transport Capital Fund from \$11 million to \$20 million to provide local boards with a more systematic work programme approach to managing projects.**

Waiheke Local Board pilot

xxxvii. agree that the governing body should endorse the Waiheke Local Board pilot project as set out in the Waiheke Local Board pilot project plan.

xxxviii. note that the Waiheke Local Board will maintain oversight of local implementation of the pilot.

xxxix. **request that evaluation of the pilot is reported back to all local boards.**

Local boards funding and finance

xl. **agree to pilot the local rate model for local boards who opt in.**

xli. **agree that the enhanced status quo model be moved to immediately, noting that further work can be progressed within the timeframe rather than waiting for further work before implementing the enhanced status quo.**

xlii. **agree that the enhanced status quo be renamed to be called 'Local decision making within a funding envelope'.**

xliii. **provide additional decision making to local boards by enabling them to increase or decrease service levels within and across ABS activities provided the impact is within the funding envelope.**

xliv. agree that the additional work to support the Enhanced status quo model be undertaken – framework for service levels and local flexibility, options for addressing historical uneven funding, improving the information and advice that is provided to local boards.

xlv. agree that further work on the implications of the local decision making model also be undertaken for further consideration - modelling of rates implication following the revaluation, costs to the organisation of supporting more local decision

making etc.

The optimum number of local boards

xlv. agree that council should undertake no further work on changing the number of local boards until at least after the governance framework review has been completed and implemented, and the outcomes of reorganisation proposals that are underway for North Rodney and Waiheke Island are known.

Methods of electing governing body members

xlvi. agree that the election process for the number of governing body members and wards should remain unchanged as there is no identified need to change or undertake an in-depth review on the election of governing body members.

xlvii. note that the statutory review of representation arrangements for Auckland Council must be completed by September 2018.

xlviii. note that the Local Government Act Amendment Bill No. 2, which proposes a simplified process for local government-led reorganisation processes, is currently before Parliament.

xlix. support Auckland Council advocating to central government for legislative amendments that would allow for future changes to the number of governing body members in line with population change and to simplify the process for changes to the numbers and boundaries of local boards and the creation of Maori wards in Auckland.

i. support the following naming conventions for elected members of the Auckland Council as Councillor and Local Councillor.

b) provide feedback that decision-making for exchanges of reserve land should sit with local boards.

c) provide feedback that its preferred naming conventions for governing body members and local board members are Councillor and Local Councillor respectively.

d) provide feedback that a joint local board/governing body political working party should continue.

e) delegates to Chairperson, Angela Dalton and Deputy Chair, Rangi McLean to consider any additional information and Political Working Party positions that arise before the Governing Body makes the final decision in September and subject to consultation with other board members revise the board's agreed positions as appropriate.

CARRIED

Maungakiekie-Tāmaki Local Board resolutions

Governance Framework Review recommendations

Resolution number MT/2017/141

MOVED by Chairperson J Bartley, seconded by Deputy Chairperson D Allan:

That the Maungakiekie-Tāmaki Local Board:

- a) **provide feedback on the following draft positions and recommendations of the Political Working Party:**

Regional policy and decision-making

- i) **agree that council should implement new mechanisms that ensure effective local board input to regional policy decisions, via a framework that sets out at a minimum:**
- 1) **a process for involving local boards in the development of jointly agreed regional work programmes at the beginning of each term and in an annual refresh;**
 - 2) **earlier and more joint engagement between local boards and the governing body in regional decision-making processes;**
 - 3) **requirements for analysis of local impacts and local interest of regional decisions and options, and reporting of this to local boards and the Governing body;**
 - 4) **specified criteria for categorising the potential local impact and local board interest of regional decisions;**
 - 5) **processes and methods for tailoring local board engagement in line with the local impact and local interest of regional decisions (e.g. high categorisation requiring more specific local engagement and analysis, low categorisation requiring more cluster / joint local board workshop sessions and less in depth analysis);**
 - 6) **specified methods for engagement and communication with local boards at all stages of the decision-making process, **noting that some timeframes eg central government submissions are outside council's control and the methods may not be able to be implemented in all cases;****
- ii) **direct that local boards will be consulted on the details of these mechanisms prior to implementation;**
- iii) **request sufficient capacity for development of policy that is a priority for multiple local boards;**
- iv) **note that the quality advice programme is continuing, in line with the recommendations of the Governance Framework Review;**
- v) **agree not to implement any formal policies or procedures that control when and how local boards may procure external or contestable advice, but note that in principle the Auckland Council organisation should be the first provider of advice to local boards;**
- vi) **express its views that the provision of contestable advice should be based on enabling the local board to receive objective and independent advice in addition to what is provided within the council's capacity;**
- vii) **agree not to implement any policy or procedure that would limit local boards' ability to advocate to the governing body on regional issues;**

Local decisions that may have regional impacts (development of a 'call-in' right)

- viii) **note that council's legal advice is that it cannot implement a 'call-in' right and agree not to implement any mechanism that would have the effect of 'calling in' or allocating decision-making to the governing body for otherwise local**

activities or decisions that have regional implications;

- ix) direct officers that, whenever a local board is to make a decision that has potential impacts beyond the immediate local board area (e.g. a sub-regional impact or an impact on regional networks), advice on those potential impacts is to be provided to the local board as a matter of course (for example through a regional impact statement);
- x) **express its expectation that where a local board is to make a decision that affects local activities in any other local board area, the decision-making local board shall consult with any affected local board;**

Allocations and delegations

- xi) agree that the Governing body delegates, subject to the necessary statutory tests being met, the following Reserves Act decision-making functions for local reserves to local boards:
 - 1) declaration;
 - 2) classification;
 - 3) reclassification;
 - 4) application for revocation of reserve status (limited to when there is a desire by a local board to manage open space under the LGA);
- xii) **note that budgets need to follow decision-making, so the change from regional to local decision-making will require a reallocation from regional to local budgets;**
- xiii) **request that the Governing body delegates the decision on exchanges of reserve land to local boards;**
- xiv) agree that the Minister of Conservation's supervisory powers remain delegated to staff, but where there is likely to be significant public interest, an independent commissioner should be engaged;

The role of Auckland Transport and local boards

- xv) note the critical interface between the local place-shaping role of local boards and Auckland Transport's jurisdiction over the road corridor and transport networks;
- xvi) note that the Governance Manual for Substantive CCOs requires Auckland Transport, amongst other things, to:
 - 1) develop, with local boards, a shared understanding of local board views and CCO priorities to inform the following year's business planning, including through an annual interactive workshop 'where local boards communicate their local board priorities and the CCOs communicate how their current year work programme will contribute to local board priorities';
 - 2) develop by 31 July each year an annual local board engagement plan, which includes a schedule 'clearly indicat[ing] for each board, the projects and/or activities that it expects to report on, and the projects and activities that it expects to consult on, for the following year. This should be updated annually or more frequently if required';
 - 3) report against their local board engagement plan in their quarterly performance reports to the CCO Governance and Monitoring Committee;
- xvii) direct Auckland Transport to meet all requirements for local board engagement as set out in the Governance Manual for Substantive CCOs;
- xviii) direct Auckland Council officers to monitor Auckland Transport compliance with the requirements for local board engagement, as set out in the

- Governance Manual for Substantive CCOs, and to report this monitoring to the governing body at least annually;**
- xix) note that the mayor's 2017 letter of expectation to Auckland Transport stipulated that council expects there to be:**
- 1) better and earlier engagement and communication between Auckland Transport and local boards;**
 - 2) active consideration by Auckland Transport of which of its decision-making powers it could delegate to local boards (within the constraints created by the regulatory environment, safety considerations, the needs of regional networks and the role played by NZTA in decision-making);**
- xx) note that the following activity statement has been included in Auckland Transport's 2017-2020 Statement of Intent:**
- 'Participation in the governance review which is aimed at changing behaviours and processes across relevant Council family activities, including Auckland Transport, to enable local boards to give effect to their governance role, particularly around local place-shaping.'**
- xxi) note that the Governance Framework Review has investigated the potential delegation of a range of Auckland Transport powers and found that delegation of decision-making generally does not perform well against key criteria;**
- xxii) direct Auckland Transport, in working with local boards, to:**
- 1) ensure that local boards have a strong governance role in determining the 'look and feel' of town centres and streetscapes, in line with their allocation of non-regulatory decision-making;**
 - 2) improve co-ordination between local place-shaping projects, such as town centre upgrades, and its renewals programmes;**
 - 3) provide more opportunities for local board direction on the prioritisation of minor traffic safety projects, with the exception of those which AT considers are of critical safety importance;**
 - 4) be more responsive to local place-shaping initiatives in non-transport parts of the road corridor, including reducing or removing barriers to community place-making initiatives (e.g. looking at ways to reduce the costs of developing traffic management plans for community events);**
 - 5) take direction from local boards on how and where to implement community-focused programmes;**
- xxiii) direct Auckland Transport to report to the governing body annually on how it is meeting the directions given under (xxii);**
- xxiv) note that the Quality Advice programme has been working with Auckland Transport to improve the quality of advice to local boards and will shortly begin regular six monthly surveys of local board members' satisfaction with Auckland Transport advice, engagement and reporting to local boards;**
- xxv) note that the Local Board Transport Capital Fund is valued by local boards but that the level of funding means that some boards are not able to progress meaningful projects, and that improved local transport outcomes may be achieved if the size of the fund was increased;**
- xxvi) direct officers to report to the relevant governing body committee, through the Long Term Plan process, on options for increasing the Local Board Transport Capital Fund and the method of allocation of the fund;**
- xxvii) direct Auckland Transport to implement a more systematic work programme approach to assist local boards to identify potential projects and make**

decisions;

- xxviii) note the role of local boards in place-making and that Auckland Transport should be guided by and responsive to local boards acting in this role to enable them to give effect to this role;
- xxix) request that Auckland transport seek local board endorsement for projects where there are place-making outcomes before proceeding to delivery;
- xxx) request that Auckland Transport provide local boards with the opportunity to influence the prioritisation of projects in the local work programme prior to its finalisation;
- xxxi) request Auckland Transport formally provide local boards with a local work programme for each financial year (prior to the commencement of the year) with regular formal updates on any changes to the programme;

Waiheke Local Board pilot

- xxxii) agree that the governing body should endorse the Waiheke Local Board pilot project as set out in the Waiheke Local Board pilot project plan;
- xxxiii) note that the Waiheke Local Board will maintain oversight of local implementation of the pilot;
- xxxiv) evaluation of the pilot is reported back to local boards;

Local boards funding and finance

- xxxv) indicate initial support for the Local decision making model within parameters as the preferred approach given it will enable greater local self-determination, accountability and financial benefit to our community;
- xxxvi) agree that further work on the implications of the local decision making model also be undertaken for further consideration – modelling of rates implication following the revaluation, costs to the organisation of supporting more local decision making, addressing any disadvantage to local boards with high deprivation.
- xxxvii) rename the enhanced status quo to be called “local decision-making within a funding envelope”;
- xxxviii) provide additional decision-making to local boards by enabling them to increase or decrease service levels within and across ABS activities, provided that the impact is cost neutral, i.e. within the funding envelope;

Representation issues

- xxxix) agree that there is no need to change the current number of local boards as at this time as there is no overwhelming need to change;
- xl) agree that any decision to review the numbers of local boards should not occur until after the Governance Framework Review is completed and implemented and the outcomes of the re-organisation proposals for North Rodney and Waiheke are known;
- xli) agree that the election process for the number of governing body members and wards should remain unchanged as there is no identified need to change or undertake an in-depth review on the election of governing body members;
- xl ii) support Auckland Council advocating to central government for legislative amendments that would allow for future changes to the number of governing body members in line with population change and to simplify the process for changes to the numbers and boundaries of local boards and the creation of Maori wards in Auckland;
- xl iii) support retention of the current electoral voting system of First Past the Post;
- xl iv) agree to support the naming conventions for elected members of the

Auckland Council as:

1) Regional Councillor and Local Councillor;

Panuku

- xlv) note the critical interface between the local place shaping role of local boards and the transformation programmes of Panuku delegated by Governing Body;**
- xlvi) direct Panuku in acknowledgement of the local board as local governors of the local community to take direction from local boards when undertaking place-shaping activities and that both work closely together to deliver community aspirations as identified in the local board plan.**

CARRIED

Orākei Local Board resolutions

Policy workstream

Regional policy and decision-making

The Board supports a new procedural framework for regional policy development which includes the governing body and local boards agreeing regional policy priorities and the work programme at beginning of the triennium with it being refreshed each term.

The Board supports a process for regional policy that brings both governance arms together (such as joint briefings and workshops) to consider regional context / issues.

The Board supports earlier and better engagement with local boards on regional policy and decisions.

The Board supports the development of a methodology that clearly identifies local boards' role in regional policy and decisions.

The Board supports tailoring local board engagement on regional policy in line with the local impact and local interest of regional decisions (e.g. high categorisation requiring more specific local engagement and analysis, low categorisation requiring more cluster / joint local board workshop sessions and less in depth analysis).

The Board supports engagement and communication with local boards at all stages of the decision-making process, noting that some timeframes e.g. central government submissions are outside council's control and the methods may not be able to be implemented in all cases.

The Board supports more analysis of the local impacts of regional policy and considers that advice to local boards during the development of regional policy must cover:

- how local board input was considered in the final policy*
- what changes were made to the policy following local board feedback*
- what the significant impacts will be on the local board area from the implementation of the policy.*

Roles and relationships

The Board does not support a formal 'call-in right' or similar mechanism (such as allocating decision-making to governing body) and supports instead the development of guidelines / protocols to ensure regional interests are considered in local decisions.

The Board does not support any formal policies or procedures that control when and how local boards may procure external or contestable advice and notes that in principle the Auckland Council organisation should be the first provider of advice to local boards.

