

# Komiti ā Pūtea, ā Mahi Hoki

## Finance and Performance Committee

### FORWARD WORK PROGRAMME TO 30 JUNE 2019

The purpose of the Committee is to control and review expenditure across the Group to improve value for money; to monitor the overall financial management and performance of Auckland Council parent and Auckland Council Group; to make financial decisions required outside the annual budgeting processes and to perform the responsibilities of another committee, where it is necessary.

Detailed decisions are reported at the end of this document

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| <b>Priorities for 2018/19 will be on initiatives which:</b><br>1. Planning and Funding<br>2. Reporting and Performance<br>3. Value for money<br>4. Operational | <b>The work of the committee will:</b><br>1. approve the Annual Plan 2019/2020 including financial policy, the consultation document and supporting information for recommendation to the Governing Body<br>2. monitor achievement of financial and other measures of performance and services levels and recommend the Annual Report to the Governing Body<br>3. approve acquisition and disposal of property related to the Committee's responsibilities.<br>4. review and approve financial policy and non-budgeted expenditure. |
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| Lead                             | Area of work   | Reason for work  | Finance and Performance Committee role - decision or direction  | Expected timeframes                                   |   |   |                      |
|----------------------------------|--|--|---|---|---|---|----------------------|
|                                  |  |  |   | 2019  |   |   |                      |
|                                  |  |  |   | Q3<br>Jan-Mar<br>13 Feb<br>19 Feb<br>19 Mar<br>20 Mar | Q4<br>Apr-Jun<br>16 Apr<br>21 May<br>18 June<br>19 June | Q1<br>Jul-Sept<br>23 July<br>20 Aug<br>11 Sept<br>17 Sept | Q2<br>Oct-Dec<br>TBA |
| <b>Planning and Funding</b>      |  |  |   |   |   |   |                      |
| GM Financial Strategy & Planning | Annual Budget (includes a decision on carry forwards for 2018/2019)                    | Statutory process  | <b>Decision</b> to agree to the Consultation items (including Possible LTP amendment on transfer of legal ownership of properties within the council group)<br><b>Adopt</b> Consultation Document to consult with Public Consultation runs<br><b>Hear</b> feedback and deliberate budget scenarios<br><b>Decisions</b> made for Annual Budget<br><b>Adopt</b> final Annual Budget | 13 Feb  | Apr<br>May<br>June                                      |   | Nov                  |
| GM Financial Strategy & Planning | Budget Update (as required). This includes significant unbudgeted one-off expenditure. | Financial management   | <b>Decision</b> to agree recommended budget changes outside of AP/LTP budgeting cycle   |   | May<br>Jun  | July<br>Aug<br>Sept                                       |                      |
| GM Financial Strategy & Planning | Development contributions policy   | Statutory requirement to have a DC policy <ul style="list-style-type: none"> <li>Align capex figures from LTP</li> </ul> | The GB has <b>Adopted</b> the Development Contribution policy (13 December 2018)  |   |   |   |                      |
| GM Financial Strategy & Planning | Rating Policy and process  | Rating   | <b>Workshop</b> on approach to rating religious properties<br>Refer to this matter considered as part of the Annual Budget 2019/2020  | 13 Feb  | May   |   |                      |

| Lead   | Area of work   | Reason for work   | Finance and Performance Committee role - decision or direction   | Expected timeframes                                   |   |   |                      |
|--|--|---|--|---|---|---|----------------------|
|  |  |   |  | 2019  |   |   |                      |
|  |  |   |  | Q3<br>Jan-Mar<br>13 Feb<br>19 Feb<br>19 Mar<br>20 Mar | Q4<br>Apr-Jun<br>16 Apr<br>21 May<br>18 June<br>19 June | Q1<br>Jul-Sept<br>23 July<br>20 Aug<br>11 Sept<br>17 Sept | Q2<br>Oct-Dec<br>TBA |
| GM Financial Strategy & Planning   | Infrastructure funding and financing work with Treasury  | Financial Management  | <b>Discuss</b> ongoing work with central government on Crown Infrastructure Partners and Special Purpose Vehicles for major infrastructure projects – timing for committee as required   |   |   |   |                      |
| GM Financial Strategy & Planning and Treasurer and GM Financial Transactions | Treasury and debt management   |   | <b>Briefing</b> on council debt  | 19 Mar  |   |   | Nov/Dec              |
| GM Corporate Finance and Property  | Weathertightness issues and provision  | To provide an update on changes implemented to reduce the future risk of weathertightness claims as well as a summary of how the liability is calculated for accounting purposes  | <b>Review</b> information<br>Workshop held 6 March 2019  | Mar   |   |   |                      |
| Auckland Investment Office   | Colin Dale Park  | Report on progress of the investigation and negotiations for Speedway (run by Springs Promotions Ltd) to move from Western Springs to Colin Dale Park.  | <b>Endorse</b> the Heads of Agreement and <b>Approve</b> to development costs.<br>Note – Venue Development Strategy is being considered at the Planning Committee.<br>Refer also to aspects of this matter being considered as part of the Annual Budget 2019/2020 |   |   | May   |                      |
| Chief Financial Officer  | Eden Park  | Report on progress regarding the loan guarantee and grant funding   | <b>Review</b> information and make decisions on the loan guarantee<br>Progress update in July 2019   | 13 Feb<br>19 Mar                                      |   | July  |                      |
| Chief Financial Officer  | City Rail Link Limited   | Update on financial matters relating to City Rail Link Limited  | <b>Review</b> information and make decisions, as required<br>Note – this item will be reported to the Governing Body   | Feb   | Apr   |   |                      |
| Te Waka Anga Mua ki Uta  | Māori Transformational Activity and Expenditure Report<br><br>Te Tiriti O Waitangi Audit Response Work Programme | To monitor progress on expenditure and delivery of Maori transformational activity (includes Te Toa Takitini) and on projects to deliver Māori outcomes. This reporting will be carried out bi-annually<br><br>To monitor progress in responding to 3 yearly Te Tiriti O Waitangi audit | <b>Receive</b> generally no decisions  |   | 21 May  |   | Nov/Dec              |

