I hereby give notice that an ordinary meeting of the Emergency Committee will be held on:

**Date:**    Thursday, 23 April 2020  
**Time:**    10.00am  
**Meeting Room:** These meetings will be held remotely and can be viewed on the Auckland Council website  
**Venue:** [https://councillive.aucklandcouncil.govt.nz/](https://councillive.aucklandcouncil.govt.nz/)

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**Te Kāhui Ngārahu / Emergency Committee**

**OPEN ADDENDUM AGENDA**

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**MEMBERSHIP**

<table>
<thead>
<tr>
<th>Position</th>
<th>Names</th>
</tr>
</thead>
<tbody>
<tr>
<td>Mayor</td>
<td>Hon Phil Goff, CNZM, JP</td>
</tr>
<tr>
<td>Deputy Mayor</td>
<td>Deputy Mayor Cr Bill Cashmore</td>
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<tr>
<td>Councillors</td>
<td></td>
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<tr>
<td>Cr Josephine Bartley</td>
<td>Cr Tracy Mulholland</td>
</tr>
<tr>
<td>Cr Dr Cathy Casey</td>
<td>Cr Daniel Newman, JP</td>
</tr>
<tr>
<td>Cr Fa’anan Efeso Collins</td>
<td>Cr Greg Sayers</td>
</tr>
<tr>
<td>Cr Pippa Coom</td>
<td>Cr Desley Simpson, JP</td>
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<tr>
<td>Cr Linda Cooper, JP</td>
<td>Cr Sharon Stewart, QSM</td>
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<tr>
<td>Cr Angela Dalton</td>
<td>IMSB Chair David Taipari</td>
</tr>
<tr>
<td>Cr Chris Darby</td>
<td>Cr Wayne Walker</td>
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<tr>
<td>Cr Alf Filipaina</td>
<td>Cr John Watson</td>
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<tr>
<td>Cr Christine Fletcher, QSO</td>
<td>Cr Paul Young</td>
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<tr>
<td>Cr Shane Henderson</td>
<td>IMSB Member TBC</td>
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<tr>
<td>Cr Richard Hills</td>
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(Quorum 2 members)

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Sarndra O’Toole  
Kaiarataki Kapa Tohutohu Mana Whakahaere / Team Leader Governance Advisors

22 April 2020

Contact Telephone: +64 9 890 8152  
Email: sarndra.otoole@aucklandcouncil.govt.nz  
Website: www.aucklandcouncil.govt.nz

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**Note:** The reports contained within this agenda are for consideration and should not be construed as Council policy unless and until adopted. Should Members require further information relating to any reports, please contact the relevant manager, Chairperson or Deputy Chairperson.
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Community Loan Guarantees and Loans

File No.: CP2020/04348

Te take mō te pūrongo
Purpose of the report

1. To obtain delegated approval for the Group Chief Financial Officer and Group Treasurer to approve alternative arrangements for community groups needing to renegotiate loan and guarantee arrangements because of COVID-19 related issues.

Whakarāpopototanga matua
Executive summary

This report would usually be considered by the Finance and Performance Committee; however, in light of COVID-19 Alert Level 4, it must now be considered by the Emergency Committee.

2. Auckland Council provides loan guarantees to the ASB Bank for five community groups ranging in value from $150,000 to $2,500,000.

3. Several of the groups have signalled the need to negotiate with the bank new repayment arrangements (e.g. interest and/or principal repayment holidays, extending term for repayment), all of which potentially impact on council’s liability.

4. Any new arrangements between the bank and borrower require approval from council as guarantor.

5. As each group is at different stages in the negotiation process [with the bank], it is necessary for council to be timely and responsive for requests to approve loan term variations as they are received.

6. The difference between the current balance of all guaranteed loans and the approved maximum guarantee amount provides a degree of headroom for the loans to accommodate the capitalisation of interest should the need arise.

7. Several of the larger council community loans were due to (re)commence repayments imminently. These groups have also signalled the need to delay the commencement of loan repayments, primarily due to a dramatic reduction / loss of revenue for the foreseeable future.

8. Delegations for community loans and loan guarantees is held by the Finance and Performance Committee only. During the current national State of Emergency, it is impractical for the governing body of council to consider requests to approve variations to loan guarantee arrangements for small community organisations.

9. Recommending that authority to approve temporary loan repayment arrangements, including loan guarantee arrangements with ASB Bank during the COVID-19 Alert Level 4 to Alert Levels 1 phases, be delegated to the Acting Group Chief Financial Officer, who shall consult with the Group Treasurer prior to authorising new arrangements.
**Ngā tūtohunga**

**Recommendation/s**

That the Emergency Committee:

a) delegate, for all existing community loans and community loan guarantees (except those relating to The Eden Park Trust), authority to approve temporary loan repayment arrangements for council loans, and loan guarantee arrangements with ASB Bank, during the COVID-19 Alert Level 4 to Alert Levels 1 phases, to the Acting Group Chief Financial Officer, who shall consult with the Group Treasurer prior to authorising new arrangements.

b) request staff to report back to the Finance and Performance Committee on the status of all loan and loan guarantee arrangements, in particular details of the delegations exercised, at the conclusion of COVID-19 Alert Level 1.

**Horopaki**

**Context**

**Guarantees with ASB Bank**

10. Council provides loan guarantees to the ASB Bank in respect of five community groups ranging in values from $150,000 to $2.5M.

11. All guarantees are governed by contracts between the bank and council (the guarantee) and in most other instances a guarantee side deed between council and the community group (depends on the age of the guarantee).

12. Variations to banking arrangements between the bank and community groups (except interest rate changes) require approval by council as guarantor.

13. The current national State of Emergency and its short to medium impacts on revenue streams for community groups, means that the groups are approaching the bank seeking assistance to help with both interest and/or principal repayment terms and repayment holidays.

14. It is necessary for council to consider (and approve) any requests received from the community groups and bank in a timely manner. Due to the urgency of matters (sudden reduction of income) the bank and community groups are seeking to implement new arrangements as soon as possible.

15. Under normal circumstances, staff would assess the application(s) and obtain approval of the Finance and Performance Committee to the revised terms and conditions and document accordingly.

**Council Community Loans**

16. Material changes to the terms and conditions of council loans to community groups require the prior approval of the Finance and Performance Committee.

17. Similar to the rationale outlined above regarding loan guarantees, community groups are seeking relief on repaying council loans for similar reasons.

18. As all council loans are at zero percent interest, groups are seeking approval to suspend / defer / reduce repayments until a degree of certainty and normalcy returns.

19. Alternative arrangements need to be implemented to ensure a timely response to requests to alter existing loan repayment arrangements.
Tātaritanga me ngā tohutohu
Analysis and advice

Guarantees with ASB Bank

20. There are five community loan guarantees and ten council community loans. All loan guarantees are with ASB Bank Ltd.

21. The maximum liability of the loan guarantees is $3.681M. The balance currently (7 April 2020) owing by the organisations to the bank is $2.851M.

22. Any material variations to the terms and conditions of the lending require the prior written approval of council, as guarantor.

23. Due to the immediate and dramatic impact of the COVID-19 crisis, all borrowers are, or will be, discussing new arrangements and relief packages with the bank to assist the organisations in the immediate to short-term.

24. These arrangements include (but not limited to):
   - Reductions in interest rates
   - Principal repayment holidays or reductions
   - Capitalisation of interest
   - Extending the term for the debt to be repaid

25. When originally considering the requests for loan guarantees, council set limits on the maximum value of each guarantee. In all instances, principal repayments have now reduced the balance of the loans owing to the bank. The difference between the current balance and the approved maximum provides a degree of headroom for the loans to accommodate the capitalisation of interest should the need arise.

26. All guaranteed organisations have been contacted and requested to ensure they have explored all avenues to ensure the on-going operation of their business, e.g.:
   - Apply for the government wages subsidies
   - Reduce unnecessary costs
   - Seek any other government or bank assistance possible for community groups.

27. Implementing temporary repayment arrangements is a practical means of assisting the groups.

Council Community Loans

28. The ten community loans (excluding Eden Park Trust) have a combined outstanding balance of $1.3M as at 7 April 2020.

29. The dramatic reduction in revenue for these organisations may trigger requests for loan repayment holidays. To ensure the survival of the organisations in the first instance, a relaxed approach to loan repayments needs to be taken.

30. As banks generally have been requested by central government to extend latitude to borrowers, a similar approach needs to be taken by council as a first step towards assisting the organisations.

Tauākī whakaaweawe āhuarangi
Climate impact statement

31. There are no known climate impacts resulting from amending community loan and loan guarantee arrangements.
Ngā whakaaweawe me ngā tirohanga a te rōpū Kaunihera

Council group impacts and views

32. Parks, Sport and Recreation, together with Treasury and Finance are working collaboratively to ensure suitable solutions can be arrived at that are fair and reasonable to all parties involved in these transactions.

33. It will not be possible to guarantee the on-going operation and financial viability of any community group and steps will be taken to reduce the harm to the group(s) and council.

Ngā whakaaweawe ā-rohe me ngā tirohanga a te poari ā-rohe

Local impacts and local board views

34. Local Boards have not been consulted on this matter due to time constraints and the nature of the request.

Tauākī whakaaweawe Māori

Māori impact statement

35. There are no known impacts on Māori resulting from amending community loan and loan guarantee arrangements.

Ngā ritenga ā-pūtea

Financial implications

Guarantees with ASB Bank

36. There are no immediate financial implications for council if new arrangements can be implemented that assist the community group to ride-out the short-term drop/loss of revenue, and then slowly resume business once alert levels start to drop.

37. If alert levels remain in place for longer than anticipated and/ or these restrict the number of people gathering, further reductions in revenue will delay the date that groups can commence making repayments.

38. Extended periods of time capitalising interest will increase council’s contingent liability under the guarantees.

39. Even a short-medium term drop in revenue will impact the organisations in other aspects of their operations – personnel, fixed costs. This will force all the groups to reassess their business operating models for the foreseeable future.

40. Should one or more of the groups fail financially, or default on their obligations to the bank, council will be obliged to fulfil its obligations under the terms of guarantees, including making payments directly to the bank as demanded.

41. If council makes payment to the bank under the terms of a guarantee, it will be subrogated into the position of the bank, assuming the role of lender.

42. In all but one instance, council is already the landlord, and holds the lease with the community group. For the remaining organisation, council already holds a second mortgage over the property, and if required to repay the loan to the bank, would assume the position of first mortgage holder.

Council Community Loans

43. The community groups with loans from council will all experience the same issues as outlined above for those with guarantees.

44. The most immediate issue for council regards community loans is the loss of a relatively small revenue stream from loan principal repayments.
45. All the community groups with loans operate under leases from council. This is the only security council holds in respect of community loans (including most that may revert from loan guarantees to loans).

**Ngā raru tūpono me ngā whakamaurutanga**

**Risks and mitigations**

**Guarantees with ASB Bank**

46. Given the current and foreseeable state of business operations, there is a real possibility that any arrangements entered into between all the parties to mitigate the financial pressures on the community groups may not be the last.

47. If the community groups are unable to recover fast enough and revert to similar revenue levels as previously, further discussions are likely between the parties.

48. On-going and regular reporting from the community groups regards their business operations will be a requirement of any new arrangements implemented to ensure council is able to monitor its potential exposure and to highlight pending issues.

49. A catastrophic failure of an organisation will trigger the bank calling upon council to make good under the guarantee.

**Council Community Loans**

50. Should a group default on the loan repayments, council has a large degree of latitude regards repayment terms, enabling repayments to be suspended and/or the term extended.

51. There remains the risk that any of the community groups with council loans and or guarantees could fail for reasons unrelated to the loans. It is not possible for council to plan for these, other than ensuring it has attempted to assist the organisation(s).

52. As all groups (except one) reside on council land, the terms and conditions of the lease will provide further guidance on what course of action is possible should a community group fail. Separate reports will be required to deal with these should the situation arise.

53. For the one group residing on private land, council currently holds a second mortgage, which would move to a first mortgage if the bank loan is subrogated to council. Should this situation arise, further reports will be submitted for council to consider its options.

**Ngā koringa ā-muri**

**Next steps**

54. Delegations for loan guarantees and community loans reside with the Finance and Performance Committee.

55. Staff recommend that for the duration of the national State of Emergency Alert Levels 4 to 1, delegations for matters relating to existing loan guarantees and community loans be delegated to the (Acting) Group Chief Financial Officer and Group Treasurer with authority to:
   - Approve the final terms and conditions of loan guarantee arrangements with ASB Bank;
   - Approve the final terms and conditions of loan guarantee arrangements with the community group(s);
   - Approve the final terms and conditions of council loans to community groups;

Noting that the financial limits of loan guarantees are not to exceed the existing approved maximum council liability in respect of each guarantee agreement.
56. All new arrangements will be documented with the assistance of Legal Services department.
57. At the conclusion of Alert Levels 4 to 1, staff will report back to the committee with details of the new arrangements that have been implemented.

Ngā tāpirihanga
Attachments
There are no attachments for this report.

Ngā kaihaina
Signatories

<table>
<thead>
<tr>
<th>Author</th>
<th>Leigh Redshaw - Strategic investment Specialist</th>
</tr>
</thead>
<tbody>
<tr>
<td>Authorisers</td>
<td>Kevin Ramsay - Acting Group Chief Financial Officer</td>
</tr>
<tr>
<td></td>
<td>John Bishop - Group Treasurer</td>
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<tr>
<td></td>
<td>Phil Wilson - Governance Director</td>
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</tbody>
</table>
Approval of the Auckland Regional Amenities Funding Levy 2020-2021

File No.: CP2020/03824

Te take mō te pūrongo
Purpose of the report
1. To consider the Auckland Regional Amenities Funding Act (ARAFA) levy for 2020/2021.

Whakarāpopototanga matua
Executive summary
The report was to be included on the Finance and Performance Committee 23 April 2020 meeting agenda (having been deferred from the Finance and Performance Committee meeting held on 19 March 2020); however, in light of COVID-19, it must now be considered by the Emergency Committee.

Background
2. The Auckland Regional Amenities Funding Act 2008 (ARAFA, or the Act) provides for ten regional organisations to submit annual funding applications to the Auckland Regional Amenities Funding Board (Funding Board).
3. Nine amenities have made applications for funding under the Act. The Maritime Museum is named as an amenity in the Act, but is now part of Regional Facilities Auckland, so no longer applies for funding.
4. The Funding Board must analyse the funding applications from the nine amenities, and prepare a draft funding plan. Following a period for submissions the final Funding Plan (attachment A) was approved by the Funding Board in early March 2020.