The Board requests that sufficient staff resources be allocated for the development of policy and/or planning work that is a priority for multiple local boards.

The Board does not support limiting the ability of local boards to advocate to the governing body as this does not allow local boards to fulfil their legislated role, outlined in section 16 of the Local Government (Auckland Council) Act 2009, to identify and communicate the interests and preferences of the people in its local board area to the governing body where decisions of the governing body are required.

Allocations and delegations

The Board recommends that the governing body delegates, subject to the necessary statutory tests being met, all Reserves Act decision-making functions for local reserves to local boards.

The Board notes that budgets need to follow decision-making, so the change from regional to local decision-making will require a reallocation from regional to local budgets.

Local boards, place-making and Auckland Transport

The Board supports the delegation of Auckland Transport's place-making functions to local boards to empower local boards in the place-making realm.

The Board requires that Auckland Transport seek and act on local board endorsement for projects where there are place-making outcomes before proceeding to delivery, including delivering on local board place-marking outcomes to ensure joined up delivery of both Auckland Transport and local board outcomes.

The Board supports a full evaluation of the Local Board Transport Capital Fund to ensure this is the appropriate vehicle for delivery of local transport priorities.

The Board requires improved local and tailored reporting and quality advice to local boards, including options analysis and clear recommendations, as part of regular Auckland Transport reporting.

The Board requests Auckland Transport to provide local boards with the opportunity to influence the prioritisation of projects in the local work programme prior to its finalisation.

The Board requests Auckland Transport to formally provide local boards with a local work programme for each financial year (prior to the commencement of the year) with regular formal updates on any changes to the programme.

The Board reaffirms its support for the CCO Governance Manual which requires Auckland Transport to include in its engagement plan how it will give local boards an opportunity to influence or to respond to the following:

- *decisions affecting a local board's governance role;*
- *decisions having a significant local impact (including mitigation measures);*
- *decisions requiring a CCO, which includes Auckland Transport and Watercare, to undertake community consultation (local or regional).*

The Board supports strengthening the Letter of Expectation to Auckland Transport, to ensure place-making is considered in terms of more than just infrastructure, with early engagement with local boards.

The Board agrees with the mayor's 2017 Letter of Expectation to Auckland Transport which stipulated that council expects there to be:

- *better and earlier engagement and communication between Auckland Transport and local boards;*
- *active consideration by Auckland Transport of which of its decision-making powers it could delegate to local boards (within the constraints created by the regulatory environment, safety considerations, the needs of regional networks and the role played by NZTA in decision-making).*

The Board agrees with the following activity statement that has been included in Auckland Transport's 2017-2020 Statement of Intent:

- *'Participation in the governance review which is aimed at changing behaviours and processes across relevant Council family activities, including Auckland Transport, to enable local boards to give effect to their governance role, particularly around local place-shaping.'*

The Board supports the development of individual Auckland Transport engagement plans for local boards, including regular monitoring and reporting to local boards on the delivery and implementation of the engagement plans.

The Board requests that Auckland Council officers monitor Auckland Transport's compliance with the requirements for local board engagement, as set out in the Governance Manual for Substantive CCOs, and to report this monitoring to the governing body at least annually.

The Board requests that the Governing Body direct Auckland Transport to work with local boards to:

- *Ensure that local boards have a strong governance role in determining the 'look and feel' of town centres and streetscapes, in line with their allocation of non-regulatory decision-making*
- *Improve co-ordination between local place-shaping projects, such as town centre upgrades, and its renewals programmes*
- *Provide more opportunities for local board direction on the prioritisation of minor traffic safety projects, with the exception of those which AT considers are of critical safety importance*
- *Be more responsive to local place-shaping initiatives in non-transport parts of the road corridor, including reducing or removing barriers to community place-making initiatives (e.g. looking at ways to reduce the costs of developing traffic management plans for community events)*
- *Take direction from local boards on how and where to implement community-focused programmes*

The Board notes that the Governance Framework Review has investigated the potential delegation of a range of Auckland Transport powers and the working party requests that additional work be undertaken to identify delegation opportunities.

Waiheke Pilot

The Board supports trialling some extended decision-making allocations or delegations for Waiheke Island, including area-based transport delegations from Auckland Transport, with the proviso that there are delegations extended to all local boards as soon as possible and not at the end of the 3 year pilot.

The Board supports additional resourcing for the Waiheke Local Board as part of the decision-making allocations or delegations trial in the areas of local policy, planning and operations, and requests that the same additional resourcing be allocated to all local boards as soon as possible as all local boards have issues to progress in the areas of local policy, planning and operations.

Funding and Finance workstream

Allocation of budgets and funding of local board activities

The Board supports an enhanced status quo which is funded by the general rate and provides local boards some additional flexibility for moving funding within an activity.

Governance and Representation workstream

Number of local boards

The Board supports the status quo with respect to the number of local boards in the Auckland Region and notes that any move to reduce the number of local boards will impact adversely on residents and ratepayers as the local board areas will be so large that the 'local' as envisaged in the Local Government (Auckland Council) Act 2009 legislation will not be realised.

The Board agrees that any decision to review the numbers of local boards should not occur until after the Governance Framework Review is completed and implemented and the outcomes of the re-organisation proposals for North Rodney and Waiheke are known.

Ward representation

The Board supports the boundaries for elected governing body members and local board members being different as this will assist the community in understanding that there are different roles and responsibilities in Auckland Council's shared governance model.

The Board supports the continuation of the first past the post (FFP) voting system for Auckland.

The Board does not support the election of members of the Auckland Council on the basis of race.

The Board does not support Auckland Council having the decision-making to alter the number of governing body members.

Naming conventions for elected members

The Board agrees that the current Auckland Council naming conventions ('councillor' and 'local board member') contribute to public confusion with respect to the roles and responsibilities in our shared governance model.

The Board supports the Auckland Council naming conventions being amended to reflect that governing body members and local board members are members of the shared governance model at Auckland Council; and the naming conventions should therefore be consistent as follows:

<i>Governing Body title</i>	<i>Local Board title</i>
<i>Regional Councillor</i>	<i>Local Councillor</i>

Ōtara-Papatoetoe Local Board resolutions

Governance Framework Review recommendations

Resolution number OP/2017/4

MOVED by Member R Autagavaia, seconded by Member A Choudhary:

That the Ōtara-Papatoetoe Local Board:

a) **Provide feedback on the following draft positions and recommendations of the Political Working Party:**

Regional policy and decision-making

- i. **Agree that council should implement new mechanisms that ensure effective local board input to regional policy decisions, via a framework that sets out, at a minimum:**
 - A process for involving local boards in the development of regional work programmes at the beginning of each term and in an annual refresh;**
 - Earlier and more joint engagement between local boards and the governing body in regional decision-making processes**
 - Requirements for analysis of local impacts and local interest of regional decisions and options, and reporting of this to local boards and the governing body**
 - Specified criteria for categorising the potential local impact and local board interest of regional decisions**
 - Processes and methods for tailoring local board engagement in line with the local impact and local interest of regional decisions e.g. high categorisation requiring more specific local engagement and analysis, low categorisation requiring more cluster joint local board workshop sessions and less in depth analysis.**
 - Specified methods for engagement and communication with local boards at all stages of the decision-making process.**
- ii. **Request** that local boards will be consulted on the details of these mechanisms prior to implementation.
- iii. **Note that the Quality Advice programme is continuing to be implemented in order to improve the quality of advice to elected members for decision-making, in line with the recommendations of the Governance Framework Review.**
- iv. **Agree not to implement any formal policies or procedures that control when and how local boards may procure external or contestable advice, but note that, in principle, the Auckland Council organisation should be the first provider of advice to local boards.**
- v. **Request the Governing Body** not to implement any policy or procedure that would limit local boards' ability to advocate to the governing body on regional issues.

Local decisions that may have regional impacts (development of a 'call-in' right)

- vi. Note that an explicit call-in right is not possible under the Local Government (Auckland Council) Act
- vii. Agree not to implement any mechanism that would have the effect of ‘calling in’ or allocating decision-making to the governing body for otherwise local activities or decisions that have regional implications.
- viii. **Request officers that**, whenever a local board is to make a decision that has potential impacts beyond the immediate local board area e.g. a sub-regional impact or an impact on regional networks, advice on those potential impacts is to be provided to the local board as a matter of course (for example through a regional impact statement).

Allocations and delegations

- ix. Agree that the governing body delegates, subject to the necessary statutory tests being met, the following Reserves Act 1977 decision-making functions for local reserves to local boards:
 - declaration
 - classification
 - reclassification
 - application for revocation of reserve status (limited to when there is a desire by a local board to manage open space under the LGA)
- x. Agree that the Minister of Conservation’s supervisory powers remain delegated to staff, but where there is likely to be significant public interest, an independent commissioner should be engaged.

The role of Auckland Transport and local boards

- xi. Note the critical interface between the local place-shaping role of local boards and Auckland Transport’s jurisdiction over the road corridor and transport networks.
- xii. Note that the Governance Manual for Substantive CCOs requires Auckland Transport, amongst other things, to:
 - develop, with local boards, a shared understanding of local board views and CCO priorities to inform the following year’s business planning, including through an annual interactive workshop ‘where local boards communicate their local board priorities and the CCOs communicate how their current year work programme will contribute to local board priorities’.
 - develop by 31 July each year an annual local board engagement plan, which includes a schedule ‘clearly indicat[ing] for each board, the projects and/or activities that it expects to report on, and the projects and activities that it expects to consult on, for the following year. This should be updated annually or more frequently if required.’
 - report against their local board engagement plan in their quarterly performance reports to the CCO Governance and Monitoring Committee.
- xiii. Direct Auckland Transport to meet all requirements for local board engagement as set out in the Governance Manual for Substantive CCOs.
- xiv. Direct Auckland Council officers to monitor Auckland Transport’s compliance

with the requirements for local board engagement, as set out in the Governance Manual for Substantive CCOs, and to report this monitoring to the governing body at least annually.

- xv. Note that the Mayor's 2017 letter of expectation to Auckland Transport stipulated that council expects there to be:
- better and earlier engagement and communication between Auckland Transport and local boards;
 - active consideration by Auckland Transport of which of its decision-making powers it could delegate to local boards (within the constraints created by the regulatory environment, safety considerations, the needs of regional networks and the role played by NZTA in decision-making).
- xvi. Note that the following activity statement has been included in Auckland Transport's 2017-2020 Statement of Intent:
- Participation in the governance review which is aimed at changing behaviours and processes across relevant Council family activities, including Auckland Transport, to enable local boards to give effect to their governance role, particularly around local place-shaping.'
- xvii. Note that the Governance Framework Review has investigated the potential delegation of a range of Auckland Transport powers and the working party recommends that additional work be undertaken to identify delegation opportunities
- xviii. Request Governing Body to direct Auckland Transport, in working with local boards, to:
- Ensure that local boards have a strong governance role in determining the 'look and feel' of town centres and streetscapes, in line with their allocation of non-regulatory decision-making
 - Improve co-ordination between local place-shaping projects, such as town centre upgrades, and its renewals programmes
 - Provide more opportunities for local board direction on the prioritisation of minor traffic safety projects, with the exception of those which Auckland Transport considers are of critical safety importance
 - Be more responsive to local place-shaping initiatives in non-transport parts of the road corridor, including reducing or removing barriers to community place-making initiatives e.g. looking at ways to reduce the costs of developing traffic management plans for community events
 - Take direction from local boards on how and where to implement community-focused programmes
- xix. **Request the Governing Body to** direct Auckland Transport to report to the governing body annually on how it is meeting the directions given under recommendation xviii.
- xx. Note that the Quality Advice programme has been working with Auckland Transport to improve the quality of advice to local boards and will shortly begin regular six monthly surveys of local board members' satisfaction with Auckland Transport advice, engagement and reporting to local boards.

- xxi. Note that the Local Board Transport Capital Fund is valued by local boards but that the level of funding means that some boards are not able to progress meaningful projects, and that improved local transport outcomes may be achieved if the size of the fund was significantly increased.
- xxii. Direct officers to report to the relevant governing body committee, through the Long Term Plan process, on options for significantly increasing the Local Board Transport Capital Fund and the method of allocation of the fund.
- xxiii. Direct Auckland Transport to implement a more systematic work programme approach to assist local boards to identify potential projects and make decisions.
- xxiv. Direct Auckland Transport to actively engage with governing body members (ward councillors) on transport projects and issues within their ward areas.

Methods of electing governing body members

- xxv. Agree that a change to the current system of electing governing body members from existing wards is not warranted purely to address alignment between governing body members and local boards
- xxvi. Note that the statutory review of representation arrangements for Auckland Council must be completed by September 2018.
- xxvii. Note that the Local Government Act Amendment Bill No. 2, which proposes a simplified process for local government-led reorganisation processes, is currently before Parliament.
- xxviii. Agree that council should continue to advocate to central government for legislative amendments that would allow changes to the number of governing body members in line with population changes, and simplification of the process for changes to numbers and boundaries of local boards.

Waiheke Local Board pilot

- b) Agree that the governing body endorse the Waiheke Local Board pilot project as set out in the Waiheke Local Board pilot project plan.
- c) Request that the same delegations and resourcing be extended to all local boards as soon as possible and not at the end of the 3 year pilot.

Local boards funding and finance

- d) Support an enhanced status quo which is funded by the general rate and provides local boards some additional flexibility for moving funding within an activity

Optimum number of local boards

- e) Agree that there is no need to change the number of local boards because the number is justified by the populations and projected growth of current local board areas, especially by comparison to other council districts in New Zealand.

