
Komiti Tātari me te Mātai Raru Tūpono / Audit and Risk Committee

OPEN MINUTES

Minutes of an extraordinary meeting of the Audit and Risk Committee held in Room 1, Level 26, 135 Albert Street, Auckland on Friday, 1 February 2019 at 9.37am.

PRESENT

Chairperson	Sue Sheldon, CNZM
Deputy Chairperson	Deputy Mayor Cr Bill Cashmore
Members	Paul Conder Cr Richard Hills

ABSENT

Members	Cr Ross Clow Bruce Robertson
Ex-officio	Mayor Phil Goff, CNZM, JP IMSB Chair David Taipari

IN ATTENDANCE

Jo Smaill, Audit Director, Audit New Zealand

1 Apologies

Resolution number AUD/2019/1

MOVED by Chairperson S Sheldon, seconded by Member P Conder:

That the Audit and Risk Committee:

- a) **accept the apologies from Member B Robertson and Cr R Clow for absence and from Mayor P Goff for absence on council business.**

CARRIED

Note: An apology was subsequently received from IMSB Chair D Taipari for absence.

2 Declaration of Interest

There were no declarations of interest.

3 Petitions

There were no petitions.

4 Public Input

There was no public input.

5 Local Board Input

There was no local board input.

6 Extraordinary Business

There was no extraordinary business.

7 Potential Long-term Plan Amendment Consultation Document - risk and control update

Staff introduced this item.

The meeting adjourned at 9.42am and reconvened at 10.41am.

9 Procedural motion to exclude the public

Resolution number AUD/2019/2

MOVED by Chairperson S Sheldon, seconded by Deputy Chairperson BC Cashmore:

That the Audit and Risk Committee:

- a) **exclude the public from the following part(s) of the proceedings of this meeting.**
- b) **agree that Jo Smaill, Audit Director, Audit New Zealand be permitted to remain for Confidential Item C1 Office of the Auditor-General and Audit New Zealand briefing after the public has been excluded, because of her knowledge of matters which will help the Audit and Risk Committee in its decision-making.**

The general subject of each matter to be considered while the public is excluded, the reason for passing this resolution in relation to each matter, and the specific grounds under section 48(1) of the Local Government Official Information and Meetings Act 1987 for the passing of this resolution follows.

This resolution is made in reliance on section 48(1)(a) of the Local Government Official Information and Meetings Act 1987 and the particular interest or interests protected by section 6 or section 7 of that Act which would be prejudiced by the holding of the whole or relevant part of the proceedings of the meeting in public, as follows:

C1 Office of the Auditor-General and Audit New Zealand briefing

Reason for passing this resolution in relation to each matter	Particular interest(s) protected (where applicable)	Ground(s) under section 48(1) for the passing of this resolution
The public conduct of the part of the meeting would be likely to result in the disclosure of information for which good reason for withholding exists under section 7.	s7(2)(h) - The withholding of the information is necessary to enable the local authority to carry out, without prejudice or disadvantage, commercial activities. In particular, the report contains information regarding progress on the audit of the Long-term Plan amendment which is still ongoing.	s48(1)(a) The public conduct of the part of the meeting would be likely to result in the disclosure of information for which good reason for withholding exists under section 7.

The text of these resolutions is made available to the public who are present at the meeting and form part of the minutes of the meeting.

CARRIED

10.42am The public was excluded.

Resolutions in relation to the confidential items are recorded in the confidential section of these minutes and are not publicly available.

10.55am The public was re-admitted.

7 Potential Long-term Plan Amendment Consultation Document - risk and control update

Resolution number AUD/2019/4

MOVED by Chairperson S Sheldon, seconded by Member P Conder:

That the Audit and Risk Committee:

- a) receive the report on the key controls and risks relating to the potential Long-term Plan Amendment Consultation Document
- b) advise the Governing Body that effective internal control and risk management processes have been followed during the preparation of the Consultation Document and supporting information
- c) delegate authority to the chairperson and deputy chairperson of the Audit and Risk Committee to advise the Governing Body, once Audit New Zealand confirm that they have completed their audit, that there are no outstanding audit issues.

CARRIED

8 Consideration of Extraordinary Items

There was no consideration of extraordinary items.

RESTATEMENTS

It was resolved while the public was excluded:

C1 Office of the Auditor-General and Audit New Zealand briefing

Resolution number AUD/2019/3

MOVED by Chairperson S Sheldon, seconded by Member P Conder:

That the Audit and Risk Committee:

- a) receive the information provided by the Auditor-General's representative, Audit Director, Audit New Zealand Jo Smail and thank her for her attendance.

Restatement

- b) agree the agenda report, attachments and any tabled documents will remain confidential, but the decisions be restated in the open minutes.

11.04am

The Chairperson thanked Members for their attendance and attention to business and declared the meeting closed.

CONFIRMED AS A TRUE AND CORRECT RECORD
AT A MEETING OF THE AUDIT AND RISK
COMMITTEE HELD ON

DATE:.....

CHAIRPERSON:.....