| Lead  | Area of work                             | Reason for work  | Finance and Performance Committee role - decision or direction  | Expected timeframes                                   |   |   |                      |
|---|--|--|---|---|---|---|----------------------|
|   |  |  |   | 2019  |   |   |                      |
|   |  |  |   | Q3<br>Jan-Mar<br>13 Feb<br>19 Feb<br>19 Mar<br>20 Mar | Q4<br>Apr-Jun<br>16 Apr<br>21 May<br>18 June<br>19 June | Q1<br>Jul-Sept<br>23 July<br>20 Aug<br>11 Sept<br>17 Sept | Q2<br>Oct-Dec<br>TBA |
| <b>Reporting and Performance</b>            |  |  |   |   |   |   |                      |
| GM Corporate Finance and Property           | Annual Report                            | <ul style="list-style-type: none"> <li>Statutory requirement</li> <li>NZX Announcement and release</li> <li>Draft annual report and Summary on Performance - Sept</li> <li>Interim audit report – Feb</li> </ul> | <b>Receive</b> Annual report<br><b>Recommend</b> to Governing Body for adoption<br>Note: <ul style="list-style-type: none"> <li>NZX announcements are presented to the Audit and Risk Committee</li> <li>There is a delegation from the Committee to Chair and Deputy Chair of Finance and Performance to recommend to the Mayor and CE to release the preliminary results to the NZ Stock Exchange so that Council can meet NZX reporting deadlines.</li> <li>Formal adoption of annual report is by the Governing Body</li> </ul>                           |   |   | Sept  |                      |
| GM Corporate Finance and Property           | Half-yearly report                       | <ul style="list-style-type: none"> <li>NZX listing requirement</li> </ul>  | <b>Receive</b> Half-yearly report<br><b>Approve</b> for release<br>Note: <ul style="list-style-type: none"> <li>NZX announcements are presented to the Audit and Risk Committee</li> <li>There is a delegation from the Committee to Chair and Deputy Chair of Finance and Performance to recommend to the Mayor and CE to release the preliminary results to the NZ Stock Exchange so that Council can meet NZX reporting deadlines.</li> <li>Document will be sent to committee members once it has been reported to the NZX on 28 February 2019</li> </ul> | Feb<br>20 Mar   |   |   |                      |
| CCO/External Partnerships                   | Statements of Intent                     | <ul style="list-style-type: none"> <li>Shareholder feedback on draft SOIs –Aug</li> <li>Letters of Expectation for 2019/2020 SOIs – Nov</li> <li>Shareholder comments on draft 2019/2020 SOIs - Apr</li> </ul>   | <b>Agree</b> 2019/2010 Letters of Expectation<br><b>Approve</b> 2019/2020 Statements of Intent  |   | Apr   | Aug   |                      |
| Manager Corporate & Local Board Performance | Performance Reporting quarterly - parent | To monitor council parent financial and non-financial performance results  | <b>Receive</b> generally no decisions   | 20 Mar  | 19 June   | 17 Sept   | Nov/Dec              |
| GM Financial Strategy & Planning            | Performance Reporting quarterly – CCOs   | To monitor CCO financial and non-financial performance results   | <b>Receive</b> validate/challenge   | 20 Mar  | 19 June   | 17 Sept   | Nov/Dec              |

| Lead   | Area of work   | Reason for work   | Finance and Performance Committee role - decision or direction         | Expected timeframes                                   |   |   |                      |
|--|--|---|--|---|---|---|----------------------|
|  |  |   |  | 2019  |   |   |                      |
|  |  |   |  | Q3<br>Jan-Mar<br>13 Feb<br>19 Feb<br>19 Mar<br>20 Mar | Q4<br>Apr-Jun<br>16 Apr<br>21 May<br>18 June<br>19 June | Q1<br>Jul-Sept<br>23 July<br>20 Aug<br>11 Sept<br>17 Sept | Q2<br>Oct-Dec<br>TBA |
| GM Financial Strategy & Planning   | Performance Reporting quarterly - group  | To monitor Auckland Council group financial performance   | <b>Receive</b> generally no decisions                                  | 20 Mar  | 19 June   | 17 Sept   | Nov/Dec              |
| <b>Value for Money</b>   |  |   |  |   |   |   |                      |
| GM Financial Strategy & Planning   | Consideration and adoption of Group policy relating to Business Cases                        |   | <b>Decision</b> on whether to adopt a new policy                       |   | 18 June   |   |                      |
| <b>Operational</b>   |  |   |  |   |   |   |                      |
| Panuku Development Auckland  | Recommended disposals or acquisitions. These reports are as required, but generally monthly. | <ul style="list-style-type: none"> <li>Panuku is required through its SOI to identify and recommend to council properties that are surplus to requirements and can be considered for disposal. These include general disposals to fund LTP projects.</li> <li>Panuku recommends properties for acquisition and disposal to the committee for approval where they are located within a priority development location.</li> </ul> | <b>Decision</b> to proceed with recommended disposals or acquisitions. |   | May<br>18 June  | July<br>Aug<br>Sept                                       |                      |
| GM Corporate Finance and Property  | Property portfolio   | To provide an update on progress of the Corporate Property Portfolio roll out and where required seek approval for any property transactions  | <b>Regular</b> reporting   | 19 Mar  | 18 June   |   |                      |
| Engineering & Technical Services / Treasury & Financial Transactions / Procurement | Consideration and adoption of Group policies for Performance Bonds                           | Mayoral Office request  | <b>Decide</b> whether to amend current policy                          |   |   | June  |                      |