Other feedback

- f) Agree that decision-making for acquisitions, disposals and exchanges of reserve land should remain with the governing body, with the relevant local board consulted on these decisions.
- g) Support "Regional Councillor" and "Local Councillor" naming conventions for elected members of the Auckland Council.
- h) Support the continuation of a joint local board/governing body political working party

focusing on matters of governance impacting on both governance arms.

CARRIED

SPECIFIC ACTIONS REQUIRED: For your information and appropriate action.

Papakura Local Board resolutions

Resolution number PPK/2017/5

MOVED by Member K Winn, seconded by Deputy Chairperson F Auva'a:

That the Papakura Local Board:

- a) provide the following feedback on the draft positions and recommendations of the Political Working Party:

Regional policy and decision-making

- i. agree that council should implement new mechanisms that ensure effective local board input to regional policy decisions, via a framework that sets out, at a minimum:
 - A process for involving local boards in the development of jointly agreed regional work programmes at the beginning of each term and in an annual refresh
 - Earlier and more joint engagement between local boards and the governing body in regional decision-making processes
 - Requirements for analysis of local impacts and local interest of regional decisions and options, and reporting of this to local boards and the governing body
 - Specified criteria for categorising the potential local impact and local board interest of regional decisions
 - Processes and methods for tailoring local board engagement in line with the local impact and local interest of regional decisions, e.g.: high categorisation requiring more specific local engagement and analysis, low categorisation requiring more cluster joint local board workshop sessions and less in depth analysis
 - Specified methods for engagement and communication with local boards at all stages of the decision-making process, **noting that some timeframes, e.g.: central government submissions, are outside council's control and the methods may not be able to be implemented in all cases.**
- ii. direct that local boards will be consulted on the details of these mechanisms prior to implementation.
- iii. **request sufficient capacity for development of policy that is a priority for multiple local boards.**
- iv. note that the Quality Advice programme is continuing to be implemented in order to improve the quality of advice to elected members for decision-making, in line with the recommendations of the Governance Framework Review.
- v. agree not to implement any formal policies or procedures that control when and how local boards may procure external or contestable advice, but note that, in principle, the Auckland Council organisation should be the first provider of advice to local boards.
- vi. agree not to implement any policy or procedure that would limit local boards' ability to advocate to the governing body on regional issues.
Local decisions that may have regional impacts (development of a 'call-in' right)
- vii. note that an explicit call-in right is not possible under the Local Government (Auckland Council) Act.
- viii. agree not to implement any mechanism that would have the effect of 'calling in' or allocating decision-making to the governing body for otherwise local activities or

decisions that have regional implications.

- ix. direct officers that, whenever a local board is to make a decision that has potential impacts beyond the immediate local board area e.g. a sub-regional impact or an impact on regional networks, advice on those potential impacts is to be provided to the local board as a matter of course (for example through a regional impact statement).
- x. **express its expectation that where a local board is to make a decision that affects local activities in any other local board area, the decision-making local board shall consult with any affected local board.**

Allocations and delegations

- xi. agree that the governing body delegates, subject to the necessary statutory tests being met, the following Reserves Act 1977 decision-making functions for local reserves to local boards:
 - declaration
 - classification
 - reclassification
 - application for revocation of reserve status (limited to when there is a desire by a local board to manage open space under the Local Government Act (LGA)).
- xii. note that officers' advice on decision-making for exchanges of reserve land was that it should remain with the governing body, with the relevant local board consulted on these decisions.
- xiii. note that the working party did not reach consensus on this issue, and will consider the feedback of local boards before making a recommendation.
- xiv. agree that the Minister of Conservation's supervisory powers remain delegated to staff, but where there is likely to be significant public interest, an independent commissioner should be engaged.
- xv. **support decision-making for exchanges of reserve land being allocated to local boards.**

The role of Auckland Transport and local boards

- xvi. **note the role of local boards in place-making and that Auckland Transport should be guided by and responsive to local boards acting in this role to enable them to give effect to this role.**
- xvii. note the critical interface between the local place-shaping role of local boards and Auckland Transport's jurisdiction over the road corridor and transport networks.
- xviii. **request that Auckland Transport seek local board endorsement for projects where there are place-making outcomes before proceeding to delivery.**
- xix. **request that Auckland Transport provide local boards with the opportunity to influence the prioritisation of projects in the local work programme prior to its finalisation.**
- xx. **request Auckland Transport formally provide local boards with a local work programme for each financial year (prior to the commencement of the year) with regular formal updates on any changes to the programme.**
- xxi. note that the Governance Manual for Substantive CCOs requires Auckland Transport, amongst other things, to:
 - develop, with local boards, a shared understanding of local board views and CCO priorities to inform the following year's business planning, including through an annual interactive workshop 'where local boards communicate their local board priorities and the CCOs communicate how their current year work

programme will contribute to local board priorities’.

- develop by 31 July each year an annual local board engagement plan, which includes a schedule ‘clearly indicating for each board, the projects and/or activities that it expects to report on, and the projects and activities that it expects to consult on, for the following year. This should be updated annually or more frequently if required.’
- report against their local board engagement plan in their quarterly performance reports to the CCO Governance and Monitoring Committee.

xxii. note that the CCO Governance Manual requires Auckland Transport to include in its engagement plan how it will give local boards an opportunity to influence or to respond to the following:

- **decisions affecting a local board’s governance role**
- **decisions having a significant local impact (including mitigation measures)**
- **decisions requiring a CCO to undertake community consultation (local or regional).**

xxiii. direct Auckland Transport to meet all requirements for local board engagement as set out in the Governance Manual for Substantive CCOs.

xxiv. direct Auckland Council officers to monitor Auckland Transport’s compliance with the requirements for local board engagement, as set out in the Governance Manual for Substantive CCOs, and to report this monitoring to the governing body at least annually.

xxv. note that the Mayor’s 2017 letter of expectation to Auckland Transport stipulated that council expects there to be:

- better and earlier engagement and communication between Auckland Transport and local boards;
- active consideration by Auckland Transport of which of its decision-making powers it could delegate to local boards (within the constraints created by the regulatory environment, safety considerations, the needs of regional networks and the role played by NZTA in decision-making).

xxvi. note that the following activity statement has been included in Auckland Transport’s 2017-2020 Statement of Intent:

‘Participation in the governance review which is aimed at changing behaviours and processes across relevant Council family activities, including Auckland Transport, to enable local boards to give effect to their governance role, particularly around local place-shaping.’

xxvii. note that the Governance Framework Review has investigated the potential delegation of a range of Auckland Transport powers and the working party recommends that additional work be undertaken to identify delegation opportunities.

xxviii. direct Auckland Transport, in working with local boards, to:

- Ensure that local boards have a strong governance role in determining the ‘look and feel’ of town centres and streetscapes, in line with their allocation of non-regulatory decision-making
- Improve co-ordination between local place-shaping projects, such as town centre upgrades, and its renewals programmes
- Provide more opportunities for local board direction on the prioritisation of minor traffic safety projects, with the exception of those which Auckland Transport considers are of critical safety importance
- Be more responsive to local place-shaping initiatives in non-transport parts of the road corridor, including reducing or removing barriers to community

place-making initiatives e.g. looking at ways to reduce the costs of developing traffic management plans for community events

- Take direction from local boards on how and where to implement community-focused programmes.

- xxix. direct Auckland Transport to report to the governing body annually on how it is meeting the directions given under recommendation xxvii.
- xxx. note that the Quality Advice programme has been working with Auckland Transport to improve the quality of advice to local boards and will shortly begin regular six monthly surveys of local board members' satisfaction with Auckland Transport advice, engagement and reporting to local boards.
- xxxi. note that the Local Board Transport Capital Fund is valued by local boards but that the level of funding means that some boards are not able to progress meaningful projects, and that improved local transport outcomes may be achieved if the size of the fund was significantly increased.
- xxxii. direct officers to report to the relevant governing body committee, through the Long Term Plan process, on options for significantly increasing the Local Board Transport Capital Fund and the method of allocation of the fund.
- xxxiii. direct Auckland Transport to implement a more systematic work programme approach to assist local boards to identify potential projects and make decisions.
- xxxiv. direct Auckland Transport to actively engage with governing body members (ward councillors) on transport projects and issues within their ward areas.
- xxxv. support Local Board Transport Capital Fund Option 2, to increase the size of the Local Board Transport Capital Fund from \$11 million to \$20 million.
- xxxvi. request a review of the Local Board Transport Capital Fund allocation model in order to take into account items such as intensification and to provide local boards with a more systematic work programme approach to managing projects.

Waiheke Local Board pilot

- xxxvii. agree that the governing body should endorse the Waiheke Local Board pilot project as set out in the Waiheke Local Board pilot plan.
- xxxviii. note that the Waiheke Local Board will maintain oversight of local implementation of the pilot.
- xxxix. request that evaluation of the pilot is reported back to all local boards.

Local boards funding and finance

- xl. agree to pilot the local rate model for local boards who opt in.
- xli. agree that the enhanced status quo model be moved to immediately, noting that further work can be progressed within the timeframe rather than waiting for implementation of the enhanced status quo.
- xlii. agree that the enhanced status quo be renamed to be called 'Local decision making within a funding envelope'.
- xlili. provide additional decision making to local boards by enabling them to increase or decrease service levels within and across Asset Based Services (ABS) activities provided the impact is within the funding envelope.
- xliv. agree that the additional work to support the enhanced status quo model be undertaken such as a framework for service levels and local flexibility, options for addressing historical uneven funding, and improving the information and advice that is provided to local boards.
- xlv. agree that further work on the implications of the local decision making model also be undertaken for further consideration such as: modelling of rates implication following the revaluation, and costs to the organisation of supporting more local

decision making etc.

The optimum number of local boards

- xlvi. **agree that there is no need to change the current number of local boards at this time as there is no overwhelming need to change.**
- xlvii. **agree that any decision to review the numbers of local boards should not occur until after the Governance Framework Review is completed and implemented, and the outcomes of the re-organisation proposals for North Rodney and Waiheke are known.**

Methods of electing governing body members

- xlviii. **agree that the election process for the number of governing body members and wards should remain unchanged as there is no identified need to change or undertake an in-depth review on the election of governing body members.**
- xliv. **note that the statutory review of representation arrangements for Auckland Council must be completed by September 2018.**
 - i. **note that the Local Government Act Amendment Bill No. 2, which proposes a simplified process for local government-led reorganisation processes, is currently before Parliament.**
 - ii. **support Auckland Council (governing body and local boards) advocating to central government for legislative amendments that would allow for future changes to the number of governing body members in line with population change and to simplify the process for changes to the numbers and boundaries of local boards and the creation of Māori wards in Auckland.**
- b) **support the following naming conventions for elected members of the Auckland Council as:**
 - **Auckland Councillors (governing body member) and Local Councillor (local board member).**
- c) **support the continuation of a joint local board/governing body political working party.**
- d) **delegate authority to the Chairperson, Brent Catchpole, and Deputy Chair, Felicity Auva'a, to:**
 - i. **consider any additional information and political working party positions that arise before the Governing Body makes the final decision in September**
 - ii. **consult with other Papakura Local Board members on any proposed changes or additions to the local board's position**
 - iii. **revise the Papakura Local Board's agreed positions as appropriate.**

CARRIED

Puketāpapa Local Board resolutions

Pursuant to Standing Order 3.15.5, Member D Holm requested that his dissenting vote against item 29 a) xxxiv. be recorded

Resolution number PKTPP/2017/151

MOVED by Deputy Chairperson J Fairey, seconded by Chairperson H Doig: _

That the Puketāpapa Local Board:

- a) **Support the following draft provisions and recommendations of the Political Working Party, and provides other feedback as follows:**

Regional policy and decision-making

- i. **Agree that council should implement new mechanisms that ensure effective local board input to regional policy decisions, via a framework that sets out, at a minimum:**
 - A process for involving local boards in the development of a jointly agreed regional work programmes at the beginning of each term and in an annual refresh;**
 - Earlier and more joint engagement between local boards and the governing body in regional decision-making processes**
 - Requirements for analysis of local impacts and local interest of regional decisions and options, and reporting of this to local boards and the governing body**
 - Specified criteria for categorising the potential local impact and local board interest of regional decisions**
 - Processes and methods for tailoring local board engagement in line with the local impact and local interest of regional decisions e.g. high categorisation requiring more specific local engagement and analysis, low categorisation requiring more cluster joint local board workshop sessions and less in depth analysis.**
 - Specified methods for engagement and communication with local boards at all stages of the decision-making process, noting that some timeframes such as parliamentary and central government submissions are outside council's control and the methods may not be able to be implemented in all cases.**
- ii. **Direct that local boards will be consulted on the details of these mechanisms prior to implementation.**
- iii. **Requests sufficient capacity for development of policy that is a priority for multiple local boards.**
- iv. **Note that the Quality Advice programme is continuing to be implemented in order to improve the quality of advice to elected members for decision-making, in line with the recommendations of the Governance Framework Review.**
- v. **Agree not to implement any formal policies or procedures that control when and how local boards may procure external or contestable advice, but note that, in principle, the Auckland Council organisation should be the first provider of advice to local boards.**
- vi. **Agree not to implement any policy or procedure that would limit local boards'**

ability to advocate to the governing body on regional issues.

Local decisions that may have regional impacts (development of a 'call-in' right)

- vii. Note that an explicit call-in right is not possible under the Local Government (Auckland Council) Act
- viii. Agree not to implement any mechanism that would have the effect of 'calling in' or allocating decision-making to the governing body for otherwise local activities or decisions that have regional implications.
- ix. Direct officers that, whenever a local board is to make a decision that has potential impacts beyond the immediate local board area e.g. a sub-regional impact or an impact on regional networks, advice on those potential impacts is to be provided to the local board as a matter of course (for example through a regional impact statement).