Initial Funding request
5. The Funding Board has asked council for a total levy of $16,714,500. A comparison with last years funding is set out in the table below:

<table>
<thead>
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<th>2020-2021</th>
<th>2019-2020</th>
<th>Change %</th>
</tr>
</thead>
<tbody>
<tr>
<td>Allocated to nine specified amenities</td>
<td>$16,564,500</td>
<td>$15,164,500</td>
<td>9.23%</td>
</tr>
<tr>
<td>Administration costs</td>
<td>$350,000</td>
<td>$340,000</td>
<td>2.9%</td>
</tr>
<tr>
<td>Total Auckland Council levy</td>
<td>$16,914,500</td>
<td>$15,504,500</td>
<td>9.1%</td>
</tr>
<tr>
<td>Less funded from Funding Board’s retained earnings</td>
<td>($200,000)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Net levy payable by Council</td>
<td>$16,714,500</td>
<td>$15,504,500</td>
<td>7.8%</td>
</tr>
</tbody>
</table>

6. Following the submission of the draft funding plan, two issues have impacted on the amount of funding sought by the Funding Board. These are the financial impact of COVID-19, and the withdrawal of Coastguard Northern Regions funding application.
Impact of COVID-19

7. Both the amenities funding applications, and the Funding Board’s assessment of the amenities funding requirements was done in a pre-COVID-19 context. Therefore, the initial funding request did not take into account the financial implications of COVID-19 on the amenities or Auckland Council.

8. The purpose of the Act is to establish a mechanism to provide adequate, sustainable, and secure funding for the amenities. However, the funding must also be sustainable for Auckland Council.

9. The projected impact by COVID-19 on council’s 2020-2021 revenue has made it critical to control council’s expenditure where possible. As a result, the Funding Board has been asked to hold its levy request at the same level as was provided in 2019-2020.

10. The Funding Board, in consultation with the Amenities, has agreed to amend the levy request so that it is the same as 2019-2020.

11. However, as the full implications of COVID-19 are still not known, the amenities may need to reassess their financial positions. This may require further discussions between council and the amenities regarding funding for 2020-2021.

12. Staff acknowledge the contribution the Funding Board and the amenities have made to help address the financial impact that COVID-19 has had on council, particularly in the context of the impact it has had on their own financial positions.

Coastguard

13. Coastguard Northern Region (CNR) has elected to join with other regional coastguard organisations by merging into the national body, Coastguard New Zealand. This will come into effect from 1 July 2020.

14. Due to this merger CNR will cease to exist as a legal entity. CNR is the legal entity specified under the Act to receive funding. Coastguard New Zealand is not entitled to funding under the Act. Coastguard New Zealand is investigating what is required for it to be included within the Act’s funding regime in the future, however, this process could take some time.

15. Staff recommend providing Coastguard New Zealand with funding directly from council equivalent to the amount CNR would have been allocated by the Funding Board ($824,000) by way of a funding agreement, for two years. Funding for future years would need to be discussed through the Long-term Plan or by Coastguard New Zealand seeking an amendment to the Act.

Total funding

16. The total funding proposed is equivalent to the 2019-2020 levy ($15,504,500), with $14,680,500 being provided to the Funding Board, and $824,000 being provided directly to Coastguard New Zealand.

17. The proposed levy is within the levy cap provided for by the Act.
Ngā tūtohunga
Recommendation/s
That the Emergency Committee:

a) approve a levy of $14,680,500

b) approve staff developing a two-year funding agreement between Auckland Council and Coastguard New Zealand for $824,000 per annum covering the 2020/2021 and 2021/2022 financial years, subject to the following terms and conditions noted below:
   i) funding must only be used for activities in the Auckland region
   ii) performance targets within the funding agreement to be at least equivalent to Coastguard Northern Regions performance targets in 2019/2020

c) note that as the full implications of COVID-19 are still not known, the amenities may need to reassess their financial positions. This may require further discussions between council and the amenities regarding funding for 2020-2021

Horopaki
Context
18. The Act was put in place to establish a mechanism to provide adequate, sustainable and secure funding for the specified amenities that provide arts and culture, educational and rescue services throughout the Auckland region.

19. The funding provided under the Act must be sustainable for both the amenities and Auckland Council.

20. The Act states that the arts, educational, rescue, and community organisations that receive funding under the Act “are vital to the Auckland region” and “contribute to the well-being of the whole region by providing facilities or services to the community. The organisations are an essential part of the fabric of the Auckland region and are necessary to make the region a vibrant and attractive place to live in and visit”.

21. The Act established a Funding Board, which is an independent body whose role is to receive annual funding applications from the specified amenities identified in the Act, and to assess what would be a reasonable contribution towards the amenities’ operational costs.

22. The Funding Board assesses the annual funding applications received from the amenities against the funding principles within the Act, and any additional principles adopted by Auckland Council. The funding principles include the following:
   • funding is primarily for provision of facilities or services by the amenities (i.e. operational)
   • funding is not available for capital expenses
   • funding is not for any part of facilities or services provided outside the Auckland region
   • funding is available only if the amenity has made all reasonable endeavours to maximise their funding from other available sources
   • the Funding Board must have regard to council’s proposed rates increase for the forthcoming year
   • the amenities should align their activities to the Auckland Plan, and adopt relevant performance measures.
23. Once the Funding Board has conducted the analysis of the amenities’ funding applications it is required under the Act to prepare a funding plan. The Board must then call for public submissions. Council may provide a submission on the funding plan, as may the amenities themselves. The Funding Board must consider the submissions received when preparing the final funding plan.

24. The Funding Board, having considered any submissions, proposes a total levy to Auckland Council for approval.

25. Council’s decision-making role regarding the levy is confined to providing a submission on the Funding Board’s draft funding plan, reviewing the total levy proposed by the Funding Board, and either agreeing to or rejecting the proposed levy. Allocations to individual amenities are the role of the Funding Board, not the council. The Act requires that the funding plan must provide any information that is necessary for council to make an informed assessment of the annual levy (s25(2)(i)). Council is not able to comment on the amount of the funding being provided to the individual amenities, as it is the role of the Funding Board to analyse the funding requirements of each amenity.

Council’s submission on the 2020/2021 Funding Plan

26. The committee at its 3 December 2019 meeting approved delegation of the council’s submission on the Funding Board’s draft annual plan to the chair and deputy chair of this committee (FIN/2019/122). This submission (Attachment C) noted the contribution provided by the Funding Board and the nine regional amenities in making Auckland a better place to live and visit.

27. The submission noted that Auckland’s ratepayers have provided generous financial support to these regional amenities since 2008 (in excess of $166 million over a ten-year period). The submission also highlighted to the Funding Board that there are increasing funding demands on Auckland’s ratepayer budget.

28. The Funding Board’s role in analysing each individual amenities’ allocation is noted and the council appreciates that the conditions which have been applied to the provisional allocation of some grants is highlighted in the draft plan.

COVID-19

29. The amenities made their funding applications in October 2019. These applications were based on the amenities forecasts for the 2020/21 financial year using the best information available at the time. These forecasts did not take into account the financial impacts of the COVID-19 pandemic, nor the activities that the amenities would be able to undertake post COVID-19.

30. The amenities have been severely impacted financially by the pandemic. The performing arts amenities have not been able to put on live shows, and have therefore not received box office and sponsorship revenue. Stardome has been forced to close.

31. Other amenities have had their fundraising activities severely impacted due to the lockdown, and the current financial uncertainty.

32. COVID-19 has also had a massive impact on council’s financial situation. An assessment of this impact was provided to the Emergency Committee on 16 April.

33. The project massive impact by COVID-19 on council’s 2020-2021 revenue has made it critical to control council expenditure where possible. As a result, the Funding Board has been asked to hold its levy request at the same level as was provided in 2019-2020.

34. The Funding Board, in consultation with the Amenities, has agreed to amend the levy request so that it is the same as 2019-2020, taking into account the withdrawal of CNR from the funding process.
Tātaritanga me ngā tohutohu
Analysis and advice

Coastguard Northern Region

35. Coastguard Northern Region (CNR) provides search and rescue capability throughout the Auckland region from its centre at Mechanics Bay, Auckland.

36. Auckland Council through the ARAFA system has provided funding to this amenity since 2008. CNR has recently approved the merger into Coastguard New Zealand from 1 July 2020, which means that CNR will cease to exist as a legal entity. Coastguard New Zealand is not eligible to apply for funding under the Act, or to receive any funding from the Funding Board.

37. Staff recommend that funding for coastguard services in the Auckland region for the 2020/2021 and 2021/2022 financial years be provided through a direct Funding Agreement between Auckland Council and Coastguard New Zealand to the same amount which would have been provided through ARAFA ($824,000 per annum).

38. Council will in effect be making the same financial contribution that the Funding Board assessed as being appropriate. This is also the same amount as CNR received via ARAFA in 2019-2020.

39. The services that Coastguard New Zealand will provide to Auckland will be the same as CNR have been providing to Auckland.

40. Entering into a funding agreement will allow Coastguard New Zealand time to investigate how it might become part of the ARAFA regime, or to discuss a longer-term funding arrangement with council.

Return of retained ‘earnings’

41. The initial Funding Plan proposed a $200,000 refund to Auckland Council from the Funding Board’s retained earnings. Retained ‘earnings’ are the sums left over after the Board’s administration and director fees have been paid. These are typically kept to provide the Board with some money should there be legal challenges to its decisions. These funds have been steadily increasing over time and the Board has made the decision that it should return some of these monies to Council as they are in excess of what it requires as a backstop.

42. This refund is to be deducted from the total levy that would otherwise payable.

43. Staff support the Funding Board’s decision in this regard. However, we note that the Funding Board has at the same time increased its administration budget request by a further $10,000 which seems unnecessary and contradictory given the surplus return of funds to council.

44. Staff note that the Funding Board is developing a retained earnings policy to guide the Board’s future administration funding requests.

Options

45. Under s.34(1)(c) of the Act 2008 the total maximum levy that can be proposed by the Funding Board is the amount equal to two percent of the revenue from rates of the Auckland Council in the previous financial year. This equates to $36,040,000. The final 2020/2021 Funding Plan proposes a total levy of $14,680,500.

46. The Act provides a ‘needs based’ funding mechanism, where the amenities receive the funding they require to keep them sustainable. That level of funding will vary over time for each amenity, due to changes in their operating environments. It is therefore difficult to make direct comparisons with previous year’s levies.

47. As discussed above, COVID-19 has had a massive impact on the activities and revenues of both the amenities and council.
48. In line with council’s role in the Auckland Regional Amenities Funding Act levy process the following options are outlined below.

49. The first option is to approve the levy. In a pre-Covid context, staff had no reason to believe that the proposed levy is contrary to the funding principals contained in the Act, and therefore there would be no reason for council to not approve the levy.

50. However, both the amenities funding applications, and the Funding Board’s assessment of the amenities funding requirements was done in a pre-COVID-19 context. Therefore, the initial funding request did not take into account the financial implications of COVID-19 on the amenities or Auckland Council.

51. We note that in response to a request from council, the Funding Board, in consultation with the amenities, has agreed to reduce its levy request to an amount equivalent to the 2019-2020 levy, taking into account the withdrawal of CNR from the funding process.

52. Staff acknowledge the contribution the Funding Board and the amenities have made to help address the financial impact COVID-19 has had on council, particularly in the context of the impact it has had on their own financial positions.

53. Approving the levy would reinforce council’s ongoing commitment and long-term support for the work, services and programmes that each amenity provides to Aucklanders across the region. Nonetheless, it is important that each amenity remember that its funding comes from ratepayers, and is ‘funding of last resort’.

54. The second option is to reject the proposed levy. The Act only gives Council the ability to reject the entire levy, even if it only disagrees with a part of it.

55. Staff have no reason to believe that the revised funding request is inconsistent with the funding principles contained in the Act.

56. Staff therefore recommend approving the revised funding request.

**Tauākī whakaaweawe āhuarangi
Climate impact statement**

57. There are no direct impacts or emissions affecting the climate over the lifetime of the decision to approve the Auckland Regional Amenities Funding Board levy for 2020/2021.

**Ngā whakaaweawe me ngā tirohanga a te rōpū Kaunihera
Council group impacts and views**

58. There are no direct impacts for the council group that result from approving the Auckland Regional Amenities Funding Board levy for 2020/2021.

**Ngā whakaaweawe ā-rohe me ngā tirohanga a te poari ā-rohe
Local impacts and local board views**

59. Decision making and oversight on regional activities is the responsibility of the Governing Body. This report relates to the funding relationship between the council, the Funding Board and the nine regional amenities.

**Tauākī whakaaweawe Māori
Māori impact statement**

60. The amenities have the ability to make positive contributions to Māori wellbeing, and to deliver on Auckland Plan outcomes and contribute to effective Māori capacity.

61. Some amenities have outlined the various programmes delivered that contribute to Māori wellbeing.

62. Additionally, a Funding Board member (Precious Clark) has been appointed to represent the interests of Māori in the Auckland region. Therefore, Māori wellbeing and perspectives are criteria for consideration throughout the Funding Board discussion at meetings.
Ngā ritenga ā-pūtea

Financial implications

63. The proposed levy is within the levy cap provided for by legislation but is more than what is budgeted for in the 10-year Budget 2018-2028 because there was no allowance made for increases.

64. COVID-19 is anticipated to have a significant impact on council’s revenue for 2020-2021. The anticipated impact was set out in a report to the Emergency Committee on 16 April 2020.

Ngā raru tūpono me ngā whakamaurutanga

Risks and mitigations

65. The ongoing risk to council is that the Auckland Regional Amenities Funding system provides little ability for council to ensure value for money from the amenities. There is also no direct accountability to council for the funding that the amenities receive.

66. The Funding Board works directly with the individual amenities. As well as analysing the funding applications of the amenities, the Funding Board also reviews the amenities’ annual and half year reports. Council would request that the risk be mitigated by the Funding Board continuing to exercise rigorous scrutiny of the amenities’ activities.

67. There is also the ongoing risk to council from the indicated funding requests from the specified amenities for future years. The indication from the amenities is that they will be seeking substantial increases in future years. This risk is mitigated by the scrutiny that the Funding Board applies to the annual funding applications submitted by the amenities. Any increases to funding would need to be justified by the amenities in terms of the Act’s funding principles.