| Lead                         | Area of work   | Reason for work   | Finance and Performance Committee role - decision or direction                                   | Expected timeframes                                   |   |   |                      |
|------------------------------|--|---|--|---|---|---|----------------------|
|                              |  |   |  | 2019  |   |   |                      |
|                              |  |   |  | Q3<br>Jan-Mar<br>13 Feb<br>19 Feb<br>19 Mar<br>20 Mar | Q4<br>Apr-Jun<br>16 Apr<br>21 May<br>18 June<br>19 June | Q1<br>Jul-Sept<br>23 July<br>20 Aug<br>11 Sept<br>17 Sept | Q2<br>Oct-Dec<br>TBA |
| CCO/External Partnerships    | Funding and Levies (including Auckland Regional Amenities, MOTAT and Auckland War Memorial Museum) | Statutory process <ul style="list-style-type: none"> <li>• RFA respond to draft levy for MOTAT and AWMM (on behalf of council)</li> <li>• December F&amp;P - approve council submission to draft ARAFB Funding Plan</li> <li>• March F&amp;P – approve annual funding levies for ARAFB, MOTAT, AWMM</li> <li>• March F&amp;P (G Body) – approve ARAFB draft</li> <li>• May F&amp;P – approve annual IMSB funding.</li> <li>• May and June 2019 - nine amenities present to F&amp;P committee</li> </ul> | <b>Decision</b> to approve submission on draft Funding Plan<br><b>Decision</b> to approve levies | 19 Mar  | May<br>18 June  |   |                      |
| Parks, Sports and Recreation | Loan restructuring (committee dates as required)   | Responding to proposals and recommendations   | <b>Decision</b> to approve proposed restructuring  |   |   |   |                      |

## Detailed decisions - Komiti ā Pūtea, ā Mahi Hoki Finance and Performance Committee FORWARD WORK PROGRAMME TO 30 JUNE 2019

| Lead   | Area of work   | Finance and Performance Committee role - decision or direction  | Detailed decisions   |
|--|--|---|--|
| <b>Planning and Funding</b>  |  |   |  |
| GM Financial Strategy & Planning   | Annual Budget  | <b>Decision to agree to the Consultation items</b><br><b>Adopt</b> Consultation Document to consult with Public Consultation runs<br><b>Hear</b> feedback and deliberate budget scenarios<br><b>Decisions</b> made for Annual Budget<br><b>Adopt</b> final Annual Budget                          | For information on the previous long-term/annual plan processes, please refer to the table at the end of this document.  |
| GM Financial Strategy & Planning   | Budget Update (as required). This includes significant unbudgeted one-off expenditure. | <b>Decision</b> to agree recommended budget changes outside of AP/LTP budgeting cycle   | For information on previous decisions, please refer to the table at the end of this document.  |
| GM Financial Strategy & Planning   | Development contributions policy   | Note that the Governing Body has <b>agreed</b> to consult on the proposed DC policy (18 October 2018). The GB has <b>consulted</b> on draft Development Contribution policy (19 October 2018 – 15 November 2018). The GB will <b>adopt</b> the Development Contribution policy (13 December 2018) | Reporting dates:<br>31/5/18 – <a href="#">Contributions Policy FIN/2018/90</a><br>Adopted by the Governing Body: 31/5/18 <a href="#">GB/2018/91</a><br>10/9/18 – <a href="#">Workshop on Development Contributions</a>   |
| GM Financial Strategy & Planning   | Rating Policy and process  | <b>Workshop</b> on approach to rating religious properties (Potentially move into Annual Plan process)  | 23/10/18 – Workshop on the rating of religious use premises <a href="#">Minutes</a><br>20/11/18 – <a href="#">Rating of religious use properties FIN/2018/177</a><br>13/2/19 – <a href="#">Amendments to the Revenue and Financing Policy and Rates Remission and Postponement Policy FIN/2019/4</a> Adopted by Governing Body <a href="#">GB/2019/6</a>   |
| GM Financial Strategy & Planning   | Carry forwards for 2017/2018   | <b>Approving</b> budget carry forwards for 2017/2018  | 17/10/18 – <a href="#">2017/2018 Budget Carry Forwards</a>   |
| GM Financial Strategy & Planning   | Infrastructure funding and financing work with Treasury                                | <b>Discuss</b> ongoing work with central government on Crown Infrastructure Partners and Special Purpose Vehicles for major infrastructure projects – timing for committee as required  | 11/4/17 - <a href="#">Responsible investment policy and Diversified Financial Asset Portfolio review Resolutions</a> - FIN/2017/44, FIN/2017/45 and FIN/2017/46<br>20/06/17 - <a href="#">Review of the Diversified Financial Assets Portfolio FIN/2017/88</a><br>19/9/17 – <a href="#">Approval of Group Policies FIN/2017/121</a><br>11/12/17 – <a href="#">Approval of Treasury Management Policy FIN/2017/161</a><br>27/2/18 – <a href="#">Council's debt funding strategy FIN/2018/10</a> |
| GM Financial Strategy & Planning and Treasurer and GM Financial Transactions | Treasury and debt management   | <b>Briefing</b> on council debt   | 21/11/18 – <a href="#">Workshop on Debt Management Strategy</a>  |