Allocations and delegations

- x. Agree that the governing body delegates, subject to the necessary statutory tests being met, the following Reserves Act 1977 decision-making functions for local reserves to local boards:
 - declaration
 - classification
 - reclassification
 - application for revocation of reserve status (limited to when there is a desire by a local board to manage open space under the LGA)
- xi. Note that officers' advice on decision-making for exchanges of reserve land was that it should remain with the governing body, with the relevant local board consulted on these decisions
- xii. Note that the working party did not reach consensus on this issue, and will consider the feedback of local boards before making a recommendation
- xiii. **Advocate that local boards should be delegated the decision making for exchanges of reserve land**
- xiv. Agree that the Minister of Conservation's supervisory powers remain delegated to staff, but where there is likely to be significant public interest, an independent commissioner should be engaged.

The role of Auckland Transport and local boards

- xv. **Note the role of local boards in place-making and that Auckland Transport should be guided by and responsive to local boards acting in this role to enable them to give effect to this role.**
- xvi. Note the critical interface between the local place-shaping role of local boards and Auckland Transport's jurisdiction over the road corridor and transport networks.
- xvii. **Requests that Auckland Transport seek local board endorsement for projects where there are place-making outcomes before proceeding to delivery.**
- xviii. **Requests that Auckland Transport provide local boards with the opportunity to influence the prioritisation of projects in the local work programme prior to its finalisation.**
- xix. **Requests that Auckland Transport formally provide local boards with a local work**

programme for each financial year (prior to the commencement of the year) with regular formal updates on any changes to the programme.

- xx. Note that the Governance Manual for Substantive CCOs requires Auckland Transport, amongst other things, to:
- develop, with local boards, a shared understanding of local board views and CCO priorities to inform the following year's business planning, including through an annual interactive workshop 'where local boards communicate their local board priorities and the CCOs communicate how their current year work programme will contribute to local board priorities'.
 - develop by 31 July each year an annual local board engagement plan, which includes a schedule 'clearly indicat[ing] for each board, the projects and/or activities that it expects to report on, and the projects and activities that it expects to consult on, for the following year. This should be updated annually or more frequently if required.'
 - report against their local board engagement plan in their quarterly performance reports to the CCO Governance and Monitoring Committee.
- xxi. **Note that the CCO Governance Manual requires Auckland Transport to include in its engagement plan how it will give local boards an opportunity to influence or to respond to the following:**
- **Decisions affecting a local board's governance role**
 - **Decisions having a significant local impact (including mitigation measures)**
 - **Decisions requiring a CCO to undertake community consultation (local or regional)**
- xxii. Direct Auckland Transport to meet all requirements for local board engagement as set out in the Governance Manual for Substantive CCOs.
- xxiii. Direct Auckland Council officers to monitor Auckland Transport's compliance with the requirements for local board engagement, as set out in the Governance Manual for Substantive CCOs, and to report this monitoring to the governing body at least annually.
- xxiv. Note that the Mayor's 2017 letter of expectation to Auckland Transport stipulated that council expects there to be:
- better and earlier engagement and communication between Auckland Transport and local boards;
 - active consideration by Auckland Transport of which of its decision-making powers it could delegate to local boards (within the constraints created by the regulatory environment, safety considerations, the needs of regional networks and the role played by NZTA in decision-making).
- xxv. Note that the following activity statement has been included in Auckland Transport's 2017-2020 Statement of Intent:
- 'Participation in the governance review which is aimed at changing behaviours and processes across relevant Council family activities, including Auckland Transport, to enable local boards to give effect to their governance role, particularly around local place-shaping.'
- xxvi. Note that the Governance Framework Review has investigated the potential delegation of a range of Auckland Transport powers and the working party recommends that additional work be undertaken to identify delegation

opportunities

xxvii. Direct Auckland Transport, in working with local boards, to:

- Ensure that local boards have a strong governance role in determining the ‘look and feel’ of town centres and streetscapes, in line with their allocation of non-regulatory decision-making
- Improve co-ordination between local place-shaping projects, such as town centre upgrades, and its renewals programmes
- Provide more opportunities for local board direction on the prioritisation of minor traffic safety projects, with the exception of those which Auckland Transport considers are of critical safety importance
- Be more responsive to local place-shaping initiatives in non-transport parts of the road corridor, including planting policies, reducing or removing barriers to community place-making initiatives e.g. looking at ways to reduce the costs of developing traffic management plans for community events
- Take direction from local boards on how and where to implement community-focused programmes

xxviii. Direct Auckland Transport to report to the governing body annually on how it is meeting the directions given under recommendation xxvii.

xxix. Note that the Quality Advice programme has been working with Auckland Transport to improve the quality of advice to local boards and will shortly begin regular six monthly surveys of local board members’ satisfaction with Auckland Transport advice, engagement and reporting to local boards.

xxx. Note that the Local Board Transport Capital Fund is valued by local boards but that the level of funding means that some boards are not able to progress meaningful projects, and that improved local transport outcomes may be achieved if the size of the fund was significantly increased.

xxxi. Direct officers to report to the relevant governing body committee, through the Long Term Plan process, on options for significantly increasing the Local Board Transport Capital Fund and the method of allocation of the fund.

xxxii. Direct Auckland Transport to implement a more systematic work programme approach to assist local boards to identify potential projects and make decisions.

xxxiii. Direct Auckland Transport to actively engage with governing body members (ward councillors) on transport projects and issues within their ward areas.

Local boards funding and finance

xxxiv. **Agree that the enhanced status quo model be moved to immediately, noting that further work can be progressed within this framework rather than needing to do it all before implementation.**

- **support renaming of the enhanced status quo model to more accurately reflect the change, e.g. ‘local decision-making within a funding envelope’**
- **support providing additional decision-making to local board by enabling them to increase or decrease service levels within and across Asset Based Services (ABS) activities provided that the impact is cost neutral i.e. within the funding envelope.**

xxxv. **Rename the enhanced status quo to be called “local decision-making within a funding envelope”**

xxxvi. Provide additional decision-making to local boards by enabling them to increase or decrease service levels within and across ABS activities, provided that the impact is cost neutral i.e. within the funding envelope.

xxxvii. Agree that the additional work to support the Enhanced status quo model be undertaken – framework for service levels and local flexibility, options for addressing historical uneven funding, improving the information and advice that is provided to local boards.

xxxviii. Agree that further work on the implications of the local decision making model also be undertaken for further consideration - modelling of rates implication following the revaluation, costs to the organisation of supporting more local decision making etc.

The optimum number of local boards

xxxix. Agree that at this time there is no overwhelming need to change the current number of local boards.

xxxx. Agree that any decision to review the numbers of local board should not occur until after the Governance Framework Review is completed and implemented and the outcome of the reorganisation proposals of North Rodney and Waiheke Island are known.

Methods of electing governing body members

xxxxi. Agree that the election process for the number of governing body and members and wards should remain unchanged as there is no identified need to change or undertake an in-depth review of the election of governing body members.

xxxxii. Note that the statutory review of representation arrangements for Auckland Council must be completed by September 2018.

xxxxiii. Note that the Local Government Act Amendment Bill No. 2, which proposes a simplified process for local government-led reorganisation processes, is currently before Parliament.

xxxxiv. Support Auckland Council advocating to central government for legislative amendments that would allow for future changes to the number of governing body members in line with population change and to simplify the process for changes to the numbers and boundaries of local boards and the creation of Maori wards in Auckland.

- b) Advocates for further work on decision-making on reserve exchanges to investigate possible mechanisms for joint decision-making between Governing Body and the relevant local board(s) recognising the role of Government Body in sale and acquisition of land, and the role of local board(s) in determining specific location and nature of local assets.**
- c) Supports the continuation of a joint local board/governing body political working party focusing on matters of governance impacting on both governance arms, with the addition of a process to refresh membership over time.**
- d) Supports changing name of local board members to “local councilors”.**
- e) Delegates to Chair Harry Doig and Deputy Chair Julie Fairey to consider any additional information and Political Working Party positions that arise before the Governing Body makes the final decision in September and subject to consultation with other board**

members revise the Board's agreed positions, as appropriate.

e) **thank officer, Victoria Villaraza, for her attendance.**

CARRIED

Rodney Local Board resolutions

Resolution number RD/2017/6

MOVED by Member B Bailey, seconded by Member B Steele:

That the Rodney Local Board:

- a) support the following draft positions and recommendations of the Political Working Party and provides other feedback as follows:

Regional policy and decision-making

- i. Agree that council should implement new mechanisms that ensure effective local board input to regional policy decisions, via a framework that sets out, at a minimum:
 - a. A process for involving local boards in the development of jointly agreed regional work programmes at the beginning of each term and in an annual refresh
 - b. Earlier and more joint engagement between local boards and the Governing Body in regional decision-making processes
 - c. Requirements for analysis of local impacts and local interest of regional decisions and options, and reporting of this to local boards and the Governing Body
 - d. Specified criteria for categorising the potential local impact and local board interest of regional decisions
 - e. Processes and methods for tailoring local board engagement in line with the local impact and local interest of regional decisions e.g. high categorisation requiring more specific local engagement and analysis, low categorisation requiring more cluster joint local board workshop sessions and less in depth analysis
 - f. Specified methods for engagement and communication with local boards at all stages of the decision-making process, **noting that some timeframes e.g. central government submissions, are outside the council's control and the methods may not be able to be implemented in all cases**
- ii. Direct that local boards will be consulted on the details of these mechanisms prior to implementation
- iii. **Request sufficient capacity for development of policy that is a priority for multiple local boards**
- iv. Note that the Quality Advice programme is continuing to be implemented in order to improve the quality of advice to elected members for decision-making, in line with the recommendations of the Governance Framework Review
- v. Agree not to implement any formal policies or procedures that control when and how local boards may procure external or contestable advice, but note that, in principle, the Auckland Council organisation should be the first provider of advice to local boards
- vi. Agree not to implement any policy or procedure that would limit local boards' ability to advocate to the Governing Body on regional issues

Local decisions that may have regional impacts (development of a 'call-in' right)

- vii. Note that an explicit call-in right is not possible under the Local Government (Auckland Council) Act 2009
- viii. Agree not to implement any mechanism that would have the effect of 'calling in' or allocating decision-making to the Governing Body for otherwise local activities or decisions that have regional implications.
- ix. Direct officers that, whenever a local board is to make a decision that has potential impacts beyond the immediate local board area e.g. a sub-regional impact or an impact on regional networks, advice on those potential impacts is to be provided to the local board as a matter of course (for example through a regional impact statement)

Allocations and delegations

- x. Agree that the Governing Body delegates, subject to the necessary statutory tests being met, the following Reserves Act 1977 decision-making functions for local reserves to local boards:
 - a. declaration
 - b. classification
 - c. reclassification
 - d. application for revocation of reserve status (limited to when there is a desire by a local board to manage open space under the LGA)
- xi. Note that officers' advice on decision-making for exchanges of reserve land was exchanges of reserve land should remain with the Governing Body, with the relevant local board consulted on these decisions
- xii. Note that the political working party did not reach consensus on exchange of reserve land issue, and will consider the feedback of local boards before making a recommendation
- xiii. Agree that the Minister of Conservation's supervisory powers remain delegated to staff, but where there is likely to be significant public interest, an independent commissioner should be engaged

The role of Auckland Transport and local boards

- xiv. Note the critical interface between the local place-shaping role of local boards and Auckland Transport's jurisdiction over the road corridor and transport networks
- xv. Note that the Governance Manual for Substantive Council Controlled Organisations requires Auckland Transport, amongst other things, to:
 - a. develop, with local boards, a shared understanding of local board views and Council Controlled Organisation priorities to inform the following year's business planning, including through an annual interactive workshop 'where local boards communicate their local board priorities and the Council Controlled Organisations communicate how their current year work programme will contribute to local

board priorities.’

- b. develop by 31 July each year an annual local board engagement plan, which includes a schedule ‘clearly indicating for each board, the projects and activities that Auckland Transport expects to report on [including renewals], and the projects and activities that it expects to consult on, for the following year. This should be updated annually or more frequently if required.’
 - c. report against the Auckland Transport local board engagement plan in their quarterly performance reports to the Council Controlled Organisation Governance and Monitoring Committee
- xvi. Direct Auckland Transport to meet all requirements for local board engagement as set out in the Governance Manual for Substantive Council Controlled Organisations
- xvii. Direct Auckland Council officers to monitor Auckland Transport’s compliance with the requirements for local board engagement, as set out in the Governance Manual for Substantive Council Controlled Organisations, and to report this monitoring to the Governing Body at least annually
- xviii. Note that the Mayor’s 2017 letter of expectation to Auckland Transport stipulated that council expects there to be:
- a. better and earlier engagement and communication between Auckland Transport and local boards;
 - b. active consideration by Auckland Transport of which of its decision-making powers it could delegate to local boards (within the constraints created by the regulatory environment, safety considerations, the needs of regional networks and the role played by the New Zealand Transport Agency in decision-making).
- xix. Note that the following activity statement has been included in Auckland Transport’s 2017-2020 Statement of Intent:
- ‘Participation in the governance review which is aimed at changing behaviours and processes across relevant Council family activities, including Auckland Transport, to enable local boards to give effect to their governance role, particularly around local place-shaping.’
- xx. Note that the Governance Framework Review has investigated the potential delegation of a range of Auckland Transport powers and the working party recommends that additional work be undertaken to identify delegation opportunities
- xxi. Direct Auckland Transport, in working with local boards, to:
- a. Ensure that local boards have a strong governance role in determining the ‘look and feel’ of town centres and streetscapes, in line with their allocation of non-regulatory decision-making
 - b. Improve co-ordination between local place-shaping projects, such as town centre upgrades, and Auckland Transport’s renewals programmes
 - c. Provide more opportunities for local board direction on the prioritisation of minor traffic safety projects, with the exception of those which Auckland Transport

considers are of critical safety importance

- d. Be more responsive to local place-shaping initiatives in non-transport parts of the road corridor, including reducing or removing barriers to community place-making initiatives e.g. looking at ways to reduce the costs of developing traffic management plans for community events
 - e. Take direction from local boards on how and where to implement community-focused programmes
- xxii. Direct Auckland Transport to report to the Governing Body annually on how it is meeting the directions given under recommendation a) xxi.
- xxiii. Note that the Quality Advice programme has been working with Auckland Transport to improve the quality of advice to local boards and will shortly begin regular six monthly surveys of local board members' satisfaction with Auckland Transport advice, engagement and reporting to local boards
- xxiv. Note that the Local Board Transport Capital Fund is valued by local boards but that the level of funding means that some local boards are not able to progress meaningful projects, and that improved local transport outcomes may be achieved if the size of the fund was significantly increased
- xxv. Supports Local Board Transport Capital Fund Option 2 to increase the size of the Local Board Transport Capital Fund from \$11 million to \$20 million to provide local boards with a more systematic work programme approach to managing projects
- xxvi. Direct officers to report to the relevant council committee, through the Long-term Plan process, on options for significantly increasing the Local Board Transport Capital Fund and the method of allocation of the fund
- xxvii. Direct Auckland Transport to implement a more systematic work programme approach to assist local boards to identify potential projects and make decisions
- xxviii. Direct Auckland Transport to actively engage with Governing Body members (ward councillors) on transport projects and issues within their ward areas

