

2017	March	May	July	August	September	December
Focus Areas - Deep Dive	<ul style="list-style-type: none"> Health and Safety - Special Focus Risk #1 (High) 	<ul style="list-style-type: none"> Insurance Renewal programme Fraud and Integrity workshop 	<ul style="list-style-type: none"> Financial Briefing – Delivery of Commitments and Funding Core Risk #9 (High) 	<ul style="list-style-type: none"> NZX Announcement 	<ul style="list-style-type: none"> Fraud and Corruption Risk (group) – Core Risk # 6 (High) 	<ul style="list-style-type: none"> Procurement & Supplier Management – Core Risk #15 (Mod) (deferred) City Rail Link Project (deferred) Legal Risk – Core Risk #8 (High)
Risk	<ul style="list-style-type: none"> Endorsement of Risk Appetite Statement 		<ul style="list-style-type: none"> Top Risk Reporting by CCO's. Business Plan 17-18 		<ul style="list-style-type: none"> Risk Strategy 2018-20 Legal risk report 	<ul style="list-style-type: none"> Report back on Risk maturity Legal risk report CCO Risk
Internal Audit	<ul style="list-style-type: none"> IA 6 month Report Treaty Audit Monitoring 			<ul style="list-style-type: none"> IA Year in review IA 3 Year strategy update 2017/18 Audit Plan Business Plan 2017/18 	<ul style="list-style-type: none"> Treaty Audit Monitoring IA update report 	<ul style="list-style-type: none"> Fraud and Integrity Strategy update IA 6 month report
Insurance		<ul style="list-style-type: none"> Insurance Renewal programme 	<ul style="list-style-type: none"> Update on Insurance renewal programme Insurance Business Plan FY 17-18 		<ul style="list-style-type: none"> Insurance Strategy 	
External Reporting – Full year NZX announcement and Annual Report	<ul style="list-style-type: none"> APL 2017-19 2017 Audit Arrangements Engagement Letter 	<ul style="list-style-type: none"> NZX Announcement 2017 / Annual Report 2017 update for Committee 	<ul style="list-style-type: none"> Interim management report 2017 NZX Announcement / Annual Report update for Committee 	<ul style="list-style-type: none"> NZX Announcement / Annual Report update for Committee 	<ul style="list-style-type: none"> Annual report 2017 	<ul style="list-style-type: none"> 2018 arrangements
External Reporting – Interim Financial statements			<ul style="list-style-type: none"> Review engagement management report 			<ul style="list-style-type: none"> Engagement review arrangements December 2017 NZX announcement Update
External Reporting – LTP 2018-28			<ul style="list-style-type: none"> LTP Arrangements LTP progress Update 		<ul style="list-style-type: none"> LTP progress Update 	<ul style="list-style-type: none"> LTP Progress Update
Regular Updates Reports	<ul style="list-style-type: none"> Committee Workplan Risk Internal Audit Health and safety 	<ul style="list-style-type: none"> Committee Workplan 	<ul style="list-style-type: none"> Committee Workplan Risk Internal Audit 	<ul style="list-style-type: none"> Committee Workplan Health and Safety 	<ul style="list-style-type: none"> Committee Workplan Risk 	<ul style="list-style-type: none"> Committee Workplan Risk Internal Audit Health and safety
Other	<ul style="list-style-type: none"> OAG report (if applicable) 	<ul style="list-style-type: none"> OAG report (if applicable) 	<ul style="list-style-type: none"> OAG report (if applicable) 	<ul style="list-style-type: none"> OAG report (if applicable) 	<ul style="list-style-type: none"> OAG report (if applicable) 	<ul style="list-style-type: none"> OAG report (if applicable) Annual Report on Committee's performance