68. The COVID-19 pandemic poses a financial risk to both the amenities, and to Auckland Council. The amenities financial viability will be impacted by the national lockdown, and the expected economic downturn. This risk is mitigated by the Act providing an annual mechanism to provide funding to the amenities to help keep them sustainable. The amenities could also approach council (and other funders) directly for additional funding if necessary.

69. There is a risk to council’s financial position, as the amenities could seek more funding in future years, when council’s revenue is still being adversely impacted by the COVID-19 pandemic and the resulting economic downturn. Council has no formal ability to require the amenities to reduce their services (and therefore their expenses), so mitigation of this risk will have to be discussed with the Funding Board.

70. Rejecting the levy would mean the matter would be referred to arbitration. This would result in additional costs to undertake the arbitration, and there is uncertainty as to the result. The Act provides little in the way of guidance as to what an arbitrator would have to consider, and whether an arbitrator could set a levy at an amount greater than what was originally requested.

Ngā koringa ā-muri

Next steps

71. If the committee approves the proposed levy, payment will be made to the Funding Board on 1 July 2020.
Ngā tāpirihanga
Attachments

<table>
<thead>
<tr>
<th>No.</th>
<th>Title</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>A</td>
<td>2020-2021 Funding Plan</td>
<td>19</td>
</tr>
<tr>
<td>B</td>
<td>Chairs letter to Auckland Council 9 March 2020 (CNR)</td>
<td>65</td>
</tr>
<tr>
<td>C</td>
<td>Auckland Council Submission to 2020/2021 Draft Funding Levy</td>
<td>67</td>
</tr>
</tbody>
</table>

Ngā kaihaina
Signatories

<table>
<thead>
<tr>
<th>Authors</th>
<th>Josie Meuli - Senior Advisor</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Edward Siddle - Principal Advisor</td>
</tr>
<tr>
<td>Authorisers</td>
<td>Alastair Cameron - Manager - CCO Governance &amp; External Partnerships</td>
</tr>
<tr>
<td></td>
<td>Kevin Ramsay - Acting Group Chief Financial Officer</td>
</tr>
<tr>
<td></td>
<td>Phil Wilson - Governance Director</td>
</tr>
</tbody>
</table>
2020-2021 Funding Plan

AUCKLAND REGIONAL AMENITIES FUNDING BOARD

March 2020
Chair’s Report

Kua raranga tahi tātou he whāriki, hei hāpai ake ngā whānau o Tāmaki Makaurau.
Collectively, we weave a mat that elevates the people of Auckland.

The 2020-2021 Funding Plan is the first presented since I assumed the role of Chair in July 2019 and will represent the twelfth year the Funding Board has distributed grants to the Specified Amenities.

Since the inception of the current funding arrangement the entities have grown their products, services and facilities and, where appropriate, expanded their reach across the Auckland region. Today more people than ever are benefiting from the role these entities have in serving the greater Auckland area.

Aucklanders are experiencing a vibrant arts scene; safe bustling beaches and harbours; and the stimulating educational and cultural museum facilities that benefit from the increasing levels of activities provided by the entities receiving regional funding. Aucklanders should be proud of the contributions these organisations are making towards Auckland being an exciting and dynamic international city.

In 2020-2021 the entities are again looking to further enhance the experiences enjoyed by those interacting with their organisations, using the facilities, or attending shows or educational programmes and productions put on for the public to enjoy.

During calendar year 2019, several of the Specified Amenities have embarked upon reviews on how their organisations are managed at a governance and / or operational level. In particular, the Auckland Philharmonia Orchestra (APO) has undertaken a significant independent review of its operations and delivery model. This has resulted in recommendations that the Funding Board has considered and is supportive of the board and management of the APO implementing, as evidenced in the proposed increase in funding for 2020-2021.

Similarly, Coastguard Northern Region (CNR) is working with the other regional offices and its national body to determine the best service delivery model for coastguard operations across New Zealand. Due to be completed by 30 June 2020, this is an ambitious project looking to implement an efficient, effective delivery model. This may potentially impact the eligibility for on-going funding under the Auckland Regional Amenities Funding Act 2008. The Funding Board is working with CNR to examine workable solutions to the challenges CNR faces to safeguard funding into the Auckland region.

Since the Funding Board commenced operating it has garnered a large amount of knowledge regarding the operation of these organisations. The board considers it has followed a rigorous assessment process when considering the annual funding applications and determining the allocation of grants for 2020-2021.

It is again pleasing to see in the funding applications received and assessed by the Funding Board that, where appropriate and practical, further specific outcomes relating to the interests of Māori are being
incorporated into the day to day operations of the Specified Amenities. Some of these initiatives are
detailed in the summaries prepared by each organisation further on in this plan.

The grants to specified amenities in 2020-2021 will total $16,564,500, while the 2020-2021 levy
payable by Auckland Council is set at $16,714,500. This represents additional funding towards the
Specified Amenities of $1,400,000 for 2020-2021.

As in previous years, the Specified Amenities continue to return a significant proportion of the annual
levy back to Auckland Council in the form of rent, hire charges, rates, and regulatory charges. In 2020-
2021 that amount is estimated to be close to $2.0 million. It is important, in the interests of financial
transparency, that these transactions are properly recorded to reflect the true costs of providing these
services for the enjoyment of Aucklanders.

The rapidly changing nature of Auckland and its recognition as a destination city is putting pressures
on the Specified Amenities that were not present five to six years ago. For example, the exceptionally
high demand for inner-city hotel accommodation continues, meaning that those amenities that rely
on overseas artists to deliver their performances are continuing to face significant cost increases as
hotel occupancy rates remain at record high levels.

During 2019 new board members joined the Funding Board and quickly assimilated themselves,
becoming familiar with Funding Board processes and the Specified Amenities themselves.

I would like to take this opportunity to thank my Board colleagues for their diligence and professional
work during the development of the 2020-2021 Funding Plan. They all approach their work for the
Funding Board with a high degree of commitment and integrity. Individually and collectively, they
bring with them a wealth of experience, skills and abilities that continues to give me confidence that
the 2020-2021 Funding Plan is one that delivers a mechanism to provide adequate, secure and
sustainable funding within a fiscally responsible framework.

Anita Killeen
Chair

March 2020
Introduction

This Funding Plan, covering the period 1 July 2020 to 30 June 2021 is the twelfth plan published by the Auckland Regional Amenities Funding Board, (Funding Board).

The 2020-2021 Funding Plan represents the eleventh year that the Funding Board has assessed and recommended the distribution of grants to the Specified Amenities, and it will be the twelfth year that grants will be distributed to the amenities. The Funding Board believes that the levels of funding proposed in this plan are in line with the key funding principles outlined in the Act, and in accordance with the primary purpose of the Act, namely the provision of a mechanism for adequate, sustainable and secure funding for the Specified Amenities.

Background

The Funding Board was established with the introduction of the Auckland Regional Amenities Funding Act 2008. The Act introduced a levy to be imposed on Auckland Council. The levy is collected by the Funding Board and distributed as grants to the Specified Amenities named in the legislation. The purpose of the Act is to establish a mechanism that provides funding to support the on-going sustainability of the organisations named in the Act who deliver arts, culture, recreational, heritage, rescue services and other facilities and services to the wider population of the Auckland region. All amenities make significant contributions towards making Auckland an attractive global city.

In this twelfth year, the maximum funding permissible as defined in the Act of being no more than 2% of the rates collected by Auckland Council in the previous financial year. For 2020-2021, the maximum levy cap has been calculated as $36,040,000. The Funding Board assesses each application on its merits and does not regard the maximum levy cap as either a target or a notional budget to work towards. The 2020-2021 levy represents 46.37% (45.12% - 2019-2020) of the levy amount permitted under the legislation.

On a day to day basis, the Funding Board is not responsible for the governance of any of the entities named in the Act. The sole purpose of the Funding Board is to administer the provisions of the Act which primarily comprises determining the levy to be collected from Auckland Council, and then distributing that as grants to the Specified Amenities. Each of the Specified Amenities retains its own board of governance and management and is therefore responsible for the decisions made regarding the operations of the organisation. Decisions made by an amenity that may have operational funding implications do not automatically trigger an increase in grant funding to contribute to any increased costs associated with those decisions.

Similarly, increased public expectations of service delivery need to be tempered with the willingness of the public and other users to pay for such services. If other users of a service are unwilling or unable to increase the amounts paid, it does not automatically mean that increased grant funding will be made available through this regional funding process.
Additionally, the availability of regional funding via the Funding Board for the Specified Amenities does not replace the requirement for each of the Specified Amenities to continue raising funds from other sources. The Funding Board is aware that in some cases, the ability for some of the amenities to access those resources is becoming more challenging, in part because they are a recipient of funding via the Funding Board. In other instances, continued support from the Funding Board may assist, providing confidence to other funders around matters of relevance, sustainability and governance of the amenity. Some amenities are particularly successful with their fundraising activities, reducing their reliance on this grant funding. Whatever circumstances apply, the Board is cognisant of both the statutory requirement and Auckland Council’s request that amenities must make all reasonable endeavours to maximise funding from other available funding sources.

Grants provided through the regional funding provisions, are assessed on an annual basis. That means annual funding applications are assessed on their own merit, allowing changes in economic and environmental matters to be considered as they arise. It allows grants to either increase or decrease as the Board considers appropriate, noting that the Act does not stipulate that annual grant funding should remain at a minimum or constant level.

The relative certainty of obtaining on-going regional funding via the Act enables each of the amenities to plan both strategically and operationally. Over time that funding security has enabled amenities to demonstrate to the Funding Board significant improvements, both in regional reach and the quality of the services being delivered to residents of Auckland.

The grants distributed to the amenities are derived from a levy paid to the Funding Board by Auckland Council, and by extension, the ratepayers of Auckland. Both the Funding Board and amenities are aware of the source of this funding; accordingly, each amenity ensures that advertising, promotional material and funding acknowledgements recognise the role of Auckland Council and the ratepayers of Auckland.

The Funding Board recognises that for some of the amenities the grants are the largest single source of funding received. However, there are also numerous other partner organisations involved in supporting them and funding many aspects of the amenities work, some of which is highlighted in this Plan. That is important, as the nature of much of their work is dependent upon developing and maintaining strong links with partners to ensure consistent and sustainable service delivery.

The Funding Board has not received any requests from Auckland Council to consider adding new Specified Amenities.
Auckland Council

The Funding Board remains mindful that it must act in accordance with the legislation and needs to fulfil its obligations to provide a mechanism for adequate and sustainable funding to the Specified Amenities. The Funding Board undertakes a thorough and comprehensive review of all applications received to ensure that the amount provided is justified and that the Board is fulfilling its legislative requirements. The Funding Board welcomes regular meetings with Auckland Council representatives to learn of the issues facing the Council as well as the goals and aspirations Auckland Council is hoping to achieve.

Principles of the Act

The funding principles are embodied in s.21 of the Auckland Regional Amenities Funding Act 2008. These principles are summarised below:

1. the primary purpose of the funding is to contribute to the expenses that the specified amenity must incur to provide its facilities or services;
2. funding is not available for capital expenditure; and
3. funding is not available for any part of facilities or services that the specified amenity provides outside the Auckland region; and
4. funding is not available for facilities or services that at any time in the five years immediately before the date on which the Funding Board or the Auckland Council applies this paragraph have been provided funding by –
   i. a Crown entity as defined in section 7(1) of the Crown Entities Act 2004; or
   ii. a department specified in Schedule 1 of the State Sector Act 1988; and
5. funding for the retention and preservation of a specified amenity’s library or collection takes priority over the amenity’s other expenses; and
6. funding is available only if the specified amenity has made all reasonable endeavours to maximise its funding from other available funding sources; and
7. total funding for all Specified Amenities assessed for a financial year must not exceed the maximum total levy for that year under section 34; and
8. total funding for all Specified Amenities assessed for a financial year should have regard to Auckland Council’s proposed rates increases for the forthcoming year; and
9. funding is available only if the specified amenity has made all reasonable endeavours to align its activities (in the Auckland region, and for which it seeks funding) with the objectives of the Auckland Plan, including by adopting relevant performance measures.

Note: (8) and (9) above were introduced by Auckland Council on 25 November 2012.
Under provisions within the Act, the Funding Board must have regard to the Funding Principles when considering a funding application from a Specified Amenity and Auckland Council must decide whether to approve the recommended levy, after also having regard to those Funding Principles.

Each year the Funding Board requests that Specified Amenities complete an application form (currently in eight parts) seeking comprehensive information about the organisation:

1. Overview of Services
2. Performance targets – Activity and Alignment
3. Governance and related structures
4. Financials
5. Revenue in detail
6. Expenditure in detail
7. Future financials
8. Other matters – including sustainability measures.

Having received applications from Amenities that wish to be considered for funding, the Board reviews these and seeks any supplementary information it requires. As specified in the Act, the Funding Board Chairperson and an Auckland Council representative met to confer before the Draft Funding Plan is publicly notified for submissions over a one-month period. The Funding Board then publicly considers any written and/or oral submissions, considers that material, makes any modifications to the Plan that it considers appropriate in light of the submissions and then refers the Funding Plan to Auckland Council seeking approval of the Board’s recommendation on the levy.

The above process involves the provision and review of substantive amounts of information about each Specified Amenity. It includes declarations from each that their application complies with the Funding Principles (with any additions) set out in Section 21 of the Auckland Regional Amenities Funding Act 2008.

In addition to the comprehensive amounts of information submitted as part of the annual application by each amenity, the Funding Board’s independent auditors also conduct their own sample checks annually to verify adherence to the Funding Principles. That involves reviewing the entire funding application and subsequent reporting processes for an amenity over a two-year period.

In light of the above, the Funding Board:

- having considered the information and declarations provided in support of the Specified Amenities respective funding applications for 2020-2021; and
- having had regard to the Funding Principles as defined in s21 of the Act,

is satisfied that the Specified Amenities and the Funding Board are following the principles within the Act as set out on page 7.
Allocation of Grants

The Funding Board has undertaken a rigorous examination of the funding applications made by the Specified Amenities and has made an allocation of grants for the 2020-2021 financial year.