Waiheke Local Board pilot

- xxix. Agree that the Governing Body should endorse the Waiheke Local Board pilot project as set out in the Waiheke Local Board pilot project plan
- xxx. Note that the Waiheke Local Board will maintain oversight of local implementation of the pilot
- xxxi. Request that evaluation of the pilot for the Waiheke Local Board is reported back to all local boards

Local boards funding and finance

- xxxii. Supports Local Decision making with Parameters (Option 2) in preference to the Enhanced Status Quo as this enables local board to have; additional decision making and accountability for local activities and services being funded through a local rate, increased flexibility in determining levels of service, and will enable direct engagement

with the local board's community on the costs and benefits of providing local services

xxxiii. Requests that if the Local Decision Making with Parameters (Option 2) is not the agreed approach that consideration be given to piloting a local rate option for the Rodney, Franklin and Waiheke local boards

The optimum number of local boards

xxxiv. Agree that there is no need to change the current number of local boards at this time as there is no overwhelming need to change

xxxv. Agree that any decision to review the numbers of local boards should not occur until after the Governance Framework Review is completed and implemented and the outcomes of the re-organisation proposals for North Rodney and Waiheke are known

Methods of electing governing body members

xxxvi. Agree that the election process for the numbers of Governing Body members and wards should remain unchanged as there is not identified need to change or undertake an in-depth review on the election of Governing Body members

xxxvii. Note that the statutory review of representation arrangements for Auckland Council must be completed by September 2018

xxxviii. Note that the Local Government Act Amendment Bill No. 2, which proposes a simplified process for local government-led reorganisation processes, is currently before Parliament

xxxix. Support Auckland Council advocating to central government for legislative amendments that would allow for future changes to the number of governing body members in line with population change and to simplify the process for changes to the numbers and boundaries of local boards and the creation of Maori wards in Auckland

- b) supports any decision-making for exchanges of reserve land should be allocated to local boards.
- c) supports the following naming conventions for elected members of the Auckland Council as Councillor (Governing Body) or Local Councillor (local boards)
- d) supports the continuation of a joint local board/Governing Body political working party focusing on matters of governance impacting on both governance arms.
- e) requests that the local board's feedback on the Governance Framework Review be circulated to other local boards and to the Governing Body for their information.

CARRIED

Upper Harbour Local Board resolutions

Governance Framework Review recommendations

The Senior Local Board Advisor was in attendance to support the item.

Resolution number UH/2017/121

MOVED by Deputy Chairperson M Miles, seconded by Member U Balouch: _

That the Upper Harbour Local Board:

- a) **provide feedback on the following draft positions and recommendations of the political working party:**

Regional policy and decision-making

- i. **support the political working party position that council should implement new mechanisms that ensure effective local board input to regional policy decisions, via a framework that sets out, at a minimum:**
- 1) **a process for involving local boards in the development of regional work programmes at the beginning of each term and in an annual refresh;**
 - 2) **earlier and more joint engagement between local boards and governing body in regional decision-making processes;**
 - 3) **requirements for analysis of local impacts and local interest of regional decisions and options, and reporting of this to local boards and governing body;**
 - 4) **specified criteria for categorising the potential local impact and local board interest of regional decisions;**
 - 5) **processes and methods for tailoring local board engagement in line with the local impact and local interest of regional decisions e.g. high categorisation requiring more specific local engagement and analysis, low categorisation requiring more cluster joint local board workshop sessions and less in depth analysis; and**
 - 6) **specified methods for engagement and communication with local boards at all stages of the decision-making process.**
- ii. **support the political working party position that local boards will be consulted on the details of these mechanisms prior to implementation.**
- iii. **note that the Quality Advice programme is continuing to be implemented in order to improve the quality of advice to elected members for decision-making, in line with the recommendations of the Governance Framework Review.**
- iv. **support the political working party position to not implement any formal policies or procedures that control when and how local boards may procure external or contestable advice, but note that, in principle, the Auckland Council organisation should be the first provider of advice to local boards.**
- v. **support the political working party position to not implement any policy or procedure that would limit local boards' ability to advocate to governing body on regional issues.**

Local decisions that may have regional impacts (development of a 'call-in' right)

- vi. **note that an explicit call-in right is not possible under the Local Government (Auckland Council) Act .**
- vii. **support the political working party position to not implement any mechanism that**

would have the effect of 'calling in' or allocating decision-making to governing body for otherwise local activities or decisions that have regional implications.

- viii. support the political working party position to direct officers that, whenever a local board is to make a decision that has potential impacts beyond the immediate local board area e.g. a sub-regional impact or an impact on regional networks, advice on those potential impacts is to be provided to the local board as a matter of course (for example through a regional impact statement).

Allocations and delegations

- ix. support the political working party position that governing body delegates, subject to the necessary statutory tests being met, the following Reserves Act 1977 decision-making functions for local reserves to local boards:
 - 1) declaration;
 - 2) classification;
 - 3) reclassification; and
 - 4) application for revocation of reserve status (limited to when there is a desire by a local board to manage open space under the Local Government Act).
- x. note that officers' advice on decision-making for exchanges of reserve land was that it should remain with governing body, with the relevant local board consulted on these decisions.
- xi. note that the working party did not reach consensus on this issue, and will consider the feedback of local boards before making a recommendation.
- xii. support the political working party position that the Minister of Conservation's supervisory powers remain delegated to staff, but where there is likely to be significant public interest, an independent commissioner should be engaged.

The role of Auckland Transport and local boards

- xiii. note the critical interface between the local place-shaping role of local boards and Auckland Transport's jurisdiction over the road corridor and transport networks.
- xiv. note that the Governance Manual for Substantive Council Controlled Organisations (CCOs) requires Auckland Transport, amongst other things, to:
 - 1) develop, with local boards, a shared understanding of local board views and CCO priorities to inform the following year's business planning, including through an annual interactive workshop 'where local boards communicate their local board priorities and the CCOs communicate how their current year work programme will contribute to local board priorities';
 - 2) develop, by 31 July each year, an annual local board engagement plan, which includes a schedule 'clearly indicat[ing] for each board, the projects and/or activities that it expects to report on, and the projects and activities that it expects to consult on, for the following year. This should be updated annually or more frequently if required'; and
 - 3) report against their local board engagement plan in their quarterly performance reports to the CCO Governance and Monitoring Committee.
- xv. support the political working party position to direct Auckland Transport to meet all requirements for local board engagement as set out in the Governance Manual for Substantive Council Controlled Organisations.
- xvi. support the political working party position to direct Auckland Council officers to monitor Auckland Transport's compliance with the requirements for local board

engagement, as set out in the Governance Manual for Substantive Council Controlled Organisations, and to report this monitoring to governing body at least annually.

- xvii. note that the Mayor's 2017 letter of expectation to Auckland Transport stipulated that council expects there to be:
- 1) better and earlier engagement and communication between Auckland Transport and local boards; and
 - 2) active consideration by Auckland Transport, of which of its decision-making powers it could delegate to local boards (within the constraints created by the regulatory environment, safety considerations, the needs of regional networks and the role played by the New Zealand Transport Agency in decision-making).
- xviii. note that the following activity statement has been included in Auckland Transport's 2017-2020 Statement of Intent:
- 1) 'Participation in the governance review which is aimed at changing behaviours and processes across relevant council family activities, including Auckland Transport, to enable local boards to give effect to their governance role, particularly around local place-shaping.'
- xix. note that the Governance Framework Review has investigated the potential delegation of a range of Auckland Transport powers and the working party recommends that additional work be undertaken to identify delegation opportunities.
- xx. support the political working party position to direct Auckland Transport, in working with local boards, to:
- 1) ensure that local boards have a strong governance role in determining the 'look and feel' of town centres and streetscapes, in line with their allocation of non-regulatory decision-making;
 - 2) improve co-ordination between local place-shaping projects, such as town centre upgrades, and its renewals programmes;
 - 3) provide more opportunities for local board direction on the prioritisation of minor traffic safety projects, with the exception of those which Auckland Transport considers are of critical safety importance;
 - 4) be more responsive to local place-shaping initiatives in non-transport parts of the road corridor, including reducing or removing barriers to community place-making initiatives e.g. looking at ways to reduce the costs of developing traffic management plans for community events; and
 - 5) take direction from local boards on how and where to implement community-focused programmes.
- xxi. support the political working party position to direct Auckland Transport to report to governing body annually on how it is meeting the directions given under recommendation xx.
- xxii. note that the Quality Advice programme has been working with Auckland Transport to improve the quality of advice to local boards and will shortly begin regular six-monthly surveys of local board members' satisfaction with Auckland Transport advice, engagement and reporting to local boards.
- xxiii. note that the Local Board Transport Capital Fund is valued by local boards but that the level of funding means that some boards are not able to progress meaningful projects, and that improved local transport outcomes may be achieved if the size of the fund was significantly increased.

- xxiv. support the political working party position to direct officers to report to the relevant governing body committee, through the Long-term Plan process, on options for significantly increasing the Local Board Transport Capital Fund and the method of allocation of the fund.
- xxv. support the political working party position to direct Auckland Transport to implement a more systematic work programme approach to assist local boards to identify potential projects and make decisions.
- xxvi. support the political working party position to direct Auckland Transport to actively engage with governing body members (ward councillors) on transport projects and issues within their ward areas.

Waiheke Local Board pilot

- xxvii. support the political working party position that governing body should endorse the Waiheke Local Board pilot project as set out in the Waiheke Local Board pilot project plan.
- xxviii. note that the Waiheke Local Board will maintain oversight of local implementation of the pilot.

Local boards funding and finance

- xxix. support the political working party position that the enhanced status quo model be progressed now, giving as much additional decision-making to local boards as possible within that framework.
- xxx. support the political working party position that the additional work to support the enhanced status quo model be undertaken – framework for service levels and local flexibility, options for addressing historical uneven funding, improving the information and advice that is provided to local boards.
- xxxi. support the political working party position that further work on the implications of the local decision-making model also be undertaken for further consideration as part of the next review of the governance model, including the modelling of rates implication following the revaluation, costs to the organisation of supporting more local decision-making.

The optimum number of local boards

- xxxii. support the political working party position that council should undertake no further work until the outcomes of reorganisation proposals that are underway for North Rodney and Waiheke Island are known, or until after the next governance review. This should be undertaken in conjunction with any review of governing body membership.

Methods of electing governing body members

- xxxiii. support the political working party position that a change to the current system of electing governing body members from existing wards is not warranted, purely to address alignment between governing body members and local boards.
- xxxiv. note that the statutory review of representation arrangements for Auckland Council must be completed by September 2018.
- xxxv. note that the Local Government Act Amendment Bill no.2, which proposes a

simplified process for local government-led reorganisation processes, is currently before Parliament.

