

Date: Tuesday, 18 May 2021
Time: 10.07am
Meeting Room: Room 1, Level 26
Venue: 135 Albert Street
Auckland

**Komiti Tātari me te Mātai Raru Tūpono /
Audit and Risk Committee**

OPEN MINUTE ITEM ATTACHMENTS

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11 Enterprise Risk Quarterly Update

- A. 18 May 2021 - Audit and Risk Committee - Item 11: Enterprise Risk
Quarterly Update - presentation

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AUCKLAND COUNCIL ENTERPRISE RISK UPDATE

<p>Overall top risk profile stable No new top risks Issues and emerging risk drivers impacting top risks being actively managed</p>	<p>Four top risks are being managed as priority:</p> <ul style="list-style-type: none"> *Service delivery *Decision making *Data & information *Health, safety & wellbeing 	<p>Emerging risk drivers Action plans being reviewed for:</p> <ul style="list-style-type: none"> Workforce Statutory framework change programme Projects and programme delivery 	<p>Seven of 11 top risks risk description, key drivers & impacts confirmed</p> <p>Commenced work on risk actions to address gaps and strengthen controls and remaining risk assessments.</p>	<p>Risk control and mitigations actions are being integrated with relevant strategic enterprise initiatives including the operational model programme and wellbeing review.</p>

