

Waste Minimisation and Innovation Fund Guidelines 2015

The Waste Minimisation and Innovation Fund (WMIF) is a new grant scheme established as one of the key initiatives of the Auckland Council's Waste Management and Minimisation Plan (WMMP).

The WMMP can be accessed on the Auckland Council website using the following address, <http://wasteplan.aucklandcouncil.govt.nz>

The broad aim of the fund is to support initiatives that will help achieve the vision, targets and strategic objectives of the WMMP. The fund has a specific focus on seed funding new initiatives – including developing business and community-based resource recovery centres and programmes.

The fund is open to community groups, businesses, iwi/Maori organisations, educational institutions and other community-based organisations operating in the Auckland region.

The fund has \$500,000 to distribute annually, with one funding round per year for grants of over \$5,000 and two funding rounds for grants of \$5,000 and under.

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1. Vision and purpose

The Waste Minimisation and Innovation Fund supports the vision, targets and strategic objectives of the Waste Management and Minimisation Plan.

Vision of the Waste Management and Minimisation Plan

To become the most liveable city in the world, Auckland will aim for the long-term aspirational goal of Zero Waste by 2040, turning its waste into resources.

Targets:

- a short to medium-term target – to reduce domestic kerbside refuse from 160kg to 110kg per capita per year (a 30 per cent reduction) by 2018
- a longer-term stretch goal – to reduce total council and private sector influenced waste to landfill by 30 per cent from the baseline of 0.8 tonnes per capita per year over the next 15 years (by 2027) by working with the commercial sector and the private waste industry
- an in-house target - to reduce the council's own in-house waste by 30 per cent per capita by 2018.

Strategic objectives:

- reducing Auckland's reliance on landfills
- achieving operational efficiencies in domestic waste and recycling services
- reducing harm from waste
- restricting organic waste going to landfill
- developing an infrastructure and processes to maximise resource recovery
- reducing council's responsibility for dealing with end-of-life consumer products and packaging through appropriate advocacy
- maximising local economic development opportunities e.g. jobs created by diverting waste from landfill
- reducing litter and illegal dumping and related costs.

Purpose of the Waste Minimisation and Innovation Fund

The WMIF has been established to disburse a portion of the funds allocated to Auckland Council from the national waste levy (currently set at \$10 per tonne). Half of the total revenue generated from the levy is allocated to territorial authorities on a population basis. This money must be spent on promoting or achieving waste minimisation as set out in local authorities' Waste Management and Minimisation Plans (WMMPs). The other half, less administration costs, is allocated to waste minimisation initiatives¹, through the Waste Minimisation Fund, which is run by the Ministry for Environment.

Local authorities may use funds from their allocation to promote or achieve waste minimisation as set out in the WMMP in accordance with section 47 of the Waste Minimisation Act 2008².

The Auckland Council WMMP³ establishes the purpose of its Waste Minimisation and Innovation Fund as:

- to promote or achieve waste management and minimisation
- reduce waste to landfill in accordance with the objectives of the WMMP
- to foster new ideas and encourage community participation in reducing waste to landfill.

¹ MfE, Waste Minimisation Fund Guide for Applicants, website August 2012

² Waste Minimisation Act 2008, Section 47 (Grants)

³ Auckland Council Waste Management and Minimisation Plan, June 2012

The WMIF is primarily intended to provide seed funding to encourage and enable creative reuse and recovery and generate economic opportunities.

2. Funding outcome areas

Auckland Council wants to target priority waste streams, reduce harm to the environment and improve efficiency of resource use. The WMIF aims to do this by supporting new initiatives that complement and enhance existing programmes or address gaps or opportunities. Funding is allocated through four outcome areas:

- resource recovery initiatives and facilities
- commercial waste
- organic waste
- community action and behaviour change.