- xxxvi. support the political working party position that council should continue to advocate to central government for legislative amendments that would allow changes to the number of governing body members in line with population changes, and simplification of the process for changes to numbers and boundaries of local boards. Consideration of the impact on local boards of any change in governing body membership must be addressed concurrently.**
- b) support the delegation of decision-making regarding the exchange of reserve land to local boards, when the exchange occurs between two parcels of land within the local board area.**
- c) support use of the term ‘local councillor’ as the preferred naming convention for local board members, as our community does not currently understand the roles and responsibilities of the different arms of governance, and believes that it is the responsibility of the governing body to develop a naming convention that makes sense and provides clarity of their role to the community.**
- d) support the continuation of the political working party (PWP), comprised of governing body members and local board members, as identified under Option 2 in the agenda report, with the following additional comments:**
 - i. the local board agrees that the PWP would provide a valuable vehicle for considering matters that impact on both arms of governance, and as a mechanism to make recommendations to the governing body on these matters;**
 - ii. the role and function of the PWP should be expanded to ensure ongoing and continual oversight of the implementation of any recommendations that emanate from this review, with particular focus given to the development and monitoring of a project plan, including clear deliverables and timelines aligned to those matters that have been identified within this review to require further work by council staff; and**
 - iii. request that an opportunity be provided for an improved, broadened and more inclusive process of selecting political working party members in the event that the PWP is retained.**

CARRIED

Waiheke Local Board resolutions

Governance Framework Review recommendations

Resolution number WHK/2017/138

MOVED by Chairperson P Walden, seconded by Member B Upchurch: _

That the Waiheke Local Board:

- a) **provide the following feedback on the following draft positions and recommendations of the Political Working Party:**

General

- i. **Thank the Governing Body for supporting a Waiheke Pilot and notes its hope and intention that the Pilot will enable real and meaningful progress on the issues and aspirations of the Waiheke community, including improvements in its relationships and how it works with CCOs.**
- ii. **Confirm its commitment to sharing Pilot findings with other boards and the Governing Body and ongoing engagement with them where learnings, opportunities and other board's ideas can usefully be tested through the Pilot.**
- iii. **Consider that current costs to servicing Waiheke can be significantly reduced, and/or deliver better outcomes, if the administrative and operational structures are changed to an islands centric approach and wishes to test some of these through the Waiheke Pilot by benchmarking against the costings in the Morrison Low report.**
- iv. **Support in principle the idea of a local rate being investigated and tested as part of the Waiheke pilot subject to the board having full authority and accountability over local matters, operational practices and expenditure.**
- v. **Request that, in conjunction with the Great Barrier Board, the coming representation review give consideration to an Auckland Council Hauraki Gulf Islands only Ward Councillor position in recognition of the difference between the islands and the mainland and the importance of these communities being fully represented.**
- vi. **Request that the Governing Body forward the above resolutions to the Local Government Commission for its information.**
- vii. **Draw the Governing Body's attention to its position on Reserves Act and Auckland Transport delegations as outlined below in Clauses xvii. and xviii. which differ from officer recommendations.**
- viii. **Invite the Governing Body to a visit to Waiheke hosted by the Board to see and discuss these matters for themselves.**

Regional policy and decision-making

- ix. **Agree that council should implement new mechanisms that ensure effective local board input to regional policy decisions, via a framework that sets out, at a minimum:**
 - **A process for involving local boards in the development of regional work programmes at the beginning of each term and in an annual refresh;**
 - **Earlier and more joint engagement between local boards and the Governing Body in regional decision-making processes**
 - **Requirements for analysis of local impacts and local interest of regional decisions and options, and reporting of this to local boards and the Governing Body**
 - **Specified criteria for categorising the potential local impact and local**

board interest of regional decisions

- Processes and methods for tailoring local board engagement in line with the local impact and local interest of regional decisions e.g. high categorisation requiring more specific local engagement and analysis, low categorisation requiring more cluster joint local board workshop sessions and less in depth analysis.
 - Specified methods for engagement and communication with local boards at all stages of the decision-making process.
- x. Direct that local boards will be consulted on the details of these mechanisms prior to implementation.
- xi. Note that the Quality Advice programme is continuing to be implemented in order to improve the quality of advice to elected members for decision-making, in line with the recommendations of the Governance Framework Review.
- xii. Agree not to implement any formal policies or procedures that control when and how local boards may procure external or contestable advice, but note that, in principle, the Auckland Council organisation should be the first provider of advice to local boards.
- xiii. Agree not to implement any policy or procedure that would limit local boards' ability to advocate to the Governing Body on regional issues.

Local decisions that may have regional impacts (development of a 'call-in' right)

- xiv. Note that an explicit call-in right is not possible under the Local Government (Auckland Council) Act.
- xv. Agree not to implement any mechanism that would have the effect of 'calling in' or allocating decision-making to the Governing Body for otherwise local activities or decisions that have regional implications.
- xvi. Direct officers that, whenever a local board is to make a decision that has potential impacts beyond the immediate local board area e.g. a sub-regional impact or an impact on regional networks, advice on those potential impacts is to be provided to the local board as a matter of course (for example through a regional impact statement).

Allocations and delegations

- xvii. **Reaffirm its view that Reserves Act matters are non-regulatory and under the subsidiarity principle of the Auckland Council legislation should in general and as a matter of principle be allocated to local boards where there are no overriding regional reasons not to, and requests that the Governing Body agree to this proposal.**
- xviii. **Agree that if needed as an interim measure, it supports the proposal that the Governing Body delegates, subject to the necessary statutory tests being met, the following Reserves Act 1977 decision-making functions for local reserves to local boards:**
- declaration
 - classification
 - reclassification

- application for revocation of reserve status (limited to when there is a desire by a local board to manage open space under the LGA)
 - **exchange of reserve land**
- xix. Note that the working party did not reach consensus on this issue, and will consider the feedback of local boards before making a recommendation.
- xx. Agree that the Minister of Conservation's supervisory powers remain delegated to staff, but where there is likely to be significant public interest, an independent commissioner should be engaged.

The role of Auckland Transport and local boards

- xxi. **Request that the Governing Body direct Auckland Transport to discuss opportunities to delegate certain functions to Auckland Council and/or the Waiheke Local Board on the basis that for the most part Waiheke is not part of the Auckland Transport network and can be managed as a separate entity.**
- xxii. **Note its strong view that significant operational improvements will result from Auckland Transport and the Waiheke Local Board working more closely together and agreeing on the transport work programme for Waiheke.**
- xxiii. Note the critical interface between the local place-shaping role of local boards and Auckland Transport's jurisdiction over the road corridor and transport networks.
- xxiv. Note that the Governance Manual for Substantive CCOs requires Auckland Transport, amongst other things, to:
- develop, with local boards, a shared understanding of local board views and CCO priorities to inform the following year's business planning, including through an annual interactive workshop 'where local boards communicate their local board priorities and the CCOs communicate how their current year work programme will contribute to local board priorities'.
 - develop by 31 July each year an annual local board engagement plan, which includes a schedule 'clearly indicat[ing] for each board, the projects and/or activities that it expects to report on, and the projects and activities that it expects to consult on, for the following year. This should be updated annually or more frequently if required.'
 - report against their local board engagement plan in their quarterly performance reports to the CCO Governance and Monitoring Committee.
- xxv. Direct Auckland Transport to meet all requirements for local board engagement as set out in the Governance Manual for Substantive CCOs.
- xxvi. Direct Auckland Council officers to monitor Auckland Transport's compliance with the requirements for local board engagement, as set out in the Governance Manual for Substantive CCOs, and to report this monitoring to the Governing Body at least annually.
- xxvii. Note that the Mayor's 2017 letter of expectation to Auckland Transport stipulated that council expects there to be:
- better and earlier engagement and communication between Auckland

Transport and local boards;

- active consideration by Auckland Transport of which of its decision-making powers it could delegate to local boards (within the constraints created by the regulatory environment, safety considerations, the needs of regional networks and the role played by NZTA in decision-making).

xxviii. Note that the following activity statement has been included in Auckland Transport's 2017-2020 Statement of Intent:

'Participation in the governance review which is aimed at changing behaviours and processes across relevant Council family activities, including Auckland Transport, to enable local boards to give effect to their governance role, particularly around local place-shaping.'

xxix. Note that the Governance Framework Review has investigated the potential delegation of a range of Auckland Transport powers and the working party recommends that additional work be undertaken to identify delegation opportunities, **in particular for the Waiheke Island transport network.**

xxx. Direct Auckland Transport, in working with local boards, to:

- Ensure that local boards have a strong governance role in determining the 'look and feel' of town centres and streetscapes, in line with their allocation of non-regulatory decision-making
- Improve co-ordination between local place-shaping projects, such as town centre upgrades, and its renewals programmes
- Provide more opportunities for local board direction on the prioritisation of minor traffic safety projects, with the exception of those which Auckland Transport considers are of critical safety importance
- Be more responsive to local place-shaping initiatives in non-transport parts of the road corridor, including reducing or removing barriers to community place-making initiatives e.g. looking at ways to reduce the costs of developing traffic management plans for community events
- Take direction from local boards on how and where to implement community-focused programmes.

xxxi. Direct Auckland Transport to report to the Governing Body annually on how it is meeting the directions given under recommendation xxx.

xxxii. Note that the Quality Advice programme has been working with Auckland Transport to improve the quality of advice to local boards and will shortly begin regular six monthly surveys of local board members' satisfaction with Auckland Transport advice, engagement and reporting to local boards.

xxxiii. Note that the Local Board Transport Capital Fund is valued by local boards but that the level of funding means that some boards are not able to progress meaningful projects, and that improved local transport outcomes may be achieved if the size of the fund was significantly increased.

xxxiv. Direct officers to report to the relevant Governing Body committee, through the Long Term Plan process, on options for significantly increasing the Local

Board Transport Capital Fund and the method of allocation of the fund.

- xxxv. Direct Auckland Transport to implement a more systematic work programme approach to assist local boards to identify potential projects and make decisions.
- xxxvi. Direct Auckland Transport to actively engage with Governing Body members (ward councillors) on transport projects and issues within their ward areas.

Waiheke Local Board pilot

- xxxvii. Agree that the Governing Body should endorse the Waiheke Local Board pilot project as set out in the Waiheke Local Board pilot project plan.
- xxxviii. **Note that as the pilot progresses, other matters beyond the current scope of the project plan, including matters discussed by the Governance Framework Review Political Working Party but not currently being progressed, may arise or merit investigation and agree that these be investigated if appropriate.**
- xxxix. Note that the Waiheke Local Board will maintain oversight of local implementation of the pilot.
- xl. **Note the recommendation to establish an Operations Manager position for the Waiheke Pilot with the authority and departmental support necessary for it to be effective.**
- xli. **Note that regular reports on the agreed formal RIMU led Waiheke pilot evaluation process will be reported back to all local boards and the Governing Body.**

Local boards funding and finance

- xlii. **Agree that the enhanced status quo model be moved to immediately, noting that further work can be progressed within this framework.**
- xliii. **Provide additional decision-making to local boards or clusters of local boards as they determine by enabling them to increase or decrease service levels within and across ABS activities provided that the impact is cost neutral, i.e. within the “funding envelope”.**
- xliv. Agree that the additional work to support the Enhanced status quo model be undertaken – framework for service levels and local flexibility, options for addressing historical uneven funding, improving the information and advice that is provided to local boards.
- xlv. Agree that further work on the implications of the local decision making model also be undertaken for further consideration - modelling of rates implication following the revaluation, costs to the organisation of supporting more local decision making etc.
- xlvi. **Request that the Governing Body direct officers to fully investigate options and mechanisms to enable those local boards which are interested in introducing a local rate to do so.**
- xlvii. **Question the officer view that boards which introduce a local rate will be penalised financially, noting that this has not been substantiated by any evidence.**

The optimum number of local boards

- xlvi. Agree that council should undertake no further work on changing the number of local boards until at least after the governance framework review has been completed and implemented, and the outcomes of reorganisation proposals that are underway for North Rodney and Waiheke Island are known.

Methods of electing Governing Body members

- xlvi. Agree that a change to the current system of electing Governing Body members from existing wards is not warranted purely to address alignment between Governing Body members and local boards.
- i. Note that the statutory review of representation arrangements for Auckland Council must be completed by September 2018.
- ii. Note that the Local Government Act Amendment Bill No. 2, which proposes a simplified process for local government-led reorganisation processes, is currently before Parliament.
- iii. Agree that council should continue to advocate to central government for legislative amendments that would allow changes to the number of Governing Body members in line with population changes, and simplification of the process for changes to numbers and boundaries of local boards.
- b) **Support a delegation for decision making to local boards for exchanges of reserve land.**
- c) **Support the continuation of a joint local board/Governing Body forum focusing on matters of governance impacting on both governance arms provide it has adequate administrative support.**

CARRIED

Waitākere Ranges Local Board resolutions

Resolution number WTK/2017/112

MOVED by Chairperson G Presland, seconded by Deputy Chairperson S Toms: _

That the Waitākere Ranges Local Board:

- a) **supports the following draft positions and recommendations of the Political Working Party, and provides other feedback as follows:**

Regional policy and decision-making

- i. **Agree that council should implement new mechanisms that ensure effective local board input to regional policy decisions, via a framework that sets out, at a minimum:**
 - A process for involving local boards in the development of a jointly agreed regional work programmes at the beginning of each term and in an annual refresh;**
 - Earlier and more joint engagement between local boards and the governing body in regional decision-making processes**
 - Requirements for analysis of local impacts and local interest of regional decisions and options, and reporting of this to local boards and the governing body**
 - Specified criteria for categorising the potential local impact and local board interest of regional decisions**
 - Processes and methods for tailoring local board engagement in line with the local impact and local interest of regional decisions e.g. high categorisation requiring more specific local engagement and analysis, low categorisation requiring more cluster joint local board workshop sessions and less in depth analysis.**
 - Specified methods for engagement and communication with local boards at all stages of the decision-making process, **noting that some timeframes such as central government submissions are outside council's control and the methods may not be able to be implemented in all cases****
- ii. **Direct that local boards will be consulted on the details of these mechanisms prior to implementation.**
- iii. **Requests sufficient capacity for development of policy that is a priority for multiple local boards.**
- iv. **Note that the Quality Advice programme is continuing to be implemented in order to improve the quality of advice provided to elected members for decision-making, in line with the recommendations of the Governance Framework Review.**
- v. **Agree not to implement any formal policies or procedures that control when and how local boards may procure external or contestable advice, but note that, in principle, the Auckland Council organisation should be the first provider of advice to local boards.**
- vi. **Agree not to implement any policy or procedure that would limit local**

boards' ability to advocate to the governing body on regional issues.

Local decisions that may have regional impacts (development of a 'call-in' right)

- vii. Note that an explicit call-in right is not possible under the Local Government (Auckland Council) Act.
- viii. Agree not to implement any mechanism that would have the effect of 'calling in' or allocating decision-making to the governing body for otherwise local activities or decisions that have regional implications.
- ix. Direct officers that, whenever a local board is to make a decision that has potential impacts beyond the immediate local board area e.g. a sub-regional impact or an impact on regional networks, advice on those potential impacts is to be provided to the local board as a matter of course (for example through a regional impact statement).