| Lead   | Area of work   | Finance and Performance Committee role - decision or direction  | Detailed decisions  |
|--|--|---|---|
| Auckland Investment Office                     | Dividend reinvestment plan   | <b>Decision</b> to agree required to approve Dividend Reinvestment Plan   |   |
| GM Corporate Finance and Property              | Weathertightness issues and provision  | <b>Review</b> information   | 7/319 – Confidential workshop held  |
| Executive Director, Auckland Investment Office | Colin Dale Park  | <b>Endorse</b> the Heads of Agreement and <b>Approve</b> to development costs.  | 20/11/18 – <a href="#">Update on Speedway Relocation</a> <a href="#">FIN/2018/184</a> and <a href="#">FIN/2018/185</a>  |
| Chief Financial Officer                        | Eden Park  | Report on progress regarding the loan guarantee   | 13/2/19 – Confidential workshop held<br>19/3/19 – <a href="#">Eden Park Trust loan guarantee</a> <a href="#">FIN/2019/26</a> and <a href="#">27</a>   |
| Chief Financial Officer                        | City Rail Link Limited   | Update on financial matters relating to City Rail Link Limited  | 19/2/19 – Confidential briefing held  |
| Te Waka Anga Mua ki Uta                        | Māori Transformational Activity and Expenditure Report<br><br>Te Tiriti O Waitangi Audit Response Work Programme | <b>Receive</b> generally no decisions   | 23/5/17 - 23/5/17 – <a href="#">Third Quarter of 2016/17</a><br><a href="#">FIN/2017/63</a><br>13/12/17 - <a href="#">Te Toa Takitini - Quarter One Māori Responsiveness portfolio report</a><br><a href="#">FIN/2016/159</a><br>15/08/17 – <a href="#">2016/2017 Year-End Report</a> <a href="#">FIN/2017/112</a><br>12/12/17 – <a href="#">Quarter One Report for 2017/2018 financial year</a> <a href="#">FIN/2017/202</a><br>20/03/18 – <a href="#">Quarter Two Report for 2017/2018 financial year</a> <a href="#">FIN/2017/14</a><br>12/12/17 <a href="#">Report</a> <a href="#">FIN/2017/291</a><br>12/3/18 <a href="#">Response Report</a> <a href="#">FIN/2018/45</a><br>24/7/18 <a href="#">Te Tiriti o Waitangi Report 2018</a> <a href="#">FIN/2018/115</a><br>17/10/18 <a href="#">Update on the independent assessment of expenditure incurred by Auckland Council to deliver Māori outcomes</a><br>17/10/18 <a href="#">Auckland Council group – programmes and projects that have delivered Māori outcomes in 2017/2018</a><br>17/10/18 <a href="#">2018 Treaty Audit Response Programme</a><br>20/3/19 – <a href="#">Auckland Council Group and Auckland Council quarterly performance reports to 31 December 2018</a> <a href="#">FIN/2019/29</a> |
| <b>Reporting and Performance</b>               |  |   |   |
| GM Corporate Finance and Property              | Annual Report  | <b>Receive</b> Annual report<br><b>Recommend</b> to Governing Body for adoption<br>Note: <ul style="list-style-type: none"> <li>NZX announcements are presented to the Audit and Risk Committee</li> <li>There is a delegation from the Committee to Chair and Deputy Chair of Finance and Performance to recommend to the Mayor and CE to release the preliminary results to the NZ Stock Exchange so that Council can meet NZX reporting deadlines.</li> <li>Formal adoption of annual report is by the Governing Body</li> </ul> | 13/12/2016 - <a href="#">Delegation for approval of releasing interim and full year group results to New Zealand Stock Exchange</a> <a href="#">FIN/2016/168</a><br>18/9/18 – Approval of and recommendation for adoption of the 2017/2018 Annual Report for Auckland Council and Group (confidential)  |

| Lead                              | Area of work         | Finance and Performance Committee role - decision or direction  | Detailed decisions   |
|-----------------------------------|----------------------|---|--|
| GM Corporate Finance and Property | Half-yearly report   | <p><b>Receive</b> Half-yearly report<br/> <b>Approve</b> for release</p> <p>Note:</p> <ul style="list-style-type: none"> <li>• NZX announcements are presented to the Audit and Risk Committee</li> <li>• There is a delegation from the Committee to Chair and Deputy Chair of Finance and Performance to recommend to the Mayor and CE to release the preliminary results to the NZ Stock Exchange so that Council can meet NZX reporting deadlines.</li> </ul> | <p>20/3/19 – <a href="#">Auckland Council Group and Auckland Council quarterly performance reports to 31 December 2018</a> FIN/2019/29</p>   |
| CCO/External Partnerships         | Statements of Intent | <p><b>Agree</b> 2019/2010 Letters of Expectation</p>  | <p>15/8/17 – <a href="#">Approval of 2017/2020 Statements of Intent</a> FIN/2017/111<br/> 19/9/17 – <a href="#">Approval of Watercare Services Limited Statement of Intent 2017-20</a> FIN/2017/122<br/> 12/12/17 - <a href="#">Letters of Expectation for Council-controlled Organisations, 2017-2018</a> FIN/2016/170<br/> 20/3/18 – <a href="#">Letters of Expectation for 2018-2021</a><br/> 17/4/18 – <a href="#">proposed shareholder comments on Draft Council-controlled organisation statements of intent</a> FIN/2018/64<br/> 21/8/18 – <a href="#">Council-controlled Organisations – Approval of 2018-2021 Statements of Intent</a> FIN/2018/118<br/> 21/8/18 – <a href="#">Ports of Auckland Limited – proposed shareholder feedback on the draft Statement of Corporate Intent</a> FIN/2018/119<br/> 23/10/18 – <a href="#">Shareholder approval of Ports of Auckland Limited’s final statement of Corporate Intent 2018-2021</a> FIN/2018/156<br/> 23/10/18 – <a href="#">Workshop on Council Controlled Organisations letters of expectation, statements of intent and quarterly reporting.</a><br/> 22/11/18 - 22/11/18 – <a href="#">Proposed priorities for the 2019 letters of expectation to substantive council-controlled organisations</a> FIN/2018/173<br/> 19/2/19 – <a href="#">Terms of Reference for Statement of Intent Review</a> FIN/2019/9<br/> 19/2/19 – Letters of expectation – <a href="#">Auckland Transport</a>; <a href="#">Auckland Tourism, Events and Economic Development Limited</a>; <a href="#">Panuku Developments Limited</a>; <a href="#">Regional Facilities Auckland</a>; <a href="#">Watercare Services Limited</a> FIN/2019/11</p> |