Resource recovery initiatives and facilities

Development of a regional Resource Recovery Network (RRN) is a priority for Auckland's long-term aim to achieve Zero Waste by 2040. The RRN will provide an infrastructure that supports maximum resource recovery as well as providing local business and employment opportunities. A specific focus for the coming year (2015) is the development of community and business operated resource recovery facilities.

Commercial waste

Supporting business waste minimisation is a key initiative of the WMMP. The long-term target of the WMMP is to reduce total waste to landfill by 30 per cent by 2027, and as commercial waste (waste not controlled by the council) makes up 83% of all waste sent to landfill in Auckland, supporting business waste minimisation is a priority. The council is seeking ways to encourage development of innovative solutions for commercial waste, particularly construction and demolition waste (concrete, timber, plasterboard, insulation materials etc).

Organic waste

Organic waste (food waste and green waste) makes up about 50 per cent (by weight) of domestic waste sent to landfill. As such, reducing organic waste is a priority for achieving Auckland's Zero Waste goal. Auckland Council will be introducing a kerbside collection of organic waste for households in urban areas in 2015. Initiatives that complement this service and/or enable local composting will be eligible for funding. Projects could look at reducing domestic and commercial green waste to landfill like community gardens, which have composting/mulching practices, or innovative ways to process organic waste.

Community action and behaviour change

Fostering new ideas and encouraging community participation in reducing waste to landfill is a key direction of the WMMP and a priority in the lead up to introducing disposer pays charging for refuse across the region in 2015. Building community capacity for waste minimisation will be important in ensuring all Aucklanders have access to the information, education and support they need to reduce the amount of waste they send to landfill. The aim is to create enduring change in community behaviour and attitudes towards waste.

3. Who can apply?

The fund is open to community groups, businesses, iwi/Maori organisations, early childhood centres, schools, tertiary organisations and other community-based organisations operating in the Auckland region.

Eligibility Criteria

- Only waste minimisation projects are eligible for funding. Projects must promote or achieve waste minimisation. Waste minimisation covers the reduction of waste, and the reuse and recycling and the recovery of materials or energy for further use or processing.
- The scope of the fund includes educational projects that promote waste minimisation activity only, but not general environmental education programmes.
- The fund does not cover projects that focus on waste disposal or on the treatment of wastes for disposal/clean ups.
- Projects must result in new waste minimisation activity, either by implementing new initiatives, or a significant expansion in the scope or coverage of existing activities.
- Funding is not for the ongoing financial support of existing activities, nor is it for the running costs of the existing activities of organisations, individuals or firms.
- Projects may be for a discrete funding timeframe of one to three years, after which the project objectives should have been achieved and, where appropriate, the initiative has become self-funding.
- Funding can be used for operational or capital expenditure that is required to undertake a project.
- The applicant must be a legal entity or fall under an umbrella legal entity.
- The fund will cover up to 50% of the cost of the project. Applicants will need to demonstrate adequate sources of additional funding from their own or other resources, or in kind.

4. What funding is available?

The scheme will have an annual \$500,000 funding pool. If funds are not fully allocated in one year they will roll over into the following year.

Sub-categories for funding allocation are:

Category	Grant Range	When to apply
Small and simple projects Open twice a year	From \$250 - \$5000	April and September
Medium projects Open once per year	From \$5001 - \$25,000	September
Large projects Open once per year	Grants over \$25,000	September

The maximum amount that can be funded is \$50,000 per project, but larger projects might be considered on merit at the discretion of the council.

All decisions made by Auckland Council relating to a funding application will be final. An applicant may, however, re-submit an application to a future funding round.

Types of funding

One-off project funding

- Grants will only be released after receipts have been provided to Council, unless by prior arrangement.
- Grants are intended to provide short-term start up funding to organisations and groups for projects or initiatives for a specific purpose or outcome.
- The recipient is required to fulfil accountability obligations at regular intervals, or following completion of project or initiative, as appropriate.