Allocations and delegations

- x. Agree that the governing body delegates, subject to the necessary statutory tests being met, the following Reserves Act 1977 decision-making functions for local reserves to local boards:
 - declaration
 - classification
 - reclassification
 - application for revocation of reserve status (limited to when there is a desire by a local board to manage open space under the LGA)
- xi. Note that officers' advice on decision-making for exchanges of reserve land was that it should remain with the governing body, with the relevant local board consulted on these decisions
- xii. Note that the working party did not reach consensus on this issue, and will consider the feedback of local boards before making a recommendation
- xiii. Agree that the Minister of Conservation's supervisory powers remain delegated to staff, but where there is likely to be significant public interest, an independent commissioner should be engaged.

The role of Auckland Transport and local boards

- xiv. **Note the role of local boards in place-making and that /Auckland Transport should be guided by and responsive to local boards acting in this role to enable them to give effect to this role.**
- xv **Note that placemaking does not just have to have an urban focus but may include rural and natural environments.**
- xvi Note the critical interface between the local place-shaping role of local boards and Auckland Transport's jurisdiction over the road corridor and transport networks.
- xvii **Requests that Auckland transport seek local board endorsement for projects where there are place-making outcomes before proceeding to delivery.**
- xviii **Requests that Auckland Transport provide local boards with the opportunity to influence the prioritisation of projects in the local work programme prior to**

its finalisation.

- xix. Requests Auckland Transport formally provide local boards with a local work programme for each financial year (prior to the commencement of the year) with regular formal updates on any changes to the programme.**

Note that the Governance Manual for Substantive CCOs requires Auckland Transport, amongst other things, to:

- develop, with local boards, a shared understanding of local board views and CCO priorities to inform the following year's business planning, including through an annual interactive workshop 'where local boards communicate their local board priorities and the CCOs communicate how their current year work programme will contribute to local board priorities'.
- develop by 31 July each year an annual local board engagement plan, which includes a schedule 'clearly indicat[ing] for each board, the projects and/or activities that it expects to report on, and the projects and activities that it expects to consult on, for the following year. This should be updated annually or more frequently if required.'
- report against their local board engagement plan in their quarterly performance reports to the CCO Governance and Monitoring Committee.

- xx. Note that the CCO Governance Manual requires Auckland Transport to include in its engagement plan how it will give local boards an opportunity to influence or to respond to the following:**

- Decisions affecting a local board's governance role;
- Decisions having a significant local impact (including mitigation measures);
- Decisions requiring a CCO to undertake community consultation (local or regional).

- xxi. Direct Auckland Transport to meet all requirements for local board engagement as set out in the Governance Manual for Substantive CCOs.**

- xxii. Direct Auckland Council officers to monitor Auckland Transport's compliance with the requirements for local board engagement, as set out in the Governance Manual for Substantive CCOs, and to report this monitoring to the governing body at least annually.**

- xxiii. Note that the Mayor's 2017 letter of expectation to Auckland Transport stipulated that council expects there to be:**

- better and earlier engagement and communication between Auckland Transport and local boards;
- active consideration by Auckland Transport of which of its decision-making powers it could delegate to local boards (within the constraints created by the regulatory environment, safety considerations, the needs of regional networks and the role played by NZTA in decision-making).

- xxiv. Note that the following activity statement has been included in Auckland Transport's 2017-2020 Statement of Intent:**

- 'Participation in the governance review which is aimed at changing

behaviours and processes across relevant Council family activities, including Auckland Transport, to enable local boards to give effect to their governance role, particularly around local place-shaping.'

- xxv.** Note that the Governance Framework Review has investigated the potential delegation of a range of Auckland Transport powers and the working party recommends that additional work be undertaken to identify delegation opportunities
- xxvi.** Direct Auckland Transport, in working with local boards, to:
- Ensure that local boards have a strong governance role in determining the 'look and feel' of town centres and streetscapes, in line with their allocation of non-regulatory decision-making
 - Improve co-ordination between local place-shaping projects, such as town centre upgrades, and its renewals programmes
 - Provide more opportunities for local board direction on the prioritisation of minor traffic safety projects, with the exception of those which Auckland Transport considers are of critical safety importance
 - Be more responsive to local place-shaping initiatives in non-transport parts of the road corridor, including reducing or removing barriers to community place-making initiatives e.g. looking at ways to reduce the costs of developing traffic management plans for community events
 - Take direction from local boards on how and where to implement community-focused programmes
- xxvii.** Direct Auckland Transport to report to the governing body annually on how it is meeting the directions given under recommendation xx.
- xxviii.** Note that the Quality Advice programme has been working with Auckland Transport to improve the quality of advice to local boards and will shortly begin regular six monthly surveys of local board members' satisfaction with Auckland Transport advice, engagement and reporting to local boards.
- xxix.** Note that the Local Board Transport Capital Fund is valued by local boards but that the level of funding means that some boards are not able to progress meaningful projects, and that improved local transport outcomes may be achieved if the size of the fund was significantly increased.
- xxx.** Direct officers to report to the relevant governing body committee, through the Long Term Plan process, on options for significantly increasing the Local Board Transport Capital Fund and the method of allocation of the fund.
- xxxi.** Direct Auckland Transport to implement a more systematic work programme approach to assist local boards to identify potential projects and make decisions.
- xxxii.** Direct Auckland Transport to actively engage with governing body members (ward councillors) on transport projects and issues within their ward areas.

Waiheke Local Board pilot

- xxxiii.** Agree that the governing body should endorse the Waiheke Local Board

pilot project as set out in the Waiheke Local Board pilot project plan.

- xxxiv. Note that the Waiheke Local Board will maintain oversight of local implementation of the pilot.
- xxxv. **Request that the evaluation of the pilot is reported back to all local boards**

Local boards funding and finance

- xxxvi **Agree that the enhanced status quo model be moved to immediately, noting that further work can be progressed within this framework rather than waiting for further work before implementing the enhanced status quo.**
- xxxvii **Rename the enhanced status quo to be called “local decision-making within a funding envelope.”**
- xxxviii **Provide additional decision-making to local boards by enabling them to increase or decrease service levels within and across ABS activities, provided that the impact is cost neutral, i.e. within the funding envelope**
- xxxix. Agree that the additional work to support the Enhanced status quo model be undertaken – framework for service levels and local flexibility, options for addressing historical uneven funding, improving the information and advice that is provided to local boards.
- xxxx. Agree that further work on the implications of the local decision making model also be undertaken for further consideration - modelling of rates implication following the revaluation, costs to the organisation of supporting more local decision making etc.
- xxxxi **notes its concern that preliminary work on the implications of the local decision making model suggests that this model would have a disproportionately negative effect on the Waitakere Ranges Local Board area, because it includes in its analysis spending on infrastructure and environmental services and the Waitakere Ranges Local Board has a significantly higher spend in this area because of Council's enhanced responsibility under the Waitakere Ranges Heritage Area Act.**

The optimum number of local boards

- xxxii. **Agree that at this time there is no overwhelming need to change the current number of local boards.**
- xxxiii. Agree that any decision to review the numbers of local boards should not occur until after the Governance Framework Review is completed and implemented and the outcome of the re-organisation proposals of North Rodney and Waiheke are known.

Methods of electing governing body members

- xxxiv. **Agree that the election process for the number of governing body members and wards should remain unchanged as there is no identified need to change or undertake an in-depth review on the election of governing body members**
- xxxv. Note that the statutory review of representation arrangements for Auckland Council must be completed by September 2018.
- xxxvi. Note that the Local Government Act Amendment Bill No. 2, which

proposes a simplified process for local government-led reorganisation processes, is currently before Parliament.

xxxxvii. Support Auckland Council advocating to central government for legislative amendments that would allow for future changes to the number of governing body members in line with population change and to simplify the process for changes to the numbers and boundaries of local boards **and the creation of Maori wards in Auckland.**

xxxxviii. **Support the following naming conventions for elected members of the Auckland Council as:**

Councillor for Governing Body members and Local Councillor for Local Board members.

- b) **Supports the status quo that decision-making on reserve exchanges remains with the governing body in line with decision-making for acquisition and disposal of non-reserve property.**
- c) **Supports the continuation of a joint local board/governing body political working party focusing on matters of governance impacting on both governance arms.**
- d) **Delegates to Chair Greg Presland and Deputy chair Saffron Toms to consider any additional information and Political Working Party positions that arise before the Governing Body makes the final decision in September and subject to consultation with other board members revise the board's agreed positions as appropriate.**
- e) **request the Governance Framework Review to investigate mechanisms that support the operations and governance roles of the Manukau Harbour Forum.**

CARRIED

Waitematā Local Board resolutions

Resolution number WTM/2017/163

MOVED by Deputy Chairperson S Chambers, seconded by Member R Northey: _

That the Waitematā Local Board:

- a) **support the following draft positions and recommendations of the Political Working Party, and provides other feedback as follows:**

Regional policy and decision-making

- i. **Agree that council should implement new mechanisms that ensure effective local board input to regional policy decisions, via a framework that sets out, at a minimum:**
 - A process for involving local boards in the development of a jointly agreed regional work programmes at the beginning of each term and in an annual refresh;**
 - Earlier and more joint engagement between local boards and the governing body in regional decision-making processes**
 - Requirements for analysis of local impacts and local interest of regional decisions and options, and reporting of this to local boards and the governing body**
 - Specified criteria for categorising the potential local impact and local board interest of regional decisions**
 - Processes and methods for tailoring local board engagement in line with the local impact and local interest of regional decisions e.g. high categorisation requiring more specific local engagement and analysis, low categorisation requiring more cluster joint local board workshop sessions and less in depth analysis.**
 - Specified methods for engagement and communication with local boards at all stages of the decision-making process, **noting that some timeframes such as parliamentary and central government submissions are outside council's control and the methods may not be able to be implemented in all cases****
- ii. **Direct that local boards will be consulted on the details of these mechanisms prior to implementation.**
- iii. **Requests sufficient capacity for development of policy that is a priority for multiple local boards.**
- iv. **Note that the Quality Advice programme is continuing to be implemented in order to improve the quality of advice provided to elected members for decision-making, in line with the recommendations of the Governance Framework Review.**
- v. **Agree not to implement any formal policies or procedures that control when and how local boards may procure external or contestable advice, but note that, in principle, the Auckland Council organisation should be the first provider of advice to local boards.**
- vi. **Agree not to implement any policy or procedure that would limit local boards' ability to advocate to the governing body on regional issues.**

Local decisions that may have regional impacts (development of a 'call-in' right)

- vii. Note that an explicit call-in right is not possible under the Local Government (Auckland Council) Act.
- viii. Agree not to implement any mechanism that would have the effect of 'calling in' or allocating decision-making to the governing body for otherwise local activities or decisions that have regional implications.
- ix. Direct officers that, whenever a local board is to make a decision that has potential impacts beyond the immediate local board area e.g. a sub-regional impact or an impact on regional networks, advice on those potential impacts is to be provided to the local board as a matter of course (for example through a regional impact statement).

Allocations and delegations

- x. Agree that the governing body delegates, subject to the necessary statutory tests being met, the following Reserves Act 1977 decision-making functions for local reserves to local boards:
 - declaration
 - classification
 - reclassification
 - application for revocation of reserve status (limited to when there is a desire by a local board to manage open space under the LGA)
- xi. Note that officers' advice on decision-making for exchanges of reserve land was that it should remain with the governing body, with the relevant local board consulted on these decisions
- xii. Note that the working party did not reach consensus on this issue, and will consider the feedback of local boards before making a recommendation
- xiii. Agree that the Minister of Conservation's supervisory powers remain delegated to staff, but where there is likely to be significant public interest, an independent commissioner should be engaged.

The role of Auckland Transport and local boards

- xiv. **Note the role of local boards in place-making and that /Auckland Transport should be guided by and responsive to local boards acting in this role to enable them to give effect to this role.**
- xv. Note the critical interface between the local place-shaping role of local boards and Auckland Transport's jurisdiction over the road corridor and transport networks.
- xvi. **Requests that Auckland transport seek local board endorsement for projects where there are place-making outcomes before proceeding to delivery.**
- xvii. **Requests that Auckland Transport provide local boards with the opportunity to influence the prioritisation of projects in the local work programme prior to its finalisation.**
- xviii. **Requests Auckland Transport formally provide local boards with a local work programme for each financial year (prior to the commencement of the year) with regular formal updates on any changes to the programme.**

xix. Note that the Governance Manual for Substantive CCOs requires Auckland Transport, amongst other things, to:

- □develop, with local boards, a shared understanding of local board views and CCO priorities to inform the following year's business planning, including through an annual interactive workshop 'where local boards communicate their local board priorities and the CCOs communicate how their current year work programme will contribute to local board priorities'.
- □develop by 31 July each year an annual local board engagement plan, which includes a schedule 'clearly indicat[ing] for each board, the projects and/or activities that it expects to report on, and the projects and activities that it expects to consult on, for the following year. This should be updated annually or more frequently if required.'
- □report against their local board engagement plan in their quarterly performance reports to the CCO Governance and Monitoring Committee.

xx. Note that the CCO Governance Manual requires Auckland Transport to include in its engagement plan how it will give local boards an opportunity to influence or to respond to the following:

- Decisions affecting a local board's governance role;
- Decisions having a significant local impact (including mitigation measures);
- Decisions requiring a CCO to undertake community consultation (local or regional).

xxi. Direct Auckland Transport to meet all requirements for local board engagement as set out in the Governance Manual for Substantive CCOs.

xxii. Direct Auckland Council officers to monitor Auckland Transport's compliance with the requirements for local board engagement, as set out in the Governance Manual for Substantive CCOs, and to report this monitoring to the governing body at least annually.

xxiii. Note that the Mayor's 2017 letter of expectation to Auckland Transport stipulated that council expects there to be:

- □better and earlier engagement and communication between Auckland Transport and local boards;
- □active consideration by Auckland Transport of which of its decision-making powers it could delegate to local boards (within the constraints created by the regulatory environment, safety considerations, the needs of regional networks and the role played by NZTA in decision-making).