Each Specified Amenity that wishes to be considered for a grant under the provisions of the Act is required to submit a funding application in accord with the requirements of the Act. The Funding Board has exercised its right under the Act to request additional information. A comprehensive funding application form is used to capture the minimum information specified under the Act, as well as more detailed information to verify compliance with Funding Principles outlined in section 21 of the Act. That includes illustrating the steps each of the Specified Amenities take to align activities with the objectives of the Auckland Plan and the outcomes it seeks.

The table on page 10 sets out the allocation of grants to each of the Specified Amenities. Any conditions placed on the grants or directions on how a portion of the grant is to be used by the amenity are listed after the table.

The Funding Board is aware that the key purpose of the Act is to provide a mechanism for adequate, sustainable and secure funding. The Funding Board believes that the levels of funding allocated in the 2020-2021 Funding Plan, will satisfy that obligation for most amenities.
## Grant Allocations to Specified Amenities 2020-2021

<table>
<thead>
<tr>
<th>Specified Amenity</th>
<th>Grant Allocation 2019-2020</th>
<th>Amenity Funding Application 2020-2021</th>
<th>Grant Allocation 2020-2021</th>
<th>Year on Year Change 2019-2020 to 2020-2021</th>
</tr>
</thead>
<tbody>
<tr>
<td>Auckland Festival Trust</td>
<td>$3,837,000</td>
<td>$4,250,000</td>
<td>$4,037,000</td>
<td>+$200,000</td>
</tr>
<tr>
<td>Auckland Philharmonia Trust*</td>
<td>$3,267,000</td>
<td>$3,968,000</td>
<td>$3,907,000</td>
<td>+$640,000</td>
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<tr>
<td>Auckland Rescue Helicopter Trust</td>
<td>$450,000</td>
<td>$1,074,045</td>
<td>$610,000</td>
<td>+$160,000</td>
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<tr>
<td>Auckland Theatre Company Ltd</td>
<td>$1,800,000</td>
<td>$2,075,000</td>
<td>$1,870,000</td>
<td>+$70,000</td>
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<tr>
<td>Coastguard Northern Region Inc.</td>
<td>$824,000</td>
<td>$930,900</td>
<td>$824,000</td>
<td>$0</td>
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<tr>
<td>Drowning Prevention Auckland-WaterSafe Auckland Incorporated</td>
<td>$1,050,000</td>
<td>$1,075,000</td>
<td>$1,075,000</td>
<td>+$25,000</td>
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<tr>
<td>New Zealand Opera Limited</td>
<td>$1,100,000</td>
<td>$1,500,000</td>
<td>$1,175,000</td>
<td>+$75,000</td>
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<tr>
<td>Stardome - Auckland Observatory and Planetarium Trust Board</td>
<td>$1,440,500</td>
<td>$1,550,500</td>
<td>$1,550,500</td>
<td>+$110,000</td>
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<tr>
<td>Surf Life Saving Northern Region Incorporated</td>
<td>$1,396,000</td>
<td>$1,550,000</td>
<td>$1,516,000</td>
<td>+$120,000</td>
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<tr>
<td><strong>Total Grants Payable</strong></td>
<td><strong>$15,164,500</strong></td>
<td><strong>$7,973,445</strong></td>
<td><strong>$16,564,500</strong></td>
<td><strong>$1,400,000</strong></td>
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<td>Funding Board administration budget</td>
<td>$340,000</td>
<td>$350,000</td>
<td>$350,000</td>
<td>+$10,000</td>
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<tr>
<td><strong>Total Grants and Administration Costs</strong></td>
<td><strong>$15,504,500</strong></td>
<td><strong>$8,323,445</strong></td>
<td><strong>$16,914,500</strong></td>
<td><strong>$1,410,000</strong></td>
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<tr>
<td>LESS Funded from Retained Earnings</td>
<td></td>
<td>($200,000)</td>
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<tr>
<td><strong>Total Levy Payable by Auckland Council</strong></td>
<td></td>
<td><strong>$16,714,500</strong></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

*APO 2019-2020 included a one-off grant of $75,000 as a contribution towards the cost of the APO review undertaken in the 2019 calendar year. Therefore, the gross increase for the APO in 2020-2021 is $715,000.

**Specific Conditions Attached to the Allocation of Grants:**

In 2020-2021 the Funding Board has attached the following conditions to the grants:

**Auckland Festival Trust:**

As the Whānui programme was previously co-funded between Creative New Zealand (a Crown Entity) and Foundation North, no portion of the ARAFB grant funding shall be used for the delivery of the Whānui programme. This is in accordance with section 21(1)(d) of the Act.

**Auckland Philharmonia Trust:**

1. $80,000 as a one-off contribution in 2020-2021 for the specific purpose of APO engaging appropriately skilled human resources personnel to assist with migrating APO orchestra players to a new operating model (the proposed ‘Salary Model’) as outlined by the APO in its 2020-2021 Funding Application.
II. $560,000 as a transition contribution to player remuneration under the ‘Salary Model’ and to be applied by the APO on implementation of the proposed new ‘Salary Model’ during the 2020-2021 year (1 July 2020 to 30 June 2021).

III. The continued contribution of the $560,000 referred to in (II) above is conditional on the APO Board, management and players agreeing to implement the proposed ‘Salary Model’ with effect from no later than 1 July 2021, otherwise the $560,000 referred to in (II) above, will be withdrawn and treated as a one-off payment.

Coastguard Northern Region Inc.:

Prior to payment of the 2020-2021 ARAFB grant, the Funding Board (in its sole discretion) must be satisfied in all respects including the proposed governance, management and operations of the organisation that will be operative from 1 July 2020 following the implementation of the Royal New Zealand Coastguard’s Project Horizon project, and how that impacts Coastguard Northern Region Inc., and the delivery of services into the Auckland region.

New Zealand Opera Ltd:

No portion of the ARAFB grant funding shall be used for the “Lullaby” or “Star Navigator” programmes. New Zealand Opera is to ensure that ARAFB grant funding is used only for activities within the Auckland Council area and funding is not used to subsidise activities in other parts of the country.

Auckland Rescue Helicopter Trust:

The Funding Board has granted a one-off grant of $150,000 for 2020-2021 to fund extraordinary costs associated with the commissioning and deployment of the new rescue helicopters into the Auckland region.
### Indicative Grant Requests for July 2021 to June 2023

Each year the Specified Amenities are required to indicate what level of funding they may seek in the subsequent two financial years, i.e. 1 July 2021 to 30 June 2022, and 1 July 2022 to 30 June 2023. The table below provides those indicative figures. Funding applications are considered annually so these indicative figures are subject to change.

However, future requests for any large increases in operational grant funding must have undergone sound, thoroughly worked through and open discussions with the Funding Board and Auckland Council before they are likely to be considered. No automatic increase in grant funding can be assumed by amenities.

<table>
<thead>
<tr>
<th>Specified Amenity</th>
<th>Indicative Grant Request: 2021-2022</th>
<th>Indicative Grant Request: 2022-2023</th>
</tr>
</thead>
<tbody>
<tr>
<td>Auckland Festival Trust</td>
<td>$4,650,000</td>
<td>$5,000,000</td>
</tr>
<tr>
<td>Auckland Philharmonia Trust</td>
<td>$4,772,729</td>
<td>$4,915,911</td>
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<tr>
<td>Auckland Rescue Helicopter Trust</td>
<td>$1,074,000</td>
<td>$1,074,000</td>
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<tr>
<td>Auckland Theatre Company Limited</td>
<td>$2,313,000</td>
<td>$2,482,000</td>
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<tr>
<td>Coastguard Northern Region Incorporated</td>
<td>$949,500</td>
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<td>Drowning Prevention Auckland - WaterSafe Auckland Inc.</td>
<td>$1,100,000</td>
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<td>New Zealand Opera Limited</td>
<td>$1,537,500</td>
<td>$1,575,900</td>
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<td>Stardome - Auckland Observatory &amp; Planetarium Trust Board</td>
<td>$1,600,000</td>
<td>$1,640,000</td>
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<tr>
<td>Surf Life Saving Northern Region Incorporated</td>
<td>$1,600,000</td>
<td>$1,650,000</td>
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<tr>
<td><strong>Total</strong></td>
<td><strong>$19,596,729</strong></td>
<td><strong>$20,431,311</strong></td>
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</tbody>
</table>
Funding Levy

The maximum levy that can be charged for 2020-2021 and future financial years is specified in s.34(1)(c) of the Act, which is:

"...the amount equal to 2% of the revenue from rates of the Auckland Council in the previous financial year."

The total maximum levy for 2020-2021 has been calculated as $36,040,000. This is based on the annual rates revenue stated as $1,802 million in Auckland Council’s 2019 Annual Report.

For 2020-2021, the Funding Board is proposing a gross levy of $16,714,500 (46.37% of the maximum) to be apportioned as follows:

<table>
<thead>
<tr>
<th>Item</th>
<th>2020-2021</th>
<th>2019-2020</th>
<th>Change %</th>
</tr>
</thead>
<tbody>
<tr>
<td>Allocated to nine Specified Amenities</td>
<td>$16,564,500</td>
<td>$15,164,500</td>
<td>9.23%</td>
</tr>
<tr>
<td>Administration costs</td>
<td>$350,000</td>
<td>$340,000</td>
<td>2.9%</td>
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<tr>
<td><strong>Total Auckland Council Levy</strong></td>
<td><strong>$16,914,500</strong></td>
<td><strong>$15,504,500</strong></td>
<td>9.1%</td>
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<tr>
<td>LESS Funded from Retained Earnings</td>
<td>($200,000)</td>
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<td></td>
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<tr>
<td><strong>Net Levy Payable by Auckland Council</strong></td>
<td><strong>$16,714,500</strong></td>
<td><strong>$15,504,500</strong></td>
<td>7.80%</td>
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</tbody>
</table>

The levy payable in 2019-2020 in respect of grants to the nine amenities was $15,164,500. The grants in 2020-2021 to the nine amenities is $16,564,500, representing an overall increase of $1,400,000, (9.23%) compared to 2019-2020 and a net levy increase of 7.8%.

The levy is payable by Auckland Council in full to the Funding Board on 1 July 2020. The levy will be distributed as grants to the Specified Amenities no later than 15 August 2020.
Financial Information

Income & expenditure in relation to the levies received

<table>
<thead>
<tr>
<th></th>
<th>2020-2021</th>
<th>2019-2020</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Income</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Levy receivable</td>
<td>$16,714,500</td>
<td>$15,504,500</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td>$16,714,500</td>
<td>$15,504,500</td>
</tr>
<tr>
<td><strong>Expenditure</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Grants to be distributed to amenities</td>
<td>$16,564,500</td>
<td>$15,164,500</td>
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<tr>
<td>Honorarium</td>
<td>$201,250</td>
<td>$189,750</td>
</tr>
<tr>
<td>Audit Fees</td>
<td>$8,600</td>
<td>$9,000</td>
</tr>
<tr>
<td>Administration costs</td>
<td>$10,150</td>
<td>$10,250</td>
</tr>
<tr>
<td>Advisory Services</td>
<td>$59,000</td>
<td>$58,000</td>
</tr>
<tr>
<td>Legal and Consultancy</td>
<td>$71,000</td>
<td>$73,000</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td>$16,914,500</td>
<td>$15,504,500</td>
</tr>
<tr>
<td>Net Surplus/(deficit – funded from Retained Earnings)</td>
<td>($200,000)</td>
<td>$0</td>
</tr>
</tbody>
</table>

For 2021-2022 and subsequent years, the levy has not been set. The setting of those levies will follow the guidelines prescribed in s.34 of the Act.

The Inland Revenue Department has determined that the portion of levy collected and then distributed to the Specified Amenities as grants ($16,364,500) is not subject to GST. That portion of the levy collected for administration costs ($350,000) is subject to the normal rules applying to the supply of goods and services and is therefore subject to GST.

Auckland Council provides other services to the Funding Board from time to time. The Funding Board will make full reimbursement as required and pay for services as agreed. For example, the honorariums payable to board members are managed and paid through the Auckland Council payroll system. The Funding Board will fully reimburse Auckland Council for these and any other costs incurred on behalf of the Board.
The Board

The Auckland Regional Amenities Funding Board was established by the Auckland Regional Amenities Funding Act 2008. The members of the Funding Board are selected and appointed by Auckland Council and the Amenities Board for a three-year term in accordance with the procedures outlined in the Act.

The current members of the Funding Board are:

<table>
<thead>
<tr>
<th>Member</th>
<th>Term of office expires</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>31 May 2020</td>
</tr>
<tr>
<td>Ms Anita Killeen - Chair</td>
<td>○</td>
</tr>
<tr>
<td>Ms Catherine Harland – Deputy Chair</td>
<td></td>
</tr>
<tr>
<td>Ms Paula Browning</td>
<td></td>
</tr>
<tr>
<td>Ms Victoria Carter</td>
<td>○</td>
</tr>
<tr>
<td>Ms Precious Clark</td>
<td>○</td>
</tr>
<tr>
<td>Ms Lyn Lim</td>
<td></td>
</tr>
<tr>
<td>Ms Megan McSweeney</td>
<td>○</td>
</tr>
<tr>
<td>Mr Bryan Mogridge</td>
<td>○</td>
</tr>
<tr>
<td>Mr Scott Pearson</td>
<td></td>
</tr>
<tr>
<td>Mr Vern Walsh</td>
<td>●</td>
</tr>
</tbody>
</table>

Auckland Council and the Amenities Board are undertaking a process to appoint new Board Members within the provisions and timeframes stipulated in the Act. Five of the existing Board Members have been reappointed by Auckland Council and the Amenities Board for a further three-year term. Auckland Council is currently seeking to appoint one remaining vacancy for the 2020-2023 term.
Funding Board Members Remuneration

The rates of remuneration for members for the year commencing 1 July 2020 must be approved by Auckland Council. The rates of remuneration approved by Auckland Council for 2020-2021 are as follows:

<table>
<thead>
<tr>
<th></th>
<th>2020-2021</th>
<th>2019-2020</th>
<th>Annual Change</th>
</tr>
</thead>
<tbody>
<tr>
<td>Chair</td>
<td>$35,000</td>
<td>$33,000</td>
<td>+$2,000</td>
</tr>
<tr>
<td>Deputy Chair</td>
<td>$26,250</td>
<td>$24,750</td>
<td>+$1,500</td>
</tr>
<tr>
<td>Members (8)</td>
<td>$17,500</td>
<td>$16,500</td>
<td>+$1,000</td>
</tr>
</tbody>
</table>

Amount Payable to the Advisory Officer

No arrangements have been entered into with Auckland Council regarding the appointment of an Advisory Officer for the period 1 July 2020 to 30 June 2021 or subsequent periods.