| Lead  | Area of work                             | Finance and Performance Committee role - decision or direction | Detailed decisions   |
|---|--|--|--|
| Manager Corporate & Local Board Performance | Performance Reporting quarterly - parent | <b>Receive</b> generally no decisions                          | <p>13/12/16 - <a href="#">Auckland Council organisation report for the period 1 July 2016 to 30 September 2016</a> FIN/2016/160</p> <p>21/2/17 - <a href="#">Auckland Council organisation performance report for the period 1 July 2016 to 31 December 2016</a> FIN/2017/9</p> <p>26/5/17 - <a href="#">Auckland Council organisation performance report for the period 1 July 2016 to 31 March 2017</a> FIN/2017/68</p> <p>21/09/17 - <a href="#">Organisation Performance 1 July 2016 – 30 June 2017</a> FIN/2017/133</p> <p>24/11/17 - <a href="#">1 July 2017 – 30 September 2017</a> FIN/2017/177</p> <p>12/03/18 - <a href="#">1 July 2017 – 31 December 2017</a> FIN/2018/34</p> <p>6/6/18 – <a href="#">Auckland Council parent performance report for the period 1 January 2018 to 31 March 2018</a> FIN/2018/98</p> <p>17/10/18 – <a href="#">Auckland Council parent performance report for the period 1 July 2017 to 30 June 2018</a></p> <p>14/11/18 – <a href="#">Auckland Council Group, the Council entity and CCO quarterly performance reports to 30 September 2018</a> FIN/2018/169</p> <p>20/3/19 – <a href="#">Auckland Council Group and Auckland Council quarterly performance reports to 31 December 2018</a> FIN/2019/29</p>   |
| GM Financial Strategy & Planning            | Performance Reporting quarterly – CCOs   | <b>Receive</b> validate/challenge                              | <p>13/12/16 - <a href="#">Council-controlled organisations first quarter report for 30 September 2016</a> FIN/2016/158</p> <p>11/4/17 – <a href="#">CCOs second quarter report for 31 December 2017</a></p> <p>26/5/17 – <a href="#">Council-controlled organisations third quarter report for 31 March 2017</a> FIN/2017/69</p> <p>21/09/17 - <a href="#">Fourth quarter report for 30 June 2017 (public excluded)</a> FIN/2017/130</p> <p>24/11/17 - <a href="#">First quarter report for 30 September 2017</a> FIN/2017/178</p> <p>12/03/18 – <a href="#">Second quarter report ending 31 December 2017</a> FIN/2018/18</p> <p>31/5/18 – <a href="#">Approval of council-controlled organisations accountability policy</a> FIN/2018/91</p> <p>6/6/18 – <a href="#">Council-controlled organisation third quarter report ending 31 March 2018</a> FIN/2018/96 and FIN/2018/97</p> <p>17/10/18 – <a href="#">Council-controlled organisation fourth quarter report ending 30 June 2018</a></p> <p>23/10/18 – <a href="#">Workshop on Council Controlled Organisations letters of expectation, statements of intent and quarterly reporting.</a></p> <p>4/11/18 – <a href="#">Auckland Council Group, the Council entity and CCO quarterly performance reports to 30 September 2018</a> FIN/2018/169</p> <p>20/3/19 – <a href="#">Auckland Council Group and Auckland Council quarterly performance reports to 31 December 2018</a> FIN/2019/29</p> |

| Lead                              | Area of work   | Finance and Performance Committee role - decision or direction         | Detailed decisions  |
|-----------------------------------|--|--|---|
| GM Financial Strategy & Planning  | Performance Reporting quarterly - group  | <b>Receive</b> generally no decisions                                  | <p>13/12/16 - <a href="#">Auckland Council Group first quarter financial results to 30 September 2016</a> <a href="#">FIN/2016/161</a></p> <p>21/3/17 - <a href="#">Auckland Council Group quarterly financial report and financial results to 31 December 2016</a> <a href="#">FIN/2017/28</a></p> <p>26/5/17 - <a href="#">Auckland Council Group quarterly financial report and financial results to 31 March 2017</a> <a href="#">FIN/2017/70</a></p> <p>21/09/17 – <a href="#">Report for adoption of the 2016/2017 Annual Report</a> <a href="#">FIN/2017/132</a></p> <p>24/11/17 - <a href="#">Quarterly financial report and financial results to 30 September 2017</a> <a href="#">FIN/2017/176</a></p> <p>12/03/2018 - <a href="#">Six monthly financial results to 31 December 2017</a> <a href="#">FIN/2018/32</a></p> <p>6/6/18 – <a href="#">Auckland Council Group quarterly financial report and financial reports to 31 March 2018</a> <a href="#">FIN/2018/95</a></p> <p>17/10/18 – <a href="#">Auckland Council and group financial performance for the year ended 30 June 2018</a></p> <p>20/3/19 – <a href="#">Auckland Council Group and Auckland Council quarterly performance reports to 31 December 2018</a> <a href="#">FIN/2019/29</a></p> |
| <b>Value for Money</b>            |  |  |   |
| GM Financial Strategy & Planning  | Consideration and adoption of Group policy relating to Business Cases                        | <b>Decision</b> on whether to adopt a new policy                       | 19/9/17 – <a href="#">Approval of Group Policies</a> <a href="#">FIN/2017/121</a>   |
| <b>Operational</b>                |  |  |   |
| Panuku Development Auckland       | Recommended disposals or acquisitions. These reports are as required, but generally monthly. | <b>Decision</b> to proceed with recommended disposals or acquisitions. | <b>Note: A full list of properties to be disposed or acquired is included at the end of this document.</b>  |
| GM Corporate Finance and Property | Property portfolio   | <b>Regular</b> reporting   | <p>29/3/18 – Workshop on corporate property strategy</p> <p>17/4/18 – <a href="#">Corporate Accommodation Disposal Recommendation, Corporate Property Portfolio Strategy</a> <a href="#">FIN/2018/58</a></p> <p>15/5/18 – <a href="#">Corporate Accommodation Disposal Recommendation, Corporate Property Portfolio Strategy</a> <a href="#">FIN/2018/73</a></p> <p>20/11/18 – <a href="#">Disposal of Corporate Accommodation, Corporate Property Portfolio Strategy</a> <a href="#">FIN/2018/188</a></p> <p>7/3/19 – <a href="#">Corporate Property Portfolio Strategy Quarterly Update</a></p>   |