xxiv. Note that the following activity statement has been included in Auckland Transport's 2017-2020 Statement of Intent:

- 'Participation in the governance review which is aimed at changing behaviours and processes across relevant Council family activities, including Auckland Transport, to enable local boards to give effect to their governance role, particularly around local place-shaping.'

xxv. Note that the Governance Framework Review has investigated the potential delegation of a range of Auckland Transport powers and the working party recommends that additional work be undertaken to identify delegation opportunities

xxvi. Direct Auckland Transport, in working with local boards, to:

- Ensure that local boards have a strong governance role in determining the 'look and feel' of town centres and streetscapes, in line with their allocation of non-regulatory decision-making**
- Improve co-ordination between local place-shaping projects, such as town centre upgrades, and its renewals programmes**
- Provide more opportunities for local board direction on the prioritisation of minor traffic safety projects, with the exception of those which Auckland Transport considers are of critical safety importance**
- Be more responsive to local place-shaping initiatives in non-transport parts of the road corridor, including planting policies, reducing or removing barriers to community place-making initiatives e.g. looking at ways to reduce the costs of developing traffic management plans for community events**
- Take direction from local boards on how and where to implement community-focused programmes**

xxvii. Direct Auckland Transport to report to the governing body annually on how it is meeting the directions given under recommendation xx.

xxviii. Note that the Quality Advice programme has been working with Auckland Transport to improve the quality of advice to local boards and will shortly begin regular six monthly surveys of local board members' satisfaction with Auckland Transport advice, engagement and reporting to local boards.

xxix. Note that the Local Board Transport Capital Fund is valued by local boards but that the level of funding means that some boards are not able to progress meaningful projects, and that improved local transport outcomes may be achieved if the size of the fund was significantly increased.

xxx. Direct officers to report to the relevant governing body committee, through the Long Term Plan process, on options for significantly increasing the Local Board Transport Capital Fund and the method of allocation of the fund.

xxxi. Direct Auckland Transport to implement a more systematic work programme approach to assist local boards to identify potential projects and make decisions.

xxxii. Direct Auckland Transport to actively engage with governing body members (ward councillors) on transport projects and issues within their ward areas.

Waiheke Local Board pilot

xxxiii. Agree that the governing body should endorse the Waiheke Local Board pilot project as set out in the Waiheke Local Board pilot project plan.

xxxiv. Note that the Waiheke Local Board will maintain oversight of local implementation of the pilot.

xxxv. Request that the evaluation of the pilot is reported back to all local boards

Local boards funding and finance

xxxvi. Agree that the enhanced status quo model be moved to immediately, noting that further work can be progressed within this framework in parallel rather than waiting before implementing the enhanced status quo.

xxxvii Rename the enhanced status quo to be called “local decision-making within a funding envelope.”

xxxix Provide additional decision-making to local boards by enabling them to increase or decrease service levels within and across ABS activities, provided that the impact is cost neutral, i.e. within the funding envelope

xxxx. Agree that the additional work to support the Enhanced status quo model be undertaken – framework for service levels and local flexibility, options for addressing historical uneven funding, improving the information and advice that is provided to local boards.

xxxxi. Agree that further work on the implications of the local decision making model also be undertaken for further consideration - modelling of rates implication following the revaluation, costs to the organisation of supporting more local decision making etc.

The optimum number of local boards

xxxvii. Agree that at this time there is no overwhelming need to change the current number of local boards.

xxxviii. Agree that any decision to review the numbers of local boards should not occur until after the Governance Framework Review is completed and implemented and the outcome of the re-organisation proposals of North Rodney and Waiheke are known.

Methods of electing governing body members

xxxvii. Agree that the election process for the number of governing body members and wards should remain unchanged as there is no identified need to change or undertake an in-depth review on the election of governing body members

xxxv. Note that the statutory review of representation arrangements for Auckland Council must be completed by September 2018.

xxxvi. Note that the Local Government Act Amendment Bill No. 2, which proposes a simplified process for local government-led reorganisation processes, is currently before Parliament.

xxxvii. Support Auckland Council advocating to central government for legislative amendments that would allow for future changes to the number of governing body members in line with population change and to simplify the process for changes to the numbers and boundaries of local boards and the creation of Maori wards in Auckland.

xxxviii. Support the following naming conventions for elected members of the Auckland Council as:

Councillor for Governing Body members and Local Councillor for Local Board members.

- b)** Supports the status quo that decision-making on reserve exchanges remains with the governing body in line with decision-making for acquisition and disposal of non-reserve property.
- c)** Supports the continuation of a joint local board/governing body political working party focusing on matters of governance impacting on both governance arms.
- d)** Delegates to Chair Pippa Coom, Deputy chair Shale Chambers and member Richard

Northey to consider any additional information and Political Working Party positions that arise before the Governing Body makes the final decision in September and subject to consultation with other board members revise the board's agreed positions as appropriate.

CARRIED

Whau Local Board resolutions

Resolution number WH/2017/95

MOVED by Deputy Chairperson S Zhu, seconded by Member D Whitley: _

That the Whau Local Board:

a) Approves draft positions and recommendations of the Political Working Party:

Regional policy and decision-making

i. Agree that council should implement new mechanisms that ensure effective local board input to regional policy decisions, via a framework that sets out, at a minimum:

- A process for involving local boards in the development of regional work programmes at the beginning of each term and in an annual refresh;**
- Earlier and more joint engagement between local boards and the governing body in regional decision-making processes**
- Requirements for analysis of local impacts and local interest of regional decisions and options, and reporting of this to local boards and the governing body**
- Specified criteria for categorising the potential local impact and local board interest of regional decisions**
- Processes and methods for tailoring local board engagement in line with the local impact and local interest of regional decisions e.g. high categorisation requiring more specific local engagement and analysis, low categorisation requiring more cluster joint local board workshop sessions and less in depth analysis.**
- Specified methods for engagement and communication with local boards at all stages of the decision-making process.**

ii. Direct that local boards will be consulted on the details of these mechanisms prior to implementation.

iii. Note that the Quality Advice programme is continuing to be implemented in order to improve the quality of advice to elected members for decision-making, in line with the recommendations of the Governance Framework Review.

iv. Agree not to implement any formal policies or procedures that control when and how local boards may procure external or contestable advice, but note that, in principle, the Auckland Council organisation should be the first provider of advice to local boards.

v. Agree not to implement any policy or procedure that would limit local boards' ability to advocate to the governing body on regional issues.

Local decisions that may have regional impacts (development of a 'call-in' right)

vi. Note that an explicit call-in right is not possible under the Local Government (Auckland Council) Act

vii. Agree not to implement any mechanism that would have the effect of 'calling in' or allocating decision-making to the governing body for otherwise local activities or decisions that have regional implications.

viii. Direct officers that, whenever a local board is to make a decision that has potential impacts beyond the immediate local board area e.g. a sub-regional impact or an impact on regional networks, advice on those potential impacts is to be provided to the local board as a matter of course (for example through a regional impact statement).

Allocations and delegations

ix. Agree that the governing body delegates, subject to the necessary statutory tests being met, the following Reserves Act 1977 decision-making functions for local

reserves to local boards:

- declaration
- classification
- reclassification
- application for revocation of reserve status (limited to when there is a desire by a local board to manage open space under the LGA)

x. Note that officers' advice on decision-making for exchanges of reserve land was that it should remain with the governing body, with the relevant local board consulted on these decisions

xi. Note that the working party did not reach consensus on this issue, and will consider the feedback of local boards before making a recommendation

xii. Agree that the Minister of Conservation's supervisory powers remain delegated to staff, but where there is likely to be significant public interest, an independent commissioner should be engaged.

The role of Auckland Transport and local boards

xiii. Note the critical interface between the local place-shaping role of local boards and Auckland Transport's jurisdiction over the road corridor and transport networks.

xiv. Note that the Governance Manual for Substantive CCOs requires Auckland Transport, amongst other things, to:

- develop, with local boards, a shared understanding of local board views and CCO priorities to inform the following year's business planning, including through an annual interactive workshop 'where local boards communicate their local board priorities and the CCOs communicate how their current year work programme will contribute to local board priorities'.

- develop by 31 July each year an annual local board engagement plan, which includes a schedule 'clearly indicat[ing] for each board, the projects and/or activities that it expects to report on, and the projects and activities that it expects to consult on, for the following year. This should be updated annually or more frequently if required.'

- report against their local board engagement plan in their quarterly performance reports to the CCO Governance and Monitoring Committee.

xv. Direct Auckland Transport to meet all requirements for local board engagement as set out in the Governance Manual for Substantive CCOs.

xvi. Direct Auckland Council officers to monitor Auckland Transport's compliance with the requirements for local board engagement, as set out in the Governance Manual for Substantive CCOs, and to report this monitoring to the governing body at least annually.

xvii. Note that the Mayor's 2017 letter of expectation to Auckland Transport stipulated that council expects there to be:

- better and earlier engagement and communication between Auckland Transport and local boards;

- active consideration by Auckland Transport of which of its decision-making powers it could delegate to local boards (within the constraints created by the regulatory environment, safety considerations, the needs of regional networks and the role played by NZTA in decision-making).

xviii. Note that the following activity statement has been included in Auckland Transport's 2017-2020 Statement of Intent:

'Participation in the governance review which is aimed at changing behaviours and processes across relevant Council family activities, including Auckland Transport, to

enable local boards to give effect to their governance role, particularly around local place-shaping.’

xix. Note that the Governance Framework Review has investigated the potential delegation of a range of Auckland Transport powers and the working party recommends that additional work be undertaken to identify delegation opportunities

xx. Direct Auckland Transport, in working with local boards, to:

- Ensure that local boards have a strong governance role in determining the ‘look and feel’ of town centres and streetscapes, in line with their allocation of non-regulatory decision-making
- Improve co-ordination between local place-shaping projects, such as town centre upgrades, and its renewals programmes
- Provide more opportunities for local board direction on the prioritisation of minor traffic safety projects, with the exception of those which Auckland Transport considers are of critical safety importance
- Be more responsive to local place-shaping initiatives in non-transport parts of the road corridor, including reducing or removing barriers to community place-making initiatives e.g. looking at ways to reduce the costs of developing traffic management plans for community events
- Take direction from local boards on how and where to implement community-focused programmes

xxi. Direct Auckland Transport to report to the governing body annually on how it is meeting the directions given under recommendation xx.

xxii. Note that the Quality Advice programme has been working with Auckland Transport to improve the quality of advice to local boards and will shortly begin regular six monthly surveys of local board members’ satisfaction with Auckland Transport advice, engagement and reporting to local boards.

xxiii. Note that the Local Board Transport Capital Fund is valued by local boards but that the level of funding means that some boards are not able to progress meaningful projects, and that improved local transport outcomes may be achieved if the size of the fund was significantly increased.

xxiv. Direct officers to report to the relevant governing body committee, through the Long Term Plan process, on options for significantly increasing the Local Board Transport Capital Fund and the method of allocation of the fund.

xxv. Direct Auckland Transport to implement a more systematic work programme approach to assist local boards to identify potential projects and make decisions.

xxvi. Direct Auckland Transport to actively engage with governing body members (ward councillors) on transport projects and issues within their ward areas, **with their comments feedback and advocacy on local activities being directed back to the relevant local board.**

Waiheke Local Board pilot

xxvii. Agree that the governing body should endorse the Waiheke Local Board pilot project as set out in the Waiheke Local Board pilot project plan.

xxviii. Note that the Waiheke Local Board will maintain oversight of local implementation of the pilot.

Local boards funding and finance

xxix. **Agree that the enhanced status quo model be moved to immediately, noting that further work can be progressed within this framework rather than waiting for further work before implementing the enhanced status quo.**

- **Re-name the enhanced status quo to be called, “local decision-making within a funding envelope.”**

- **Provide additional decision-making to local boards by enabling them to increase or decrease funding allocations within and across asset based services activities provided that the impact is cost neutral, i.e. within the funding envelope.**

xxx. Agree that the additional work to support the Enhanced status quo model be undertaken – framework for service levels and local flexibility, options for addressing historical uneven funding, improving the information and advice that is provided to local boards.

xxxii. Agree that further work on the implications of the local decision making model also be undertaken for further consideration - modelling of rates implication following the revaluation, costs to the organisation of supporting more local decision making etc.

The optimum number of local boards

xxxii. Agree that council should undertake no further work on changing the number of local boards until at least after the governance framework review has been completed and implemented, and the outcomes of reorganisation proposals that are underway for North Rodney and Waiheke Island are known.

Methods of electing governing body members

xxxiii. Agree that a change to the current system of electing governing body members from existing wards is not warranted purely to address alignment between governing body members and local boards

xxxiv. Note that the statutory review of representation arrangements for Auckland Council must be completed by September 2018.

xxxv. Note that the Local Government Act Amendment Bill No. 2, which proposes a simplified process for local government-led reorganisation processes, is currently before Parliament.

xxxvi. Agree that council should continue to advocate to central government for legislative amendments that would allow changes to the number of governing body members in line with population changes, and simplification of the process for changes to numbers and boundaries of local boards.

b) **support officers' advice on decision-making for exchanges of reserve land was that it should remain with the governing body, with the relevant local board consulted on these decisions.**

c) **request that local board members be referred to as local board councillors. This change in terminology is anticipated to assist members of the community identifying that local board elected members are part of council.**

d) **propose that consideration with regard to continuation of a joint local board/governing body political working party focusing on matters of governance impacting on both governance arms be made at the conclusion of the process noting discussion and points to be considered are still progressing.**

e) **request the Governance Framework Review to investigate mechanisms that support the operations and governance roles of the Manukau Harbour Forum.**

CARRIED