Audit and Risk Committee 18 May 2021

Auckland Council Top Risks

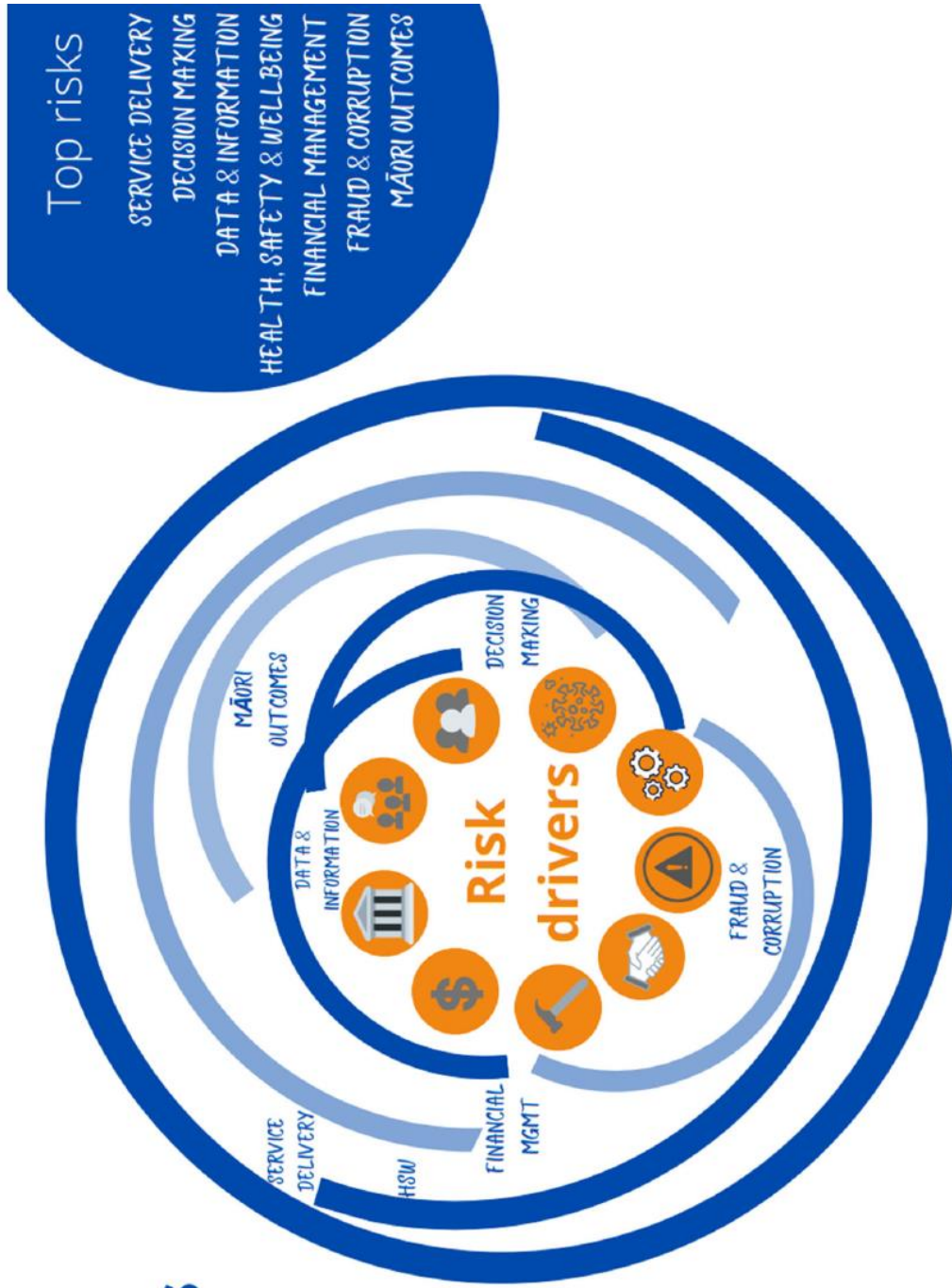
Quarterly review April 2021



Residual Risk Rating Heatmap

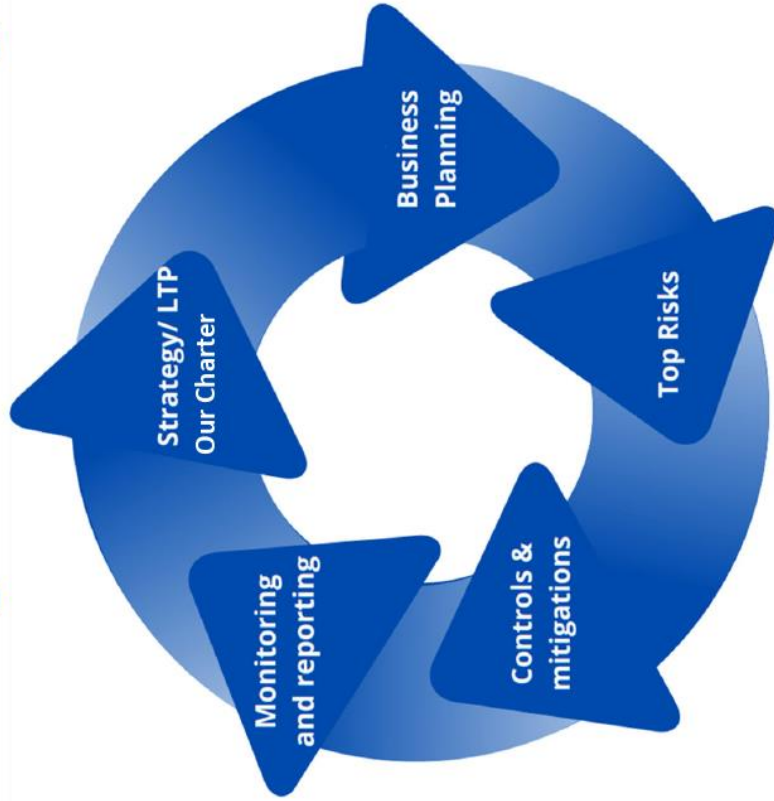
Likelihood		Impact								
5. Almost certain	4. Likely	3. Possible	2. Unlikely	1. Rare	1. Insignificant	2. Minor	3. Moderate	4. Major	5. Extreme	
SD	DM	DI	HS	FM	FC	MO	Strategic Relationships	Organisational Performance	Adaptability	Resilience
Medium	Medium	Medium	Low	Low	Low	Low	Medium	Medium	Medium	Risk Assessments to be completed Q4 2021
High	High	High	Medium	Medium	Medium	Medium	High	High	High	
Critical	Critical	Critical	High	Medium	Medium	Medium	High	High	High	
Critical	Critical	Critical	High	Medium	Medium	Medium	High	High	High	

Top risks- key drivers



- Risk drivers**
- Workforce planning/ culture
 - Customer & community expectations and growing demand
 - Ineffective systems, tools and data management
 - Organisational processes (incl decision making)
 - Central govt- legislative change
 - Financial constraints
 - COVID uncertainty
 - HSW (including stress)
 - Reliance on 3rd parties

Integrated risk management





Questions