| Lead   | Area of work   | Finance and Performance Committee role - decision or direction                                   | Detailed decisions  |
|--|--|--|---|
| Engineering & Technical Services / Treasury & Financial Transactions / Procurement | Consideration and adoption of Group policies for Performance Bonds           | <b>Decide</b> whether to amend current policy  | 12/3/18 – <a href="#">Green Bond Framework Establishment and Potential Green Bond Issuance FIN/2018/31\</a><br>19/3/19 – <a href="#">Auckland Council Green Bond Activities and Green Bond Pledge FIN/2019/17</a>   |
| CCO/External Partnerships  | Auckland Regional Amenities Levy MOTAT and Auckland War Memorial Museum Levy | <b>Decision</b> to approve submission on draft Funding Plan<br><b>Decision</b> to approve levies | 21/2/17 – <a href="#">Presentations</a> from amenities - New Zealand Opera, Auckland Theatre Company, Coastguard Northern Region, Watersafe Auckland and Surf Life Saving Northern Region <a href="#">FIN/2017/4</a><br>21/2/17 - <a href="#">Auckland Regional Amenities draft funding plan 2017-2018, proposed Auckland Council submission FIN/2017/5</a><br>21/3/17 – <a href="#">Presentations</a> from amenities – Stardome Observatory and Planetarium; New Zealand Maritime Museum; Auckland Philharmonia Orchestra; Auckland Rescue Helicopter Trust; and Auckland Arts Festival <a href="#">FIN/2017/18</a><br>21/3/17 - <a href="#">Approval of Auckland Regional Amenities Funding Act levy 2017/2018 FIN/2017/19</a><br>27/2/18 - <a href="#">Presentations</a> from amenities – Auckland Festival Trust; Surf Life Saving Northern Region; Auckland Theatre Company; and Stardome Observatory and Coastguard Northern Region <a href="#">FIN/2018/5</a><br>27/2/18 - <a href="#">Auckland Regional Amenities Draft Funding Plan 2018/2019 - proposed Auckland Council submission FIN/2018/6</a><br>20/3/18 - <a href="#">Integration of NZ Maritime Museum to Regional Facilities Auckland FIN/2018/37</a><br>20/3/18 - <a href="#">Presentations</a> from amenities – NZ Opera: Auckland Philharmonia Orchestra; Auckland Rescue Helicopter; and Drowning Prevention Auckland/Watersafe Auckland Inc <a href="#">FIN/2018/38</a><br>17/4/18 – <a href="#">approval of Auckland Regional Amenities Funding Act levy FIN/2018/63</a><br>21/3/17 – AWMM – <a href="#">approval of 2017/2018 levy FIN/2017/20</a><br>21/3/17 – MOTAT – <a href="#">approval of 2017/2018 levy FIN/2017/21</a><br>23/5/18 – AWMM letter – <a href="#">approval of 2017/2018 levy</a><br>17/4/18 – MOTAT – <a href="#">approval of 2018/2019 levy FIN/2018/61</a><br>17/4/18 - AWMM – <a href="#">approval of 2018/2019 FIN/2018/62</a><br>11/12/18 - <a href="#">Auckland Regional Amenities Funding Board: Delegate approval of Auckland Council's submission to the 2019/2020 Levy FIN/2018/179</a><br>19/2/19 – <a href="#">Submission on ARAFA funding proposal FIN/2019/11</a><br>19/3/19 – <a href="#">Approval of Auckland Regional Amenities Funding Act levy 2019-2020 FIN/2019/18</a><br>19/3/19 – <a href="#">Approval of the Museum of Transport and Technology levy 2019/2020 FIN/2019/19</a><br>19/3/19 – <a href="#">Approval of Auckland War Memorial Museum levy, 2019-2020 FIN/2019/20</a> |
| Parks, Sports and Recreation   | Loan restructuring (committee dates as required)                             | <b>Decision</b> to approve proposed restructuring  |   |

### Previous annual/long-term plan processes

| Meeting Date | Subject   |
|--------------|---|
| 13/12/16     | <a href="#">Annual Budget 2017/18 – Mayoral Proposal on items for Public Consultation</a> <a href="#">FIN/2016/173</a>  |
| 1/6/17       | <a href="#">Annual Budget 2017/2018 - Overview to decision-making</a> <a href="#">FIN/2017/73</a><br><a href="#">Annual Budget 2017/2018 - Local Board budget update</a> <a href="#">FIN/2017/74</a><br><a href="#">Annual Budget 2017/2018 – Local Board Feedback</a> <a href="#">FIN/2017/75</a><br><a href="#">Final Annual Budget 2017/2018 – Mayoral Proposal</a> <a href="#">resolutions</a> - <a href="#">FIN/2017/76</a> , <a href="#">FIN/2017/81</a> , <a href="#">FIN/2017/83</a> , and <a href="#">FIN/2017/85</a>  |
| 31/5/18      | <b>10-year Budget</b><br>31/5/18 – <a href="#">10-year Budget – 2018-2018 Mayor's final proposal</a> <a href="#">FIN/208/77-84</a><br>31/5/18 – <a href="#">Proposal for One Local Initiatives</a> <a href="#">FIN/2018/85</a><br><a href="#">Rodney Local Board Targeted Rate: Rodney Local Board Decision</a> <a href="#">FIN/2018/87</a><br>31/5/18 – <a href="#">Fees, charges and other rating matters</a> <a href="#">FIN/2018/89</a><br>31/5/18 – <a href="#">Adoption of the Rates Remission and Postponement Policy</a> <a href="#">FIN/2018/92</a><br>31/5/18 – <a href="#">Contributions Policy</a> <a href="#">FIN/2018/90</a><br>Adopted by the Governing Body: 31/5/18 <a href="#">GB/2018/91</a><br>Regional Fuel Tax <a href="#">GB/2018/90</a>                                   |
| 13/2/19      | <b>Annual Budget 2019/2010</b><br>13/2/19 – <a href="#">Transfer of legal ownership of properties within the council group</a> <a href="#">FIN/2019/2</a> Adopted by Governing Body <a href="#">GB/2019/1</a><br>13/2/19 – <a href="#">Adoption of Consultation Material: Annual Budget 2019/2020</a> <a href="#">FIN/2019/3</a> Adopted by Governing Body <a href="#">GB/2019/2-5</a><br>13/2/19 – <a href="#">Amendments to the Revenue and Financing Policy and Rates Remission and Postponement Policy</a> <a href="#">FIN/2019/4</a><br>Adopted by Governing Body <a href="#">GB/2019/6</a><br>13/2/19 – <a href="#">Annual Budget 2019/2020 and Water Strategy Discussion Document – Engagement Approach</a> <a href="#">FIN/2019/5</a> Adopted by Governing Body <a href="#">GB/2019/6</a> |

