

Name of Project or Matter	Risk Owner	Previous update to ARC	Update Date	Status and risk update
<p>Asset Risk Assessment Programme</p>	<p>Tracy Massam, Senior Programme Coordinator</p>	<p>N/A</p>	<p>27/08/18</p>	<p>The Asset Risk Assessment Programme (ARAP) was initiated in November 2017 to meet new legislative requirement and to better inform Councils capital investment programme to manage risks to non-compliance because of new regulation.</p> <p>The programme covers risks of Asbestos Containing Material (ACM) within the building, seismic rating of the building and compliance with the current Building Code.</p> <p>The objective of the programme is to:</p> <ul style="list-style-type: none"> • provide a consistent and effective approach to categorise risks • develop the optimal solution to manage the risk • optimise the use of available resources • provide consistent and high-quality advice for decision-making; and • enable the grouping of remedial works to enable savings <p>Asbestos assessments are prioritised when a renewal project is about to be initiated, a seismic assessment or compliance inspection has been undertaken or there are specific health & safety concerns involving early childhood education and/or staff or customers have raised concerns.</p> <p>To date 2,012 Asbestos Management Plans have been completed and sent to all Community Facilities staff and contractors. Surveys and testing will continue as per prioritization criteria.</p> <p>The timeframe for Seismic assessments is 15 years from 1 July 2017 to identify earthquake-prone buildings and 35 years from issue of earthquake prone building notice to remediate.</p> <p>Seismic assessments are currently prioritised based on buildings with unreinforced masonry (URM), those built before 1976 with either three or more</p>

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				<p>levels or buildings with an irregular shape, brick chimneys, parapets, gables etc.</p> <p>ELT have approved a principle-based and risk-based matrix that identifies and prioritises our seismic efforts on the highest risk assets. The approach identifies all seismic risks to people from structural and non-structural deficiencies and is based on a risk ranking methodology using the following high-level risk categories:</p> <ul style="list-style-type: none"> • Buildings • People • Buildings and People <p>Each category contains attributes that form the basis of a structured approach to identifying and prioritising those buildings that, in the unlikely event of an earthquake, could harm the largest number of people.</p> <p>The status of the seismic assessments is as follows:</p> <ul style="list-style-type: none"> • 352 seismic assessments have been completed • 59 assets identified as earthquake-prone • 7 seismic related projects underway or completed • 11 EQP notices received to date <p>WorkSafe noted that non-structural elements must also be assessed, these objects are covered by the Health and Safety at Work Act 2015, and owners such as Council must take practical steps to identify and manage parts of building which could cause serious harm to people.</p> <p>Council is taking all practicable steps to make sure our workplaces are safe from falling hazards by undertaking non-structural assessments of our portfolio including objects such as chattels, fixtures, fittings, equipment including ceilings, verandas, fridges, shelves etc that may move or fall during an earthquake.</p>

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135 Albert St	Kevin Ramsay, GM Corporate Finance and Property	28/5/18	23/08/18	<p>Emergency procedure training is also underway across buildings where EQP notices have been received and overtime, to those in buildings that are identified as earthquake prone as of priority. Eventually all staff will receive emergency procedure training.</p> <p>The 135 Albert Street project is on track for completion by early 2019 and financially we are under budget.</p> <p>The mast climbers have been completely removed as the external work to the tower is complete, soffit reinstatement on level 29 will commence when building consent for this work is granted.</p> <p>The working platform at the base of the tower is being removed in sequence following removal of the mast climbers.</p> <p>The white protective window film from Level 3 Cafeteria window film will be removed end of August 2018.</p> <p>Below the canopy level stonework continues to be removed, cleaned and reinstalled to the building on a new framework.</p> <p>The hoarding line on Albert Street and around our entrance doors will be removed at the beginning of October 18 as work is completed below the canopy.</p> <p>Building control representatives visit site twice a month and there are no building consenting issues to report.</p> <p>There are no issues to report regarding noise or disruption to the operation of the building, the team continues to work with the communications team on messaging.</p> <p>Hawkins health and safety issues are reported in Risk Manager and Council's health and safety team is involved as the need arises. Hawkins continues to find new ways to maintain high levels of health and safety focus on site. There have not been any lost time injuries or fatalities to report and there</p>

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<p>Operation Rawene</p>	<p>Sarah Sinclair, Chief Engineer</p>	<p>28/5/18</p>	<p>22/8/18</p>	<p>have been 61 near miss incidents reported and managed. All incidents are discussed at regular project meetings with learnings incorporated into improving health and safety management on site.</p> <p>Work with the CRL team has paused while they work through their procurement process.</p> <p>On 9 October 2017 a significant event occurred on the site of the Rawene Reserve Carpark Birkenhead involving the failure of the slope below the carpark. On 28 November 2017 there was a further landslip on the site. The initial response by council group has focused on stabilising the site and ensuring the safety of the community. Since the last update in February 2018, the following progress has been made:</p> <ul style="list-style-type: none"> Phase 1 and Phase 2 Resource consent applications have been submitted and Building Consents for both phases will also be submitted shortly. Phase 1 – The capping beam helping to improve the stability of the emergency sheet piles is complete and is performing well. No movement has been observed since January 2018. Formation of the access track and piling mat are currently underway though poor weather has hampered progress. An additional concrete ramp and wheel wash has been installed to improve access/safety during wet weather Additional rock hardfill will be imported to improve earthworks productivity during winter months and the piling mat originally scoped for Phase 2 has been bought forward to Phase 1 again to help keep the programme on track. <p>Phase 2 works is programmed to start in October and are currently programmed to be on site through to Mar 2019.</p> <p>The scope of Phase 3 works is yet to be finalized, however, is likely to require stakeholder negotiations with respect to land purchase / remediation and we</p>

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				<p>are working with the legal team to develop a suitable strategy to address any litigation risks.</p> <p>Our insurers are being provided the opportunity to review all reporting from our council teams / consultants prior to final issue and we are engaging with our brokers to provide us support and advice.</p>
Consultation Document/Long Term Plan (LTP) 2018-28 development	Matthew Walker, Acting CFO	28/5/18	23/8/18	On 28 June 2018, the Office of the Auditor-General issued a standard audit report on Auckland Council's Long-term Plan 2018-2028. This meant they were satisfied that the plan meets the statutory purpose and they did not need to draw the readers' attention to anything in particular.

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				<p>The Office of the Auditor-General also issues reports to the council with recommendations on how the council can enhance and improve our processes for current and future planning.</p> <p>Following the completion of the Long-term Plan 2018-2028 audit, a management report has been issued, which outlines key issues and makes recommendations for future annual plan or long-term plan cycles.</p> <p>The matters raised, and recommendations made are:</p> <ul style="list-style-type: none"> a) the auditors' expectation is that the entities within the Auckland Council group delivering the agreed capital programme will have in place robust project and contract management processes and governance frameworks. They recommend that the council also puts in place an overarching governance framework that would enable oversight of the whole programme across entities. b) the council continues to develop the Infrastructure Strategy, so it better supports options for longer term decision-making. c) the centralised project management approach, application of key controls and detailed project planning disciplines continue to be developed and utilised on an ongoing basis for future long-term plans, any amendments and annual plan rounds. <p>Council staff accept the recommendations made and have provided a response to OAG, outlining actions being taken to address each issue raised.</p> <p>Council staff are currently addressing the issues raised by Office of the Auditor-General to ensure that they are resolved.</p> <p>A collaborative lessons-learnt process is also underway that aims to identify key issues and challenges faced during the development of the Long-term plan and consider areas of improvement for future planning purposes.</p>