The Funding Board has appointed an Advisory Officer for 2019-2020. The board may consider re-appointing the current Advisory Officer for the period 1 June 2020 to 31 May 2021. The rate of remuneration for 2019-2020 was set at $4,875 per month, with the option for the parties to renew the existing contract for a further period. The rate of remuneration for 2020-2021 has yet to be determined.
## Administration

The Funding Plan must disclose the maximum amount of the Funding Board's reasonable administrative costs.

For the 2020-2021 financial year, the proposed administrative costs are $350,000.

The administrative costs cover the honorariums of members, plus the cost of the Advisory Officer and all other administrative costs, such as secretarial services, printing, advertising, meeting costs, legal and other consultancy or professional advice received.

<table>
<thead>
<tr>
<th></th>
<th>Budget 2020</th>
<th>Budget 2021</th>
<th>Excl. Levies for Grants</th>
<th>Excl. Levies for Grants</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Income</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Levies for Grants</td>
<td>$15,164,500</td>
<td>$16,364,500</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Levies for Admin Costs</td>
<td>$340,000</td>
<td>$350,000</td>
<td>$360,000</td>
<td>$370,000</td>
</tr>
<tr>
<td><strong>Total Income</strong></td>
<td>$15,504,500</td>
<td>$16,714,500</td>
<td>$360,000</td>
<td>$370,000</td>
</tr>
<tr>
<td><strong>Expenses</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Audit fees</td>
<td>$9,000</td>
<td>$8,600</td>
<td>$9,000</td>
<td>$9,500</td>
</tr>
<tr>
<td>Grants distributed</td>
<td>$15,164,500</td>
<td>$16,564,500</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Legal fees</td>
<td>$35,000</td>
<td>$33,000</td>
<td>$34,000</td>
<td>$34,500</td>
</tr>
<tr>
<td>Advisory Officer</td>
<td>$58,000</td>
<td>$59,000</td>
<td>$59,000</td>
<td>$60,000</td>
</tr>
<tr>
<td>Consultants</td>
<td>$38,000</td>
<td>$38,000</td>
<td>$38,000</td>
<td>$38,000</td>
</tr>
<tr>
<td>Board member fees</td>
<td>$189,750</td>
<td>$201,250</td>
<td>$210,000</td>
<td>$218,000</td>
</tr>
<tr>
<td>Administration expenses</td>
<td>$10,250</td>
<td>$10,150</td>
<td>$10,000</td>
<td>$10,000</td>
</tr>
<tr>
<td><strong>Total Expenses</strong></td>
<td>$15,504,500</td>
<td>$16,914,500</td>
<td>$360,000</td>
<td>$370,000</td>
</tr>
<tr>
<td><strong>Surplus/deficit funded from Retained Earnings</strong></td>
<td>$0</td>
<td>($200,000)</td>
<td>$0</td>
<td>$0</td>
</tr>
</tbody>
</table>
Introducing the Amenities

The Specified Amenities funded under the Auckland Regional Amenities Funding Act 2008 provide a wide range of experiences and services to people across the greater Auckland region each year.

Each of the nine Specified Amenities that the Funding Board intends to provide grants to in 2020-2021 have prepared a brief outline regarding the activities that it plans to undertake during that period.

When submitting their annual funding application, the Specified Amenities provide comprehensive amounts of information to the Funding Board to substantiate the funding requests, including supplying sufficient information to satisfy the requirements of the relevant Funding Principles, noting that not all the Funding Principles relate to all the amenities, e.g. not all amenities have libraries or collections (s21(e) of the Act).

All the Specified Amenities are required to, and have, illustrated alignment to the objectives of the Auckland Plan (where relevant) and prepared performance measures against which to measure their progress. As noted elsewhere, grant allocations received via the annual Auckland Regional Amenities Funding Plan process form a proportion of the Specified Amenities overall funding, so the amenities must also consider the expectations and requirements of other funders when determining all their outputs and outcomes.
Auckland Festival Trust

The Auckland Arts Festival (AAF) produces and presents a world-class arts festival that engages Aucklanders in the arts, their communities and their city. The Festival programme reflects Auckland’s diversity, reaches across the entire Auckland region, and builds future audiences for the arts. As a major commissioner of new New Zealand work and a significant art employer, AAF supports Auckland/NZ artists and arts practitioners with a focus on developing and staging Māori, Pacific and NZ Asian work.

Since 2003 AAF has engaged with more than two million people enhancing the liveability and vibrancy of the city and increasing Auckland’s standing as a major (and growing) international cultural destination and driving visitation. AAF employs a skilled and diverse workforce with a commitment to tikanga Māori, who commission and deliver a programme that ensures greater access for all Aucklanders.

AAF 2020-2021 will see a comprehensive creative learning programme delivered to schools and communities across Auckland. In addition, new opportunities will be introduced for tertiary students and emerging arts industry practitioners to develop skills through participation in festival planning and delivery through mentorships and secondments.

Education Programmes for Schools:
- Subsidised performance tickets to shows that could not be seen outside of the Festival; many selected to positively reflect the diverse communities of Auckland.
- An extensive visual arts programme including AAF commissioned works and seminars around these.
- International works specifically programmed for young people with both family and dedicated schools’ performances presented regionally.
- Works in Te Reo touring to schools across Auckland in partnership with Taki Rua Productions.
- Development of curriculum-based resources to enhance understanding of work.
- Workshops and masterclasses with national and international artists.
- Opportunities to participate in the creation of work which will be presented at the Festival (e.g. Whānui Eye Spy).
- Partnerships with other Auckland based arts companies, including the Auckland Philharmonia, Silo, Auckland Live, Auckland Theatre Company, Te Pou and New Zealand Opera to increase attendances and ongoing educational opportunities for students.

In selecting shows for schools from the festival programme AAF prioritises performances that link with the school curriculum, integrate with core annual teaching programmes, and which develop future youth audiences for AAF and the arts wider sector.
AAF will continue to seek financial support for buses and heavily discounted tickets in order to increase attendances from low-decile schools, particularly those from South and West Auckland. Up to 25% of students taking part in the AAF creative learning programme come from these communities.

Creative learning programmes for Tertiary Students, Arts Graduates and Arts Industry

- Provide up to three internships for graduate students studying in the arts across technical, administration, marketing and communication areas.
- Through Toitū Te Reo initiative led by AAF Māori staff, work with interns from Māori and Pacific communities, in partnership with organisations such as Toi Māori Aotearoa and Tautai Trust.
- Provide mentoring opportunities for emerging arts practitioners in arts administration & budgeting, marketing and production planning to build their industry capability.
- In March each year schedule workshops for professional artists taken by leading international artists, designers and directors. Where practical allocate some observation places to these for senior secondary and tertiary students.

Community Programmes

AAF has a strong record of producing and delivering successful region wide community programmes across Auckland and will build this further in 2021. The focus will be on increasing participation in community activities through:

- A regional tour of up to 10 Auckland communities (plus schools where appropriate) of shows suitable for children and families, including one work in Te Reo in partnership with Taki Rua Productions (toured Kuia and the Spider in 2019). The AAF will also continue to seek international work suitable for small scale touring aimed at youth and family audiences.
- A major low-cost outdoor event for up to 30,000 attendees (e.g. Place Des Ange at Auckland Domain planned for 2020) that appeals to all sectors of Auckland’s population, and is non-language specific will also be undertaken in 2021.
- Continuation of Whānui working with up to five communities outside of the CBD. This resource heavy programme will need a significant increase in funding support from multiple sources, if it is to be delivered at full capacity. Previously this programme received additional funding through the Auckland Diversity Fund supported equally by Foundation North and Creative NZ.
- Visual arts projects co-produced by AAF with key galleries including Te Tuhi, Te Uru, Fresh, Depot and AAG. Other visual arts exhibition across Auckland’s galleries will be supported by inclusion in the festival programme on a submission’s basis. In most cases this will be free entry.

The Festival is committed to delivering to more Aucklanders through its comprehensive arts Accessibility and Inclusion programme. This includes work suitable for Auckland’s deaf and vision impaired communities, consisting of touch tours, audio described and sign language interpreted performances as well as introductory written notes and half-price concession tickets for companions or support workers.

In 2021 we will extend this programme and introduce more relaxed performances for audiences that may benefit from a more relaxed environment including (but not limited to) those with autism, sensory and communication disorders and learning-disabled people.

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In 2021 we will extend this programme and introduce more relaxed performances for audiences that may benefit from a more relaxed environment including (but not limited to) those with autism, sensory and communication disorders and learning-disabled people.
AAF has developed a three-year Māori Language Plan which is in its first year of implementation.

Through its Toitū Te Reo programme strand the Festival is ensuring its commitment to tangata whenua and te reo Māori, the first language of Aotearoa/New Zealand. Auckland Arts Festival is undertaking a commitment to normalise te reo Māori within the Festival.

Through its Māori staff, Pou Tikanga and leadership team AAF works closely with mana whenua to manaakitanga manuhiri across the festival and through artist Pōwhiri for international guests. All AAF staff support this through all staff learning and singing waiata (year-round).

Commissioning and presenting partnerships with Māori arts companies, producers, and organisations will continue. Stage work telling Māori stories (theatre, dance, kapa haka, waiata, other contemporary music) in the festival, will be mentored and led by AAF Māori staff.

As a leader in the arts in Auckland, and NZ, AAF commissions work from Māori, Pasifika and Asian artists to tell contemporary Auckland and Aotearoa/NZ stories, often working in partnership with other arts companies to produce and stage these. In 2020, AAF is working with Taki Rua Theatre Company, Te Rēhia, Auckland Theatre Company, Silo Theatre and Te Tuhi Art Gallery. Two of these works are presented in te reo Māori as part of the Toitū Te Reo programme strand.

The AAF has an extensive programme of activity scheduled for the 2020 Auckland Arts Festival in March 2020. Full details of these are available from the AAF and in its 2020 programme document.
Auckland Philharmonia Trust

The Auckland Philharmonia Orchestra (APO) is the country’s designated Metropolitan Orchestra, serving the largest and most vibrant city in New Zealand with more than 70 concerts and events throughout the year. At the core of our work, are self-presented concerts with a broad range of performances including both classical and contemporary concerts, new music premieres and artistic collaborations.

Equally important is our community and outreach work. Through our Connecting Department, APO presents the largest orchestral education and outreach programme in New Zealand which benefits, on average, more than 25,000 Aucklanders. The programme operates throughout Auckland, with an emphasis on South Auckland.

The viewership of APO’s livestreams has continued to expand over the last 12 months, growing from around 130,000 (July 2017-June 2018) to well over 240,000 (July 2018-June 2019).

As detailed in the Auckland Plan the APO, as one of Auckland’s leading arts organisations, helps the Council deliver key values by:

- Supporting a range of arts and cultural activities that reflect Auckland’s diversity.
- Providing a range of arts, cultural and heritage experiences that all Aucklanders can enjoy.
- Integrating arts and culture as part of everyday lives.
- Providing innovative and flexible options to meet the changing lifestyles of all Aucklanders including programmes for older people and disabled people.
- Continuing to build the sectors capability to deliver quality recreation and sport experiences.

With the fast pace of change in the Auckland demographic, a key challenge for an orchestra is to maintain relevance. APO reviews its programme of offering annually and makes changes to adapt to Auckland’s increasing diversity.

The variety of APO’s offering across targeted age groups, demographics and physical locations helps us to meet this challenge. The introduction of specific family focused events in 2018-2019 has made a solid start in this area although we are aware that we need to continue to gain depth of understanding of this market for maximum impact. We will be continuing this in 2020-2021.

Major education, community and outreach programmes APO Connecting will run in 2020-2021 are shown below.
The APO will continue to present a diverse offering centred at the Town Hall in the key arts precinct. Concerts and activities include:

- Main concert series (over 40 concerts presented annually)
- Opera in Concert
- One-off special concert presentations e.g. Star Man in Aotea Centre, Pesel Fasil Pūorūl Music is for everyone at Vodafone Events Centre
- Three free Community Classics concerts staged in Central, South and West Auckland
- APO 4 Kids
- Open Rehearsals for APO supporters
- Young Achiever performances around Auckland in a variety of different events and venues.

The APO will present our diverse offering at the Vodafone Events Centre in Manukau. Concerts and activities include:

- Kiwi Kapers concert for primary and intermediate schools
- Wairua Harikoa concert for pre-school aged children
- Play in South, opportunity for music students to rehearse and perform with the APO
- Pesel Fasil Pūorūl Music is for everyone at Vodafone Events Centre
- Community Classics, free concert for the local community.

The APO will continue to support and perform as part of:

- New Zealand International Film Festival (in Auckland)
- Auckland Arts Festival
- Michael Hill International Violin Competition
• Summer in the Square
• Royal New Zealand Ballet
• New Zealand Opera

In staging its performances, the APO is supporting the provision of regular, large night-out event options in the central city:
• Regular use of transport options
• Exciting hub feel created through large number of people gathered in central city for event
• Provision of regular entertainment options of high calibre for tourists
• Corporate Hospitality options for businesses to host existing and prospective clients as well as staff
• Curation of annual commissioning programme to create new works relevant to this city and its people and stories
• Continue to raise awareness of Auckland as an international city through livestreams of selected concerts.

The APO facilitates opportunities to participate in cultural experiences that nurture a long-term interest in the arts and music:
• Strengthen the offering for Asian communities through performances in high population demographic areas e.g., Takapuna/Bruce Mason Centre and bilingual advertising
• Offer free community concerts around the city for shared family experiences
• Bring young people together and provide access to arts through APO Go scheme
• Strengthen orchestral community via mentoring community orchestras such as Auckland youth Orchestra
• Focus talent in young musicians via the Inspire Partnership Programme and Haydn Staples Piano Scholarship Programme
• Engage Onehunga students in the Can you be a Conductor leadership programme
• Host annual summer school programme for young musicians aged 12-17 years old
• Produce Play in South. An opportunity for music students based in South Auckland to rehearse and perform with the APO
• Invite Sistema Aotearoa orchestras to perform alongside APO at Pesel Fasi Fūorūl

Specific initiatives directed at Māori identity and wellbeing include:
Te Rāngai Puoro Tuatini o Tāmaki Makaurau, Auckland Philharmonia Orchestra recognises the uniqueness of Tāmaki Makaurau founded on te Tiriti o Waitangi and shaped over generations by mana whenua and mātāwaka. As a leading arts organisation within Tāmaki Makaurau the APO is committed to embracing Māori culture and identity where appropriate and seeks opportunities to advance our engagement with te ao Māori across all aspects of the organisation.