### Panuku disposals/service property optimisation/land exchanges and acquisitions resolutions:

| Meeting Date | Property Address   | Resolution  |
|--------------|--|---|
| 13/12/16     | <a href="#">Report</a> Units 1-28/150 Mt Wellington Highway, Mt Wellington; and 1/16 Sarona Avenue, Glen Eden  | <a href="#">FIN/2016/157</a>  |
| 21/2/17      | <a href="#">Report</a> 523a Ellerslie-Panmure Highway, Mt Wellington; and 525-529 Ellerslie-Panmure Highway, Mt Wellington   | <a href="#">FIN/2017/3</a>  |
| 21/2/17      | <a href="#">Report</a> Land up to 9ha to NZTA for the Northern Corridor Improvements Project   | <a href="#">FIN/2017/7</a>  |
| 21/2/17      | <a href="#">Report</a> Statutory land exchange process – Rosedale Park   | <a href="#">FIN/2017/8</a>  |
| 21/3/17      | <a href="#">Report</a> Part of 770R Great South Road, Manukau  | <a href="#">FIN/2017/25</a>   |
| 11/4/17      | <a href="#">Report</a> 19 Anzac Road, Browns Bay (deferred); 6 Butler Avenue, Papatoetoe; part of 129R Bairds Road, Otara; 315A Glengarry Road, Glen Eden; Section 1 East Coast Road, Redvale; 78a Great South Road, Papakura; Section 1 493 State Highway 16, Kumeu; Allotment 137 Ahuroa Parish, Woodcocks Road, Woodcocks; Allotment 138 Ahuroa Parish, Woodcocks Road, Woodcocks; Allotment 139 Ahuroa Parish, Woodcocks Road, Woodcocks; Allotment 140 Ahuroa Parish, Woodcocks Road, Woodcocks; Allotment 141 Ahuroa Parish, Woodcocks Road, Woodcocks; and Allotment 147 Ahuroa Parish, Woodcocks Road, Woodcocks | <a href="#">Resolutions</a> - <a href="#">FIN/2017/49</a> , <a href="#">FIN/2017/50</a> |
| 23/5/17      | <a href="#">Report</a> 3 Memorial Drive, New Lynn  | <a href="#">FIN/2017/57</a>   |