Multi-year funding contracts (for medium or large projects only)

- A multi-year contract will be for a maximum of three years to provide continuity of funding for a specified purpose or outcome.
- Council and recipient to agree a set of performance measures and indicators as a condition of support.
- Multi-year funding contracts are provided to support the council's regional objectives and outcomes as determined by the Waste Management and Minimisation Plan.

Applicants seeking a multi-year funding contract must provide evidence that secured funding will allow the group or organisation to provide services and activities that directly support the delivery of the council's strategic objectives. Applicants should be able to demonstrate to the council's satisfaction:

- a history of delivering similar services and activities or evidence that the group or organisation has the ability to achieve the project's objectives
- how secured multi-year funding will allow the group or organisation to contribute to the council's ability to meet its vision, targets or strategic objectives under the Waste Management and Minimisation Plan.
- adequate ongoing financial resources.

5. What you can apply for?

The following list provides examples of acceptable activities:

- feasibility studies and business cases
- infrastructure design and development
- materials/equipment and plant
- skills development
- trials and pilot programmes
- education programmes
- workshops, neighbourhood meetings, events, neighbourhood mentoring and social learning
- monitoring and surveys
- research and development that is not aimed at supporting the attainment of a qualification
- project execution/implementation costs.

The WMIF will not fund:

- projects that are inconsistent with Auckland Council's Waste Minimisation and Management Plan
- projects that do not involve waste generated from within the Auckland region
- retrospective projects where the funding sought is for work already completed
- debt servicing
- activities which duplicate other demonstration projects or pilot studies.

Auckland Council cannot commit to ongoing financial support, (except in multi-year funding contracts as above) and the award of a grant does not entitle the recipient to any future grant.

6. General funding requirements

Successful grant applications must:

- support the vision, targets and strategic objectives of the Council's WMMP 2012
- lead to measurable waste minimisation outcomes
- take place within and/or benefit the Auckland region.

Other considerations

- Grants will not be subject to GST. Costs must be listed exclusive of GST. If a group or organisation is not GST registered, the council will make the necessary adjustments to the funding application. Transport and freight costs should be included, if applicable.
- Generally to receive funds applicants are expected to be actively involved in the project and make a contribution to resourcing the project. This could include providing materials, in kind labour or a financial contribution. It is important to include this contribution on the application form.
- Successful applicants must complete and sign the Terms and Conditions of the contract before the release of funds.
- Unless otherwise agreed, projects must have started within three months of approval of funding and, for short-term projects, progress must be completed within 12 months of receipt of funding. For longer-term projects, progress towards agreed upon targets must be made within 12 months of receipt of funding.

7. Filling out the application form

Applications will only be accepted through the funding website now in use, the [SmartyGrants website](#) (access to the application form will be available soon)

Hard copy and PDF applications will no longer be accepted.

Please make sure you answer all questions on the application form as failure to provide all of the necessary information may result in funding not being allocated to you.

Only complete applications will be accepted so please make sure you include all requested attachments.

Complete the checklist at the end of the application form to make sure you have included all of the necessary information and met all the conditions.

Application costing

The application form for medium, large and multi-year grants refers to additional supporting documents (these are only required for large and multi-year grants but may be requested for medium grants).

The following table provides definitions for these.

Document	Guidance
Feasibility study	<p>The Council needs to ascertain if the idea will work and if it is feasible. A feasibility study is written before the business plan to identify how, where and to whom you intend to sell the related service or product. Feasibility studies:</p> <ul style="list-style-type: none"> • assess competition • calculate how much money an organisation needs to start the project and keep it running until it is established • provide in-depth detail about the business to determine if and how it can succeed. <p>It should include an overview of the business and the market, and technical and financial details regarding the project.</p>
Business plan	<p>A business plan provides:</p> <ul style="list-style-type: none"> • information about the directors/shareholders/trustees • age and history of the organisation • staffing levels • organisation's vision, mission and values • any other supporting background information about the project and the organisation.
Capability statement of the project	<p>The capability statement includes the following information:</p> <ul style="list-style-type: none"> • Curriculum Vitae (CVs) for key staff members, detailing skills and experience • organisational structure • any identified risks, and how they are being managed.