We hold strong values of manaakitanga and this is reflected in our whakatauki: ‘KO TE RĀNGAI PUORU TUAUTINI O TĀMARIKI MAKARAU I HAO TE ORU KI TĀMARIKI WĀHŪKI, ME TE WHAKAAHA I TE MANAWAORA MŌ TE RĀNGAI PUORU.’ (Auckland Philharmonia Orchestra is the musical heart of Auckland and its communities and inspires a lifelong love of orchestral music.)
The APO will be looking to:

- Partner with TKKM schools
- Work with social intervention groups such as VOYCE to provide opportunity for tamariki to attend APO events and concerts
- Take opportunities, where appropriate, to celebrate Māori identity and culture with tamariki and rangatahi through APO performances
- Ongoing opportunities for whānau Māori to attend specific APO events such as APO 4 Kids, and Wairua Harikoa
- Provide opportunities for tamariki and rangatahi to perform to their own communities and whānau such as Play in South, Big Play in and Pese Fasi Puoru
- Working with Māori artists across mainstage and Connecting programmes
- Partnering with TKKM schools on the APOPS programme
- Grow capacity within the APO of staff with basic knowledge of te reo Māori
- Seek to advance our use of te reo Māori in appropriate APO offerings such as preconcert announcements, te reo Māori within newly commissioned works and te reo Māori in relevant marketing collateral
- Provide education resources in te reo Māori for selected APO Connecting events.

The APO is looking to implement a new operating model similar to that used by full-time professional orchestras around the world. This is a staged piece of work that the APO board, management and players will be working on over the next 12-24 months. The implementation of a new model necessitates a higher level of grant as noted in the increased proposed grant for 2020-2021 and the conditions detailed on pages 10-11.

The APO has an extensive programme of activity scheduled for 2020 and 2021. Full details of these are available from the APO and in its 2020 programme document.
Attachment A

Auckland Rescue Helicopter Trust

The purpose of the Auckland Rescue Helicopter Trust (ARHT) is to deliver a fully integrated Helicopter Emergency Medical Service (HEMS) providing air ambulance, search & rescue and emergency medical transfer services for the diverse communities of Auckland and the Coromandel regions.

ARHT performs a vital role in the NZ emergency response service, receiving taskings via the 111 system via St John, and search and rescue taskings via NZ Police, Coastguard or the Rescue Coordination Centre (RCCNZ). Our service may also be tasked to retrieve patients from hospitals outside our regions and bring them into the Auckland or Starship hospitals for specialist care and treatment.

Our service is on standby and available 24/7/365.

The services we offer are:

- Emergency air ambulance transport for accident or medical emergencies
- Specialist hospital transfer services for PICU/NICU/ECMO patients
- Emergency specialist doctors on board most flights
- Intensive Care Paramedics on board all flights
- Rapid Sequence Intubation
- Blood transfusion
- Ultrasound
- Sedation and pain management via specialist medications
- Highly skilled winch operations, including land and sea/boat winching
- A fully equipped Rapid Response road vehicle (RRV) taking our highly skilled emergency clinical crew to support road ambulance call outs in inner city Auckland suburbs.

ARHT provides a world class Helicopter Emergency Medical Service for the benefit of all residents and visitors to the region. Residents and visitors can be confident that they will receive the best care possible in their hour of greatest need. Our service is available to anyone, anywhere, any time.

Following the termination of ARHT’s lease of Ports of Auckland land at Mechanics Bay, ARHT has taken a lease over a facility at Ardmore Airport and invested heavily in developing a base capable of handling two new world leading rescue helicopters and the crewing and support functions that these require. The new base became operational in July 2019 and in late 2019 the installation of a Helicopter Simulator costing circa $1.5 million will also come on-stream. The two new AW169 helicopters are at the leading edge of clinical capability worldwide. This significant investment of $30 million by the Trust was funded by 80% equity built up by many years of fundraising (including an Auckland Council grant of $900,000) and only 20% debt. These helicopters future proof ARHT for the next 25 to 30 years.
We recently appointed Dr Lance O’Sullivan, a well-known advocate for improved Māori health, social and housing outcomes to our Board. The nature of our work means we respond to need as tasked by the emergency authorities, so no prioritisation of ethnic “groups” is appropriate. We do however have Māori staff members and our clinical teams are well-trained in appropriate cultural responses to the needs of ethnic communities. We have also commissioned a research project by a Māori medical student to explore whether our service is meeting the cultural needs of Māori and if not, what steps need to be taken to ensure ARHT’s cultural competency.

As an emergency response service, we are committed to working with our colleagues in Coastguard, NZ Police, search and rescue and water safety to provide a coordinated response to emergency incidents when needed. We create opportunities to train together, sharing knowledge and expertise so that we can provide the best possible care and assistance to a person in need. We assist with development and delivery of education and training programmes. We assist people from across the Auckland region without any consideration for their race, their financial circumstances, their contribution to their individual situation or any other reason. Our crew and staff further engage with our communities in several ways, including visits to schools, engaging the community in fundraising and helicopter-related physical challenges (e.g. chopper challenge, a community based physical health programme).
Auckland Theatre Company Limited

Auckland Theatre Company’s (ATC) strategic purpose is to tell big hearted stories that matter to the people of Tāmaki Makaurau. For us, big hearted stories mean stories with universal or engaging themes, which embrace or explore the human condition. They may be celebratory, entertaining or provoking — but above all else they will be imaginative and theatrical in their presentation.

ATC is recognised as a thriving contemporary theatre company and cultural powerhouse by audiences, artists, stakeholders and the public. Our brand is trusted and loved and associated with high quality productions and theatre going experiences. We are a leading voice for keeping the cultural flame alive and invest time and resources in supporting the broader sustainability of the arts sector in Auckland.

ATC engages the most exciting theatre artists to create high quality work. We create works of scale and ambition. We present diversity on stage, not just ethnically or culturally specific work but across the whole range of work produced. We are a place where the work and experiences of young people are valued. The principles of our Māori partnership strategy are embedded within the culture of the organisation and the processes by which we make and deliver theatre.

ATC adds value to the experience of coming to the ASB Waterfront Theatre; having instilled the meaning of manaakitanga into our engagement with audiences, clients and the communities we serve. The ASB Waterfront Theatre is accessible to people from all walks of life. Outstanding customer service is at the heart of all interactions at the ASB Waterfront Theatre.

ATC is an artistically led, audience-focused company. We:

1. Present a mainbill subscription season of seven works that underpins the ASB Waterfront Theatre programme. The works are a range of entertaining blockbusters, thought provoking new works, works that explore the diversity of Auckland’s cultures, and everything in between.

2. Work with theatre companies to co-produce works for Auckland’s diverse audiences. These works support the hard and soft arts infrastructure of Auckland as well as contribute to the growth of both companies involved in the partnership.

3. Deliver a comprehensive Creative Learning and Youth Arts programme of arts learning experiences by, with and for young people aged 5 - 25. The programme contributes to the broader development of the arts sector and infrastructure delivering experiences through primary, secondary and tertiary institutions, in the community, and in other settings. The programme creates opportunities and encourages creative thinking.

4. Deliver a year-round programme of high-quality performance and cultural events at ASB Waterfront Theatre. The programme includes theatre works of scale and ambition produced by ATC and works from a diverse range of arts genres presented by our arts partners.
5. Deliver an Open House programme to support the development of independent theatre through the provision of theatre making resources and supporting community engagement via access to complementary and participatory activities.

6. Deliver a New Works programme that supports emerging and established writers. The programme brings new New Zealand stories to the ATC mainstage and other smaller venues across Auckland, most notably the Basement Theatre.

7. Through our Arts Partner Programme offer a balanced programme of performing arts opportunities for audiences across Auckland, supporting the growth and sustainability of a diverse range of arts companies and community organisations.

8. ASB Waterfront Theatre is also our home for MICE and commercial performing arts activity. The venue has a well-deserved reputation for outstanding quality and service.

In 2017, ATC developed a Māori Engagement Strategy. The Strategy informs our strategic planning, programming and venue operations. The strategy was developed using Te Whare Tapa Whā model developed by Sir Mason Durie in 1982.

ATC acknowledges the unique richness Mana Whenua a Tāmaki Makaurau and Māori Whānui contribute to the arts, particularly the performing arts. ATC had developed a Māori Partnership Strategy and has adapted the Te Whare Tapa Whā model to inform out programming and decision making and measure our progress. While ATC is not a Māori theatre company, we are a New Zealand theatre company and as such Māori identity and culture are an important part of our fabric. ATC seeks to regularly engage with Nga Mana Whenua a Tāmaki Makaurau and Mātāvaka.

ATCs Māori Engagement goals are:

- Whakatau
  - Taha Tinana: we welcome visiting companies and productions to our Balmoral studios and ASB Waterfront Theatre. Tū kōtahitanga, Rangatiratanga, Manaakitanga. ATC staff support and lead whakatau through karanga, korero, waiata and kai.
  - Taha Heningaro: through these processes te reo Māori and tikanga Māori are understood by and lived experiences for ATC whānau.
  - Taha Wairua: supports the ATC value of manaakitanga and reminds ATC of Mana Whenua input to the ASB Waterfront Theatre. Mana Whenua have an open invitation to attend and perform whakatau of significance.
  - Taha Whānau: ATC recognises the Māori view of living theatre and we ensure staff feel safe and comfortable to participate.

- Mana Whenua Cultural Advisor
  - Taha Tinana: promote engagement with Mana Whenua communities of Tāmaki Makaurau, uphold manaakitanga, support theatre works with Māori content or contexts, support induction of new ATC staff.
  - Taha Heningaro: ensure te reo Māori and tikanga concepts and contexts are explained with clarity and māramatanga.
  - Taha Wairua: sustain ATC mana motuhake — unique characteristics are explained with clarity and māramatanga.
  - Taha Wairua: sustain ATC mana motuhake — unique characteristics represent ATC.
  - Taha Whānau: Ensure te ahi kā is tended and cared for. Invite Mana Whenua to key opening night events and other events of significance held at ASB Waterfront Theatre.
• Te Pou Whakamaumāharata nga mo Māui Tikiti a Tāranga, commissioned work by Dr Robert Janke (Ngāti Porou) located in Logan Campbell Courtyard, ASB Waterfront Theatre.
  o Taha Tinana: ATC adopts Māui as the iconic figure to celebrate the art of transformative theatre. Weather permitting, all whakatau are held at the Pou. A visual and vocal point of difference to ATC and Māori partnership and Tiakitanga.
  o Taha Heningaro: reflecting the pou; a compilation of whakatau are developed for ATC use.
  o Taha Wairua: ATC maintains its history and relationships to the arts sector and visiting artists by referencing the narratives and significance of the pou.
  o Taha Whānau: ATC is always improving and creating new affiliations.

• Whakaaturanga / Akoranga
  o Taha Tinana: collaborations with presenting partners.
  o Taha Heningaro: annual New Works programme strand.
  o Taha Wairua: writers development programmer presentation of works and supporting the development of Māori narratives of Tāmaki Makaurau.
  o Taha Whānau: outreach programme for students and teachers, collaborations for delivering new works.

ATC continues to make progress against our Māori Engagement Strategy initiatives. Inclusion of Māori voices in our mainstage programme is now embedded.

The ATC has an extensive programme of activity scheduled for 2020 and 2021. Full details of these are available from the ATC and in its 2020 programme document.
Coastguard Northern Region Incorporated

Coastguard Northern Region’s (CNR) strategic objectives are to:

1. Provide a Coastguard emergency response to 90% of the popular recreational boating areas within the area of operation, with a rescue vessel on scene within 60 mins of activation 24 hours a day, 365 days a week in up to Force 7 weather conditions;

2. Provide, monitor and manage VHF radio coverage to 95% of our area of operation, giving all vessels with appropriate installations clear radio communications to Coastguard.

3. To provide boating education courses and training that enables boat users to make the most of the marine environment safely and with confidence.

CNR provides search and rescue (SAR) capability through its 22 Rescue Vessel units, 2 Air Patrols and a dedicated communications team. These assets are co-ordinated from CNR’s Operations Centre at Mechanics Bay, Auckland. Communications are provided through the provision of a Coastguard owned VHF and UHF communications network across the region that enables incident management coordination and boating safety services such as weather forecasting and Nowcasting information and trip and bar crossing services. In addition to these operational services, CNR delivers volunteer training services to the region’s approximately 1,000 volunteers, to its members and the general public.

Coastguard provides these rescue services predominantly using a volunteer workforce who give their time for no financial reward.

Coastguard contributes to the recreational enjoyment and commercial maximisation of Auckland Region’s marine environment through the provision of education, communications services and when necessary SAR service provision.

Through its presence on the water and actions off the water, Coastguard engenders Auckland’s communities with the skills and confidence to fish, motor, sail, and paddle on our region’s waterways. Coastguard’s support of recreational activities and its safeguarding of commercial activities such as charter-fishing directly contributes to the aim of being ‘the World’s Most Liveable City’ and supports Auckland’s tourist economy.

We are pleased to report that CNR has recently been granted $20,000 financial support by Foundation North to support activity aimed at improving Coastguard and Māori engagement. Coastguard has commenced discovery work with Iwi in Auckland and Northland and is working with emergency service and volunteer partners to transfer learning and experience to Coastguard. In the 12 months ahead
CNR aims to develop a Diversity and Inclusivity Strategy that will identify activities to increase engagement and accessibility and to increase the number of Māori employed as staff or volunteers.

Coastguard Northern Region is a federation of community-based organisations located at all points of the compass across the Auckland Region. Our volunteers and members come from all walks of life and diverse ethnic backgrounds and serve the communities they are based in.

In addition to our community-based units, Coastguard Northern Region’s engages the region’s communities through public education and safety awareness events. CNR has actively sought to make its safety messages and the upskilling of boat users more accessible through innovations such as the “Women Suddenly in Charge” course and its “Raising The Bar” (RTB) in-community programme, delivering events through a mixture of in-community and centrally delivered locations in the 2019-2020 period.

In addition to adult education, CNR is a strong supporter of children’s education, delivering a range of courses, such as “Day Skipper” to school groups and through holiday programmes.