| Meeting Date | Property Address   | Resolution  |
|--------------|--|---|
| 26/7/17      | <a href="#">Report</a> 55a Alnwick Street, Warkworth; 45 Oraha Road, Huapai; 32 Harbourview Road, Te Atatu' 145a West Tamaki Road, Glen Innes; 343 Swanson Road, Ranui; 24 Waipuna Road, Mt Wellington; 26 Waipuna Road, Mt Wellington; 27b Waipuna Road, Mt Wellington; 1/77 Waipuna Road, Mt Wellington; 93 Waipuna Road, Mt Wellington; 134a Waipuna Road, Mt Wellington; and 3/136b Waipuna Road, Mt Wellington  | <a href="#">FIN/2017/97</a>                               |
| 17/4/18      | <a href="#">Report</a> – Unlock Panmure - 59 Mountain Road, Mount Wellington; 59a Mountain Road, Mount Wellington; 3 Mountwell Crescent, Mount Wellington; 7 Mountwell Crescent, Mount Wellington; 3 Kings Road, Panmure; 15 Forge Way, Mount Wellington; 7 and 9 Jellicoe Road, Mount Wellington; 30-34 Potaka Lane, Panmure; 486-492 Ellerslie-Panmure Highway, Mt Wellington; 516 Ellerslie-Panmure Highway, Mount Wellington; Former 528 Ellerslie-Panmure Highway, Mount Wellington; 530 Ellerslie-Panmure Highway, Mount Wellington; 532-534 Ellerslie-Panmure Highway, Mount Wellington; 535 Ellerslie-Panmure Highway, Mount Wellington; 536 Ellerslie-Panmure Highway, Mount Wellington; 7-11 Queens Road, Panmure; 39-41 Queens Road, Panmure; 11-13 Lagoon Drive, Panmure; 16 Lagoon Drive, Panmure; 20 Lagoon Drive, Panmure; 22 Lagoon Drive, Panmure; 26 Lagoon Drive, Panmure; 28 Lagoon Drive, Panmure; 30 Lagoon Drive, Panmure; 32-34 Lagoon Drive, Panmure; 1-19/10 Basin View Lane, Panmure; 23 Domain Road, Panmure; and 28-30 Pilkington Road, Mount Wellington. | <a href="#">FIN/2018/59</a>                               |
| 15/8/17      | <a href="#">Report</a> 187 Flat Bush School Road, Flat Bush;   | <a href="#">FIN/2017/108</a>                              |
|              | <a href="#">Report</a> Unlock Old Papatoetoe - 17 St George Street, Papatoetoe; part 27 St George Street, Papatoetoe; 104 St George Street, Papatoetoe; and 109 St George Street, Papatoetoe   | <a href="#">FIN/2017/109</a>                              |
|              | <a href="#">Report</a> 31-35 Mill Road, Helensville  | <a href="#">FIN/2017/110</a>                              |
| 24/10/17     | <a href="#">Report</a> 19 Anzac Avenue, Browns Bay; 10 Felton Matthew Avenue, St Johns; and part Bombay Road, Bombay   | <a href="#">Resolutions</a><br>FIN/2017/144, FIN/2017/145 |
| 21/11/17     | Report <a href="#">Unlock Avondale</a> Unlock Avondale – 93-99 Rosebank Road, Avondale   | <a href="#">FIN/2017/168</a>                              |
| 12/12/17     | <a href="#">Report</a> 80 Vincent Street, Howick (motion lost); 41 Cheshire Street, Parnell; 108 Hepburn Street, Freemans Bay; 9 Matama Street, Glen Eden; and 58/7 Rowlands Road, Mt Wellington   | <a href="#">FIN/2017/204</a>                              |
|              | <a href="#">Report</a> Land exchange at Hillary Crescent, Belmont and Northboro Reserve – Recommendation from the Environment and Community Committee  | <a href="#">FIN/2017/207</a>                              |
| 27/2/18      | <a href="#">Report</a> 61-117 Clark Road, Hobsonville; and   | <a href="#">FIN/2018/8</a>                                |
|              | <a href="#">Report</a> 37 New Windsor Road, Avondale (SPO)   | <a href="#">FIN/2018/11</a>                               |
| 20/3/18      | <a href="#">Report</a> 3.8ha of reserve land in Upper Harbour Local Board for New Zealand Transport Agency Northern Corridor Improvements  | <a href="#">FIN/2018/18</a>                               |
| 17/4/18      | <a href="#">Report</a> – 156 Blockhouse Bay, Avondale; 2a Stokes Road, Mt Eden; 570 Great South Road, Papatoetoe; 139 Kolmar Road, Papatoetoe; and 66R Hallberry Road, Mangere East  | <a href="#">FIN/2018/60</a>                               |
| 24/7/18      | <a href="#">Report</a> – 132 Green Lane East, Greenlane; 28 Lockwood Road, Papakura; Adjacent to 1/18 Edwin Freeman Place Ranui; and Adjacent 18 Parrs Cross Road, Henderson.  | <a href="#">FIN/2018/113</a>                              |
| 18/9/18      | <a href="#">Report</a> – 30R Birmingham Road, Otara; and 8 Hiwi Crescent, Stanmore Bay   | <a href="#">FIN/2018/136</a>                              |
| 20/11/18     | <a href="#">Report</a> – 34 Moore Street, Howick   | <a href="#">FIN/2018/187</a>                              |
| 11/12/18     | <a href="#">Report</a> – 26-32 O'Shannessey Street, Papakura; 36 Coles Crescent, Papakura; 22 and 28A Waipuna Road, Mt Wellington; and 5Z Butler Avenue, Papatoetoe  | <a href="#">FIN/2018/178</a>                              |

#### Budget Update:

| Date     | Property address(es)  | Resolution                   |
|----------|---|------------------------------|
| 13/12/16 | <a href="#">Report</a> Additional OPEX budget of up to \$104,000 to conduct a by-election for a Howick Local Board Member; and release of \$2.7m from existing budget for Putney Way streetscape upgrade, ahead of the Transform Manukau business case  | <a href="#">FIN/2016/164</a> |
| 21/3/17  | <a href="#">Report</a> Additional CAPEX budget of \$960,000 to complete Freyberg Place upgrade; new OPEX budget of \$80,000 for Karangahape Road destination marketing; contribution of \$300 to the city feature lighting project (led by Heart of the City) – both funded from the City Centre Targeted Rate reserve. | <a href="#">FIN/2017/29</a>  |
| 20/6/17  | <a href="#">Report</a> Conversion of \$3.1m CAPEX budget for multi-purpose community facility in Takanini   | <a href="#">FIN/2017/90</a>  |

| Date      | Property address(es)  | Resolution   |
|-----------|---|--|
| 26/7/17   | <a href="#">Report</a> Purchase of additional trains (rescinded 24/10/17 FIN/2017/189)  | <a href="#">FIN/2017/98</a>                            |
| 19/9/2017 | <a href="#">Report</a> Release and allocate Takapuna off-street car park reserve fund \$4,269,611 to the Gasometer public car park project; release \$6.1m from existing Transform Manukau \$2.6 CAPEX and \$2m OPEX and Transform Onehunga \$1.5 OPEX.   | <a href="#">FIN/2017/123</a>                           |
| 24/10/17  | <a href="#">Report</a> Approve procurement of 15 3-car electric multiple units of \$133m; release of Franklin Parking Reserve Fund (\$128,214) for upgrade of carpark at Kitchener Road, Waiuku; OPEX of \$828,000 for two by-elections; OPEX budget of \$115,400 for by-election for Waitemata Local Board.  | <a href="#">FIN/2017/141</a>                           |
| 12/12/17  | <a href="#">Report</a> Update on the purchase of additional trains for Metro Rail   | <a href="#">FIN/2017/205</a>                           |
| 27/2/18   | <a href="#">Report</a> Up to \$3.2m CAPEX for fit-out for ATEED office and up to \$0.8m OPEX for make good of current head office; \$3.85m CAPEX for Rawene remedial works; updated city centre targeted rate-funded work programme; and property acquisitions at 155-167 Fanshawe Street and 100 Halsey Street, Auckland   | <a href="#">Resolutions</a> - FIN/2018/17, FIN/2018/18 |
| 17/4/18   | <a href="#">Report</a> Up to \$740,000 of additional expenditure (\$655,000 OPEX and \$85,000 CAPEX) to manage kauri dieback; two additional FTE employees and out-sourced contractors to manage the closures and noting additional expenditure to be prioritised for kauri dieback work such as track improvements, upgrades, landowner support, hygiene station upgrades and washdown facilities. | <a href="#">FIN/2018/65</a>                            |
| 11/12/18  | Confidential Budget Update report   |  |