8. Grant uplifting and reporting requirements

- Funding must be uplifted within 12 months of approval. Any funds not uplifted within this time will be returned to the funding pool and reallocated.
- Successful applicants will be required to submit a final report on completion of the project or 12 months after the grant has been approved, whichever comes first. Depending on the project, the council may withhold funds (or a percentage of these) until the project report and proof of receipts have been received.
- Medium/large and multi year grants may be required to report on key milestones as part of their contract agreement.
- Auckland Council has a policy of routinely auditing successful recipients of funding. This may include a visit from council staff during, or on completion of, the project.

9. Submitting your application

A link to the funding website SmartyGrants can be found at www.aucklandcouncil.govt.nz/wmif and select the 'Environmental and Heritage' link.

Please make sure you answer all questions on the application form as failure to provide all of the necessary information may result in funding not being allocated to you.

Make sure you include all requested attachments (quotes, supporting information etc). You can scan these and upload them with your application. Clearly identify all additional material.

Written quotes – you are required to supply evidence of the costs you are applying for. This could include a quotation from a vendor detailing the goods and services required, or a copy of an advertisement featuring the price of the product you are requesting funding for. Verbal quotes are not accepted.

If you need any assistance please contact the Waste Fund Advisor on 09 301 0101 or email

aucklandwastefund@aucklandcouncil.govt.nz

Waste Planning Advisor (Initiatives)
Auckland Council
Private Bag 92300
Victoria St West
Auckland 1142

Please mark all funding correspondence as Private and Confidential.

Once you have submitted your application you will receive an acknowledgement email. Your application will be assessed and you will be advised in writing of the result.

Application closing date

The fund closes at 5pm on 30 September 2015.

10. What happens once you have sent your application form?

- Auckland Council will acknowledge receipt of all applications.
- The application will be assessed and scored against the 'application assessment criteria' detailed below.
- The council will allocate the funding to successful applicants.
- You will be advised in writing of the result.
- If you are successful, you will need to accept a contract outlining terms and conditions before any funding is released. Your application form will form part of the contract.
- Grants are made as a reimbursement once you have paid for the goods and services and you have sent in copies of the full receipts (unless prior arrangements have been made with the council).
- Once you have completed your project you will need to send in a brief report on a form that will be sent out to you. If you have applied for a medium or large grant or multi-year funding you may need to provide more than one report to the council.

11. Application assessment criteria

The criteria for the fund will be focused on the seeding of new initiatives including developing business and community-based resource recovery centres and programmes.

The following criteria will be used to assess applications:

1. Strategic alignment

- Proposals must align with the strategic objectives and guiding principles of the WMMP.

2. Waste minimisation

- Proposals must reduce waste to landfill and/or target priority waste streams.

3. Community participation and / or community benefit

- Proposals should encourage community participation (number and depth of engagement).
- Proposals should result in tangible community benefit (in some cases this may include private sector benefit).

4. Value for investment

- Proposals building on existing initiatives should add value and bring a fresh approach.
- Where possible proposals should address gaps in Auckland Council waste services and create new opportunities that would not otherwise emerge.
- Where possible proposals should be developed in consultation with other parties carrying out waste-related activities.
- Proposals should represent a good return on investment.
- The degree of funding for any proposal will take into account the level of risk.
- The proposal should not undermine existing initiatives or other funded proposals.

5. Quality of proposal

- The proposal has clear objectives.
- The organisation making the proposal can demonstrate capacity to deliver, ideally evidenced by experience in projects of a similar nature.
- The objectives of the proposal are measurable.
- The proposal will be technically and financially feasible, and does not represent an unacceptable level of risk to the council.

More information

If you require further information, contact the Waste Planning Advisor (Initiatives) at: aucklandwastefund@aucklandcouncil.govt.nz or phone 09 301 0101.