

Attachment C Deep Dive Schedule - ARC Forward Work Plan

| Risk Theme | Risk and Impact Description | Risk Owner | Inherent Risk | Residual Risk | Review Period | Audit and Risk Committee Date | Last review date |
|---|--|--|---------------|---------------|-------------------------|-------------------------------|------------------|
| Cyber Risk #2 | Increased cyber attacks, which may result in loss of personal data, intellectual property, reputational damage, business interruption due to loss of systems, impact on supply chains and financial loss. | Chief Financial Officer | High | High | June - July 2018 | 14 August 2018 | N/A |
| Significant internal and external disruptions #6 | Significant disruptions in the operations of Auckland Council and/or a civil emergency due to major natural catastrophes, technology and/or communication failure, power outage, asset failure and cyber attacks, which may result in financial loss or reputation damage, loss of life and loss of public trust and confidence in Auckland Council. | Chief Operating Officer Chief Financial Officer | High | High | October - November 2018 | 22 November 2018 | N/A |
| Changing crown model and delivery of infrastructure and housing #4 | Crown initiatives stretch council resources around strategic planning, consenting and infrastructure funding and delivery, which may result in an inability to deliver expected outcomes within timeframes, reduced trust and confidence in council and greater Crown intervention in traditional council functions. | Chief Operating Officer | High | Moderate | October - November 2018 | 22 November 2018 | N/A |
| Health, Safety and Wellbeing #1 | External or internal events may have a material impact on the health and safety or wellbeing of staff, contractors and the public (including volunteers and vulnerable children), which may result in physical and/or emotional harm, low staff morale, prosecutions leading to financial loss and reputation damage. | Director, People & Performance | Extreme | High | 1 January 2019 | 1 February 2019 | March 2018 |
| Theft, Fraud and Corruption #9 | Internal or External fraud, corruption or other unethical behaviour perpetrated within or against Council that could lead to financial loss, reputational damage, and/or operational risk to council. | Governance Director | High | High | 1 January 2019 | 1 February 2019 | N/A |

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| Service delivery #7 | Growing pressure on council to maintain and deliver services and programs (including those delivered by third parties or arms-length entities), while at the same time, being kept under constant scrutiny from the media and ratepayers with regard to good governance and responsible fiscal management, which may result in reputation damage and/or financial loss. | Chief Operating Officer | High | Moderate | February - April 2019 | May-19 | N/A |
| Fit for purpose infrastructure and community assets #5 | Failure to optimally manage and protect council parent assets may result in loss of investment, inability to provide services and thus support social, environmental and economic outcomes sought by Aucklanders, health and safety issues, significant increases in unbudgeted costs and reputational damage. | Chief Operating Officer | Extreme | Moderate | February - April 2019 | May-19 | February 2018 |
| Water Quality #8 | A significant loss/disruption of portable water supply and/or wastewater reticulation/treatment, which may result in significant environmental damage, asset/property loss from flooding, health risks from marine or freshwater quality degradation, adverse social and cultural impacts (Maori outcomes), reputation damage, financial loss and non-compliance with national policy statements and/or legislation. | Chief Operating Officer | Extreme | High | May 2019 - July 2019 | September 2019 | N/A |
| Climate change readiness and response #3 | Preparedness and risk mitigation activities may not be adequate to respond, absorb and/or reduce impacts of climate change (including severe weather events) which may result in environmental, economic (disruption to private businesses), loss of life/injury, financial and reputation loss. | Chief of Strategy | High | Moderate | May 2019 - July 2019 | September 2019 | N/A |