CNR intends to continue to provide the full range of services summarised above, regularly reviewing attendance and outcomes to ensure that they provide value to the community and meet Coastguard and Auckland Plan objectives.

Coastguard is currently consulting on a proposal to merge the four Coastguard Regions and Coastguard New Zealand in order to increase organisational efficiency and effectiveness. This proposal is supported by the Board of CNR and by external stakeholders such as NZSAR. Implementation of the proposal is expected to improve the governance and management of the collective organisations and the new organisation’s ability to deliver necessary change initiatives considered essential for the ongoing delivery of the organisation’s Mission.

Consultation for the proposal will run until February 2020 and subject to approval by vote at a Special General Meeting in March, implementation of the proposal is planned for July 2020.
Drowning Prevention Auckland’s (DPA) mission is defined as “A water safe Auckland free from drowning” with a clearly stated vision of “preventing drowning through education”.

The aims and objectives for which DPA is established, are to provide strong regional coordination and to promote and advance water safety education in the interests and development of, and to benefit the people of the Auckland region.

**Strategic Objectives**

1. Leadership: To provide effective drowning prevention leadership
2. Research and Development: To provide leading-edge knowledge to inform evidenced based best practice
3. Education: To enable best practice water safety education
4. Advocacy: To champion water safety education and drowning prevention
5. Sustainability: To be a future proofed organisation.

**Drowning Prevention Auckland provides drowning prevention education through five areas of focus:**

1. Community Programmes, Advocacy and Education (Water safety programmes and lifejacket hubs for individuals, community-groups and families).
2. Formal and Informal Education Sector (Resources and professional learning and development for teachers working in early childhood through to tertiary institutions; access to learning water competence for students, teachers, our stakeholders, the community and workplace through our e-learning platform).
3. Research and Development (Developing and disseminating knowledge and expertise through research and evaluation to provide evidence for educational direction and for the development of new resources).
4. Workplace (Water competence development for employees specific to their work environment and general water safety education for workers who also engage in aquatic recreation together). Lifeguard training for those interested in a career in the aquatics space.
5. Marketing and Communications (Water safety awareness and advocacy via traditional and ethnic media, social media, website and outgoing communication activities. This includes coordination and collaboration on regional and national sector campaigns, leading to improved coordination of messaging and capacity of the sector, and participation in events enabling engagement with communities at a local level).
In 2020-2021 DPA plans the following new operational projects:

- **Emergency Care Training (Previously named Red Zone Training):**
  We offer real time emergency training for existing lifeguards and aquatic facility staff. Lifeguards are put into scenario situations using a submersible mannequin that will simulate a real-life emergency. A typical scenario will involve DPA team members hiding the mannequin somewhere within the facility whilst the team members involved in the training are hidden in a room. The team members will then be taken outside and made to run 600-800m to raise their heart rates before being let into the facility to find the “victim”. Highly qualified DPA team members then follow the facility team members through the scenario and detail the response for a comprehensive feedback session after the scenario is finished. We are now training at both Auckland Council and Belgravia Leisure operated facilities. This programme is self-sufficient; with all costs being covered by the participants.

- **Online Shop:** Providing aquatic safety equipment that supports the programmes we offer (lifejackets, throw rope, rescue tube, first aid kit). We make a small profit from the sale of these items which goes towards our non-council grant sourced income.

- **Auckland Plan:** In conjunction with Water Safety New Zealand (WSNZ); we are a part of a collaborative approach to provide education to Aucklanders to reduce the drowning toll. For instance; the Aspire2 polytechnic international students receive a presentation from Surf Life Saving Northern Region and Drowning Prevention Auckland. Within 48 hours of landing in Auckland; they attend a workshop that educates them to be safe in, on and around water environments. This initiative is primarily funded by WSNZ.

Drowning Prevention Auckland has an overall objective to respect and develop the special relationship with Māori under Te Tiriti o Waitangi. We have developed Māori Water Safety Matapono (Guiding Principles) which includes four pou (pillars) as follows:

1. **Kaitiakitanga Pou/Guardianship Pillar**
   “We will work with Whānau to ensure sustainability of Whenua, Moana and resources for future generations”
   a) Ownership of resources and environment
   b) Taking care of resources Tangata/Whenua/Moana
      - working with local iwi and marae to establish their needs and adjust our programmes to suit
      - developing local champions to in turn educate their whānau with the ultimate goal of the group being self-sufficient.

2. ** Manaakitanga Pou**
   “We will model best practice to educate whānau to be safe in, on and around water”
   a) Caring for others/Caring for health and wellbeing, providing education underpinned by a commitment to safety of self and others.
3. **Whanaungatanga Pou/Relationship Building Pillar**

“We will build sustainable and strong partnerships within Tāmaki Makaurau. Our goal is for every family in Tāmaki Makaurau to be water competent and responsible in, on and around water.”

a) Forming relationships in Tāmaki Makaurau
   - developing relationships and networks through our current practices
   - families working together – Own identified strategies

b) Innovative research proven programmes:
   - working with other Marae, Services and Community.

4. **Rangatiratanga Pou/Leadership Pillar**

“We will promote our cultural heritage and recognise our bi-cultural nation in all aspects of our work”

a) Self-determination – Whānau and Community determining what type of support is needed
   - demonstrating Te Tiriti o Waitangi within our practice

b) Culturally appropriate strategies
   - learning our Pepeha, karakia, simple mihi/greetings and marae tikanga and protocol. Our continually upskilling of the team. Each having clear understanding of Te Tiriti o Waitangi.

“We will reach out to Hapu, Iwi and Whānau in decision making. We will encourage Whānau to take the lead”

b) Whānau being the leaders of change
   - working with Hapu, Iwi and Whānau to identify and develop leaders through education
   - developing intergenerational sustainable leadership for whānau.

We are delivering on this matapono throughout the organisation from the board to all staff members by practicing and learning te reo Māori and living Te Tiriti o Waitangi. External examples are the inclusion of Te Reo on our eLearning platform; Kai Moana Gathering workshops; Waka Ama safety workshops; Wai Wise and Wai Turama programmes.

Drowning Prevention Auckland engages with the communities we serve through dedicated Māori, Pasifika, Asian and New Settler aquatic educators. They deliver key water safety education to all ethnicities including socio-economic and age ranges within Auckland’s communities.

In addition to this we provide water safety activities and education at community events across the region to more effectively engage with local and diverse communities across the rapidly changing face of Auckland. We are also continually updating our resources into other language formats.
New Zealand Opera Limited

New Zealand Opera delivers an extensive programme of mainscale (Aotea Centre, ASB Waterfront Theatre and Auckland Town Hall) and site-specific opera productions, professional development, schools and community outreach programmes and new work development.

In 2020-2021 we plan to present a mainscale site-specific opera project at the Holy Trinity Cathedral supported by our own Baroque orchestra; performances of a new work Star Navigator by Tim Finn in South Auckland and central Auckland; a mainscale collaboration with Black Grace Contemporary Dance (ASB Waterfront Theatre); an opera in concert in partnership with the Auckland Philharmonia Orchestra; and a new opera writing programme that seeks to find new voices in Aotearoa.

Supporting this is an extensive community, education and outreach programme that offers Aucklanders a range of opportunities to experience and participate in opera including: an opera in schools tour; free community performances; student workshops; free tickets for young Aucklanders; low-price tickets for school children to attend dress rehearsals; free pre-performance talks and a substantial ambassador and internship programme.

We are committed to nurturing the creative ecosystem of Auckland and provide employment opportunities for Aucklanders to create work in New Zealand, employment for technical staff and the Freemasons New Zealand Opera Chorus and a comprehensive programme for nurturing young and emerging artists and creatives, through our Artist Development Programme.

Our Purpose
Enriching the cultural life of Aotearoa through an experience of opera that connects, engages and inspires reflection on our human condition.

Our Ambition
Leading opera from Aotearoa in a way that reimagines the art form; embraces the cultural and social identities of our diverse communities; and ensures a vibrant and sustainable presence for opera in New Zealand.

Key Strategies
1: Leading opera from Aotearoa
   Collaborating with the arts community to co-create distinctive, innovative and exciting programmes that embrace the diversity and richness of our culture, build an international presence, and reimagine the experience of opera in New Zealand.

2: Enabling and encouraging participation
   Creating more opportunities for our socially and culturally diverse communities to experience opera in a way that empowers reflection on our human condition.
3: Building resilience and assuring sustainability

Strengthening our fiscal capacity and developing our organisational capacity and capability to enable and sustain a strong national and regional presence for opera in Aotearoa.

New Zealand Opera is a key professional pillar in the cultural platform that facilitates Auckland’s growing reputation as a culturally rich and creative city. Grant funding ensures we are able to make Auckland the hub of the company, produce work here by and for Aucklanders that enables us to tell our stories and contributes hugely to sustaining a skilled creative and technical workforce in the city, nourish the wider arts ecosystem, and contribute to making Auckland a vibrant place to live in and visit. Our new Strategic Agenda places great emphasis on enabling and encouraging participation by extending our reach and engagement in the city, connecting people with programmes in the area of wellbeing and embracing diversity.

New Zealand Opera is committed to connecting with existing and new audiences through its excellent participation programmes in the areas of education and community outreach. We continue to extend our initiatives alongside careful consideration of our programming and respond to the opportunities that each production presents.

We aim to retain successful initiatives through sustainable programmes while expanding and diversifying our reach through collaborations that resonate with Auckland residents and audiences previously unknown to us.

A variety of community engagement and education programmes and initiatives are planned for 2020-2021, including:

<table>
<thead>
<tr>
<th>Lullaby Project</th>
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<tr>
<td>Opera in Schools tours</td>
<td>Accessibility Touch Tour and Studio Described Performance</td>
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<td>‘Pop-Up’ Chorus for retirees</td>
<td>Pick and Mix Programme</td>
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<td>School attendance at dress rehearsals</td>
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<td>Design creative workshops</td>
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<td>Opera masterclasses</td>
<td>Student Stage management intern</td>
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<tr>
<td>Community Engagement Talks</td>
<td>Community engagement tours / visits</td>
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<td></td>
<td>‘Little Singer’ workshop and concert with APO</td>
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A thriving entity is Auckland’s point of difference in the world that advances prosperity for Māori and benefits all Aucklanders.

New Zealand Opera is committed to broadening its relationship with Te Ao Māori and tikanga and aims to ensure that Tangata Whenua are represented on stage and in NZ Opera activities. The power of the voice is something that connects us all, and through diversity we can reflect our unique position in the world, in the process discovering what this can contribute to the art form of opera.

Collaborations, commissions and a strategic organisational approach to this area starting with the development of a Māori Engagement Strategy, focusing on partnership with tangata whenua and
integration of Māori voices and decision-making within the programming and leadership of the organisation.

New Zealand Opera will celebrate Māori culture and support te reo Māori to flourish by:
- developing a programme of Māori local and regional events and activities throughout the year.
- providing more partnership opportunities across public and private sector with mana whenua and mātāwaka to advance Auckland-specific cultural events and initiatives.
- seek opportunities to develop and utilise the skillsets of rangatahi in the development and promotion of Māori arts, performance and te reo Māori.

New Zealand Opera is interested in partnering with Māori arts organisations to develop unique projects that explore the power of the human voice and performance to effect change.

New Zealand Opera is developing a Māori Engagement Strategy, focusing on partnership with tangata whenua and integration of Māori voices and decision-making within the programming and leadership of the organisation, starting with the contracting of a Māori cultural advisor in 2020 to expand this approach throughout the organisation and its work and communicating this to all stakeholders.

The new Strategic Agenda outlines the following measures and targets developed in relation to outcomes for Māori:
- The appointment of a Māori advisor to support NZ Māori welcome (pōwhiri) implemented for all major opera performances.
- Increase Māori artists and arts workers representation in New Zealand Opera activities and productions.
- Kaupapa defined.
- Mihi devised and initiated.
- Waiata composed and activated.

The Voices of Aotearoa 6:24 is a new opera writing project that will reflect the diversity of our culture and will include participation and representation from Māori artists.

Under its new Diversity Action Plan the company will identify targets for Māori artists and arts workers to be represented in New Zealand Opera activities, and address barriers that currently may exist for Māori singers to join the Chorus.

Other projects (such as 6:24) will seek to find new voices for opera connected to Māori musical and cultural heritage.

New Zealand Opera has an extensive programme of activity scheduled for 2020 and 2021. Full details of these are available from New Zealand Opera and in its 2020 programme document.
Stardome - Auckland Observatory and Planetarium Trust

Stardome’s Purpose:
Stardome is a planetarium and observatory and its main purpose is to share knowledge of space and the universe with the public of Auckland.

Its strategic objectives are:

Customer Experience:
- Provide a superior, entertaining and educational visitor experience by utilising our knowledge, displays and interactive experiences to engage our customers. We will make our facility as available as we can to the people of Auckland, constantly working to maintain and develop the facility as a leading Auckland icon.

Sustainability:
- Ensure the ongoing sustainability of the organisation.

Culture:
- To encourage a culture within the organisation based on a high degree of ethics. To foster leadership, professional attitudes and pride amongst the Stardome team, encouraging creativity, new ideas and innovation.

Research:
- Ensure that Stardome continue to be a leader in astronomical research in New Zealand and a valuable contributor to international research. The Stardome research strategy centres around three key elements; facilities, people and collaboration.

Stardome operates primarily from an observatory and planetarium located in One Tree Hill Domain. However, we have recently started an outreach programme under which our educators visit schools in the Auckland region.

Stardome operates a range of services including several education programmes for schools, preschools and tertiary students, public shows for general visitors and telescope viewing for all groups.

Stardome volunteers also carry out astronomical research in collaboration with international research partners.

Stardome is an integral part of the mix of cultural institutions in the city. Most major cities around the world have a planetarium as part of their city's cultural landscape, Auckland is particularly fortunate in having both a state-of-the-art planetarium and an observatory where members of the public can view our night sky.
Education is an integral part of Stardome’s operation and over 60% of our visitors are children, most of whom come with their schools as part of their science curriculum. The low decile and southern initiative programmes have made our education programmes available to a wider range of “in need” and “at risk children”.

Stardome recognises the importance of a thriving Māori identity for our organisation. As such we have partnered with the Tūpuna Maunga o Tāmaki Makaurau Authority (Maunga Authority) to assist us in our drive to provide an authentic experience to our visitors whilst being mindful of our Kaitiakitanga role.