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Focus Areas – Deep Dive	<ul style="list-style-type: none"> • Procurement & Supplier Management - Core Risk #15 (Mod) • Quality of Asset Management Planning (Infrastructural and Physical)- Core Activity Risk #4 (Mod) (deferred) 	<ul style="list-style-type: none"> • Programme and Project Delivery - Core Risk #2 (High) • Business Disruptions – Natural Hazards (Special Focus Risk #2(High)) 	<ul style="list-style-type: none"> • Central Interceptor Project 	<ul style="list-style-type: none"> • Group Risk and mitigations 	<ul style="list-style-type: none"> • Business Disruptions – Inadequate IT environment to support Corporate Strategy – Special Focus Risk #4 (Mod)
Risk	<ul style="list-style-type: none"> • Update on Champions Programme • Legal Risk report • Cyber Risk • CCO Risk 	<ul style="list-style-type: none"> • Do it Right Compliance Programme • Report on Focus areas from Audit findings • Business Plan 18-19 • Legal Risk report • CCO Risk 	<ul style="list-style-type: none"> • Legal risk report • CCO Risk • Cyber security 		<ul style="list-style-type: none"> • Report on Risk Maturity • Legal Risk report • CCO Risk
Internal Audit	<ul style="list-style-type: none"> • Treaty Audit Monitoring 	<ul style="list-style-type: none"> • IA Year in Review • IA 3 year strategy update • 2018/19 Audit Plan Business Plan 2018/19 	<ul style="list-style-type: none"> • Treaty Audit Monitoring 		<ul style="list-style-type: none"> • Fraud and Integrity Strategy update • IA 6 month report
Insurance	<ul style="list-style-type: none"> • Insurance Risk Profiling for June Renewal 	<ul style="list-style-type: none"> • Report on insurance renewal programme Business Plan 18-19 			
Annual Report		<ul style="list-style-type: none"> • NZX Announcement 2018 / Annual Report 2018 update for Committee • Interim management report 2018 	<ul style="list-style-type: none"> • NZX Announcement 2018 / Annual Report 2018 update for Committee 	<ul style="list-style-type: none"> • 2018 Annual Report 	<ul style="list-style-type: none"> • 2019 Arrangements
Interim financial Statements	<ul style="list-style-type: none"> • Dec 2017 Interim Financial Statements • NZX Announcement Clearance 	<ul style="list-style-type: none"> • Review engagement management report Dec 2017 			<ul style="list-style-type: none"> • Review arrangements Dec 2018 • December 2018 NZX announcement Update
LTP 2018-28 / Annual Plan	<ul style="list-style-type: none"> • 2018-28 LTP (Final) 	<ul style="list-style-type: none"> • 2018-28 LTP Management Report 			<ul style="list-style-type: none"> • Update on 2019/20 Annual Plan
Regular Update Reports	<ul style="list-style-type: none"> • Committee workplan • Risk • Internal Audit • Health and safety 	<ul style="list-style-type: none"> • Committee workplan • Risk • Internal Audit • Health and safety 	<ul style="list-style-type: none"> • Committee workplan • Risk • Internal Audit • Health and safety 	<ul style="list-style-type: none"> • Committee workplan 	<ul style="list-style-type: none"> • Committee workplan • Risk • Internal Audit • Health and safety
Other	<ul style="list-style-type: none"> • OAG report (if applicable) 	<ul style="list-style-type: none"> • OAG report (if applicable) 	<ul style="list-style-type: none"> • OAG report (if applicable) 	<ul style="list-style-type: none"> • OAG report (if applicable) 	<ul style="list-style-type: none"> • OAG report (if applicable) • Annual Report on

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					Committee's performance

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Focus Areas – Deep Dive	<ul style="list-style-type: none"> Privacy and Confidentiality – Core Risk #7 (Mod) 	<ul style="list-style-type: none"> Business Disruptions (Information and Cyber) – Special Focus Risk #3 (Mod) 2019 LG Elections – management of risks - special Focus Risk #9 (Mod) 	<ul style="list-style-type: none"> Council Governance – special Focus Risk #7 (Mod) 		
Risk	<ul style="list-style-type: none"> Legal Risk report CCO Risk 	<ul style="list-style-type: none"> Review against targets Business Plan 19-20 Legal Risk report CCO Risk 	<ul style="list-style-type: none"> Legal Risk report CCO Risk 		<ul style="list-style-type: none"> Report on Risk Maturity Legal Risk report CCO Risk
Internal Audit	<ul style="list-style-type: none"> Treaty Audit Monitoring 	<ul style="list-style-type: none"> IA Year in Review IA 3 year strategy update 2019/20 Audit Plan Business Plan 2019/20 	<ul style="list-style-type: none"> Treaty Audit Monitoring 		<ul style="list-style-type: none"> Fraud and Integrity Strategy update IA 6 month report
Insurance	<ul style="list-style-type: none"> Insurance risk profiling 	<ul style="list-style-type: none"> Business Plan 19-20 Report on Insurance renewal programme 			
Annual Report		<ul style="list-style-type: none"> NZX Announcement 2019 / Annual Report 2019 update for Committee Interim management report 2019 	<ul style="list-style-type: none"> NZX Announcement 2019 / Annual Report 2019 update for Committee 	<ul style="list-style-type: none"> 2019 Annual Report 	<ul style="list-style-type: none"> 2020 Arrangements
Interim financial Statements	<ul style="list-style-type: none"> Dec 2018 Interim Financial Statements NZX Announcement Clearance 	<ul style="list-style-type: none"> Review engagement management report Dec 2018 			<ul style="list-style-type: none"> Review arrangements Dec 2019 December 2019 NZX announcement Update
LTP 2018-28 / Annual Plan	<ul style="list-style-type: none"> 2019/20 Annual Plan (final) 				<ul style="list-style-type: none"> 2020/21 Annual Plan (draft)
Regular Update Reports	<ul style="list-style-type: none"> Committee workplan Risk 	<ul style="list-style-type: none"> Committee workplan Risk 	<ul style="list-style-type: none"> Committee workplan Risk 	<ul style="list-style-type: none"> Committee workplan 	<ul style="list-style-type: none"> Committee workplan Risk

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Other	<ul style="list-style-type: none"> • OAG report (if applicable) 	<ul style="list-style-type: none"> • OAG report (if applicable) 	<ul style="list-style-type: none"> • OAG report (if applicable) 	<ul style="list-style-type: none"> • OAG report (if applicable) • Annual Report on Committee's performance 	<ul style="list-style-type: none"> • OAG report (if applicable) •