We are hopeful that Stardome will be gifted a Māori name in 2020-2021, this will allow our organisation to highlight the importance of our culture through this significant event. There is a drive within our organisation to increase the level of te reo Māori spoken and seen throughout the facility. We have partnered with the Auckland War Memorial Museum to take part in their Māori immersion programme.

There are several outcomes that we are working to achieve which will promote a thriving Māori identity they are:

1. Work with the Maunga Authority and Iwi to extend our reach to Māori in and authentic and meaningful way.
2. Work with our unique location on Maungakiekie to connect with the Tupuna Maunga.
3. Ensure the stories of the people and the land are present and visible in our offering.
4. Enable manu whenua stories and narrative to be reflected through our programming, exhibits and signage.
5. Inspire all people of Tāmaki Makaurau to use our facility and learn about the wonders of space.
6. Thread the Treaty of Waitangi through our learning, with an understanding of its importance.

During 2020-2021 we hope to launch our Stardome Pop-ups which is an effort to access visitors that have not had the opportunity to visit us on site. Our rolling exhibition schedule is also intended to include members of various communities depending on the theme e.g. Chinese New Year, Matariki, Women in Science, Māori Astronomy, Pacific Navigation.

A focus area for our organisation is the development of local content that can be shown in our planetarium. These shows are intended to be collaborative projects which reach out to the wider community to assist in their production through storytelling.

We will continue to make the facility as accessible as possible through:

- Continuation of the low decile and southern initiative scheme
- Open evenings in line with astronomical events
- Open days for families
- Consistent and affordable pricing
- Community sessions.
Surf Life Saving Northern Region Incorporated

Surf Life Saving Northern Region (SLSNR) is the lead provider of lifesaving services, coastal aquatic rescue, and beach education services in the region from Raglan to Kaitaia. 10 of our 17 surf lifesaving clubs reside in and service the Auckland region, making up 80% of our Northern Region output and 40% of national lifesaving outputs. While this application is for the service provided by Auckland region clubs, for context we have also provided a holistic view of SLSNR activities and costs.

Our purpose is to ensure the communities in our region can enjoy our beaches safely by preventing drowning and injury on our beaches and coastlines through the provision of lifesaving services and public education. In the Auckland region, there are over 1,500 dedicated lifeguards patrolling 14 beach locations as part of our on-going mission to keep the hundreds of thousands of beachgoers safe every year.

Our strategic objectives are:
1. To grow stronger clubs
2. Deliver lifesaving services
3. Increase operational capability
4. Provide accessible Community Education
5. To be a lead provider of sport and recreation outcomes
6. Increasing sector development and financial support
7. Providing opportunities for everyone.

Auckland is a region with an extensive and often dangerous coastline. The safe enjoyment of our beaches and coastline are an imperative.

Throughout the region SLSNR provides comprehensive lifeguard services, a 24 hr/7 day a week call out capability, search and rescue services and public education programmes. The work we do in drowning and injury prevention is instrumental in making our beaches a safe place for the wider community to enjoy and encourages participation in sport and exercise.

Our surf lifesaving clubs provide opportunities for thousands of volunteers to engage in personal development and to enjoy the enrichment of giving back to their community.

SLSNR’s role is to lead, coordinate, support and develop the services of our volunteer surf lifesaving entities in the area of beach safety, patrolling and patrol management, search and rescue, public education and sporting activities.

All our services are targeted at the reduction of drowning and injury on our beaches and coastlines. We achieve this in the Auckland region by:
• Providing dedicated support and best practice tools for our member clubs to ensure sustainable growth, management of volunteers and to provide the resources necessary to deliver a safe and compliant lifeguard service.

• A dedicated full-time lifesaving delivery model, ranging from:
  o Patrolling services - delivered at fourteen locations.
  o Event safety services
  o Emergency response

• Community education programmes are provided on the beach, and in classrooms for school-age children, particularly low decile schools. Delivered at patrolled and unpatrolled locations and urban environments they provide access for the wider community.

• The provision of a volunteer-run sport and recreation programme, from junior surf carnivals to world-class high-performance events and competitions allow thousands in our community to partake in sport and recreation. These activities have proven to be instrumental in attracting and retaining members and encouraging younger members to progress to be qualified lifeguards.

Increasing Demands
We are continuing to see growth in demand for lifeguards to respond to rescues and searches at unpatrolled locations and lifeguards are frequently being expected to respond to emergencies outside patrol times – during the working day, evenings and nights. These numbers are doubling each year. This places two major demands on SLSNR:

• The need to extend the traditional lifesaving services we provide:
  o at an increasing number of beaches (beaches not currently patrolled); and
  o for longer hours and more days of the year at our currently patrolled beaches.

• Providing an expanded scope of response from our lifeguards. Police, other agencies and the community continue to view Surf Life Saving as the primary responder to coastal emergencies including nearshore boating incidents, support for air ambulances, on the beach or near beach vehicle accidents, coastline and ocean search and rescue, land-based search and rescue and local medical emergencies. This is experienced most significantly on the West Coast where we are routinely expected to travel significant distances to critical incidents outside of Surf Life Saving’s traditional area.

All of Auckland’s diverse population has access to the services we provide. Surf Life Saving Northern Region’s Clubs embrace and encourage all ethnicities to join as members. Our membership continues to be representative of the community we serve.

Surf Life Saving incorporates holistic cultural safety and welfare measures such as Rahui into its patrol operations and delivery. SLSNR is currently engaging with Māori Land Trusts in possession of coastal lands to further support them in delivering coastal water safety outcomes and building resilience.

Community Education programmes teaching beach safety to children are particularly targeted at demographics with high Māori populations with funding specifically sought for low decile schools that might not otherwise be able to attend these courses.
SLSNR continues to target its education programmes across all schools and seeks funding to allow more at-risk groups within the Auckland area to attend programmes. We continually work to reach new areas and audiences and bring our educational opportunities to where it is most needed. Additionally, SLSNR runs pilot programmes with Drowning Prevention Auckland to target new immigrants to Auckland.
Submissions Process for the 2020-2021 Funding Plan

The Auckland Regional Amenities Funding Board published the Draft 2020-2021 Funding Plan on 10 January 2020. Submissions on the plan closed at 5pm on 10 February 2020.

Sixteen written submissions were received, along with requests for three oral submissions to be presented to the Funding Board. Orals submissions were heard on 18 February 2020.

The submissions related to the main themes of:

a. Requests for additional funding in 2020-2021.

b. General support for the Funding Plan as published.

c. Specific support for the increased allocation of funding to the Auckland Philharmonia Orchestra.

d. A request for a review of the specified amenities and whether additional organisations can be added or substituted as specified amenities.

e. A request to review the administration and honorarium costs of the Funding Board.

The Funding Board subsequently undertook extensive discussion on the sixteen written and three oral submissions received and gave due consideration to the issues raised within each of the submissions.

The Funding Board:

- Noted the feedback from submitters regarding the benefits funding has brought to the nine Specified Amenities and Auckland overall.

- Declined the request from Auckland Theatre Company Ltd for an additional grant allocation in 2020-2021.

- Approved a one-off /non-recurring additional grant of $150,000 to Auckland Rescue Helicopter Trust. This additional grant is to match additional funding the trust has secured to cover extraordinary costs associated with the introduction and deployment of the newly commissioned rescue helicopters and the opening of the new helicopter facility at Ardmore following the recent move from Mechanics Bay in the Auckland CBD.

- Noted the feedback from submitters regards the benefits of the additional funding provided to the Auckland Philharmonia Orchestra in support of its proposed new ‘Salary Model’ changes.

- Noted that several of the submissions were better referred to Auckland Council as the appropriate agency identified in the Act to action the matters raised by the submitters (e.g. admitting new Specified Amenities).

- Noted that the Administration Budget and honorariums had been reviewed and approved by Auckland Council and no further action will be taken.
# Directory of Specified Amenities and Associated/Related Entities

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<tbody>
<tr>
<td>Auckland Festival Trust</td>
<td>30 June</td>
<td>CC22145</td>
<td><a href="http://www.aaf.co.nz">www.aaf.co.nz</a></td>
</tr>
<tr>
<td>Auckland Philharmonia Trust</td>
<td>31 December</td>
<td>CC23611 &amp; CC23607</td>
<td><a href="http://www.apo.co.nz">www.apo.co.nz</a></td>
</tr>
<tr>
<td>Auckland Rescue Helicopter Trust</td>
<td>30 June</td>
<td>CC21935 &amp; CC46529</td>
<td><a href="http://www.rescuehelicopter.org.nz">www.rescuehelicopter.org.nz</a></td>
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<tr>
<td>Auckland Theatre Company Limited</td>
<td>31 December</td>
<td>CC23655, CC23658, CC48094 &amp;</td>
<td><a href="http://www.atc.co.nz">www.atc.co.nz</a></td>
</tr>
<tr>
<td></td>
<td></td>
<td>CC50332</td>
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</tr>
<tr>
<td>Coastguard Northern Region Incorporated</td>
<td>30 June</td>
<td>CC30031, CC20374</td>
<td><a href="http://www.coastguard.org.nz">www.coastguard.org.nz</a></td>
</tr>
<tr>
<td>Drowning Prevention Auckland - WaterSafe</td>
<td>30 June</td>
<td>CC11454</td>
<td><a href="http://www.dpanz.org.nz">www.dpanz.org.nz</a></td>
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<tr>
<td>Auckland Incorporated</td>
<td></td>
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</tr>
<tr>
<td>New Zealand Opera Limited</td>
<td>31 December</td>
<td>CC22724, CC21944 &amp; CC51542</td>
<td><a href="http://www.nzopera.com">www.nzopera.com</a></td>
</tr>
<tr>
<td>Stardome - Auckland Observatory and</td>
<td>30 June</td>
<td>CC20451</td>
<td><a href="http://www.stardome.org.nz">www.stardome.org.nz</a></td>
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<tr>
<td>Planetarium Trust Board</td>
<td></td>
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<tr>
<td>Surf Life Saving Northern Region</td>
<td>30 June</td>
<td>CC21256, CC23043 &amp; CC53628</td>
<td><a href="http://www.lifesaving.org.nz">www.lifesaving.org.nz</a></td>
</tr>
<tr>
<td>Incorporated</td>
<td></td>
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</tbody>
</table>

All of these organisations are registered with the Department of Internal Affairs – Charities Services (Ngā Rātonga Kaupapa Atawhai) and details for each amenity are available online at www.charities.govt.nz
Directory

Auckland Regional Amenities Funding Board
P O Box 6969
Wellesley Street
Auckland 1141

Email: arafb.info@gmail.com

www.arafb.org.nz

Chair: Anita Killeen

Deputy Chair: Catherine Harland

Directors: Paula Browning
Victoria Carter
Precious Clark
Lyn Lim
Megan McSweeney
Bryan Mogridge
Scott Pearson
Vern Walsh

Advisory Officer: Leigh Redshaw

Bankers: ASB Bank Ltd

Lawyers: Buddle Findlay, Auckland

Auditors: Office of the Auditor-General/Audit New Zealand

Charities Number: CC38181

Legislation: Auckland Regional Amenities Funding Act 2008

March 2020
9 March 2020

Stephen Town
Chief Executive
Auckland Council
Private Bag 02300
Victoria Street West
Auckland 1142

By email: stephen.town@aucklandcouncil.govt.nz

Dear Stephen

Annual levy 2020-2021 – Reduced Levy Requirement

The Auckland Regional Amenities Funding Board recently advised Auckland Council that the levy requirement for 2020-2021 was $16,714,500. This sum included a proposed grant allocation of $824,000 to Coastguard Northern Region Inc.

This afternoon, the President of Coastguard Northern Region Inc. has advised the Funding Board that as a result of an affirmative vote on Saturday 7 March 2020 to merge all coastguard operations with Royal New Zealand Coastguard Inc. with effect from 1 July 2020, it is withdrawing its funding application for 2020-2021 and any subsequent years.

I therefore confirm that the levy requirement for 2020-2021 is reduced by $824,000, and the amended amount is now $15,890,500. This is consistent with the resolutions of the Funding Board at its meeting on 18 February 2020.

Assuming approval is given to the amended levy amount at the Finance and Performance Committee meeting on 19 March 2020, the Funding Board will meet on 28 April 2020 and confirm the grant allocations and ‘fix’ the levy for the lesser amount of $15,890,500.

If you have any queries regards this matter please either contact me directly (+64 21 149 0287), or Leigh Redshaw, Advisory Officer (+64 274 739 187).

I have copied Councillor Desley Simpson and Alastair Cameron as they have been attending to this matter recently.

Yours sincerely

Anita Killeen
Chair
Auckland Regional Amenities Funding Board

Cc: Councillor Desley Simpson
Alastair Cameron – Auckland Council
10 February 2020
Public Submissions: Advisory Officer
Auckland Regional Amenities Funding Board
PO Box 6969, Wellesley Street
Auckland 1141

Auckland Regional Amenities Funding Board Drafting Funding Plan 2020/2021 – Submission

To the Chair, Auckland Regional Amenities Funding Board

Auckland Council would like to make this submission on the 2020/2021 draft funding plan. The
Auckland Regional Amenities Funding Act (the Act) funding process limits Council’s role in approving or rejecting the
proposed levy. When making this decision, council refers to the funding principles contained within the Act.

Therefore, council’s submission is focused on the total draft funding levy, rather than the individual grants proposed to
specific amenities, but notes the specific conditions attached to the provisional allocation of individual grants as
outlined in the Draft Funding Plan.

The funding levy request for the 2020/2021 year $16,764,500, is 8.24% higher than the 2019/2020 year.
Council acknowledges the robust decision-making process that the Funding Board embarks upon before presenting
the annual funding plan, in its role of analysing applications and fairly assigning each funding grant.

Council is also very appreciative of the contribution that each amenity brings to the city making Auckland an exciting
destination to visit, with a world-class line-up of events and shows throughout the year and managing its waterways
and beaches to ensure safe recreational activities for residents and visitors.

Council is aware of the increasing demand on its ratepayer funded requests and asks that the Funding Board
continues to ensure the amenities in return provide robust financial forecasting. Council also requests that each
amenity is funded from other sources and actively seeks ways to increase their non-rates sources of revenue.

Yours sincerely

[Signature]
Councillor Desley Simpson, JP
Chair, Finance and Performance Committee
Representing Orakei Ward

[Signature]
Councillor Shane Henderson
Deputy Chair, Finance and Performance Committee
Representing Waitakere Ward