
Kōmiti Tātari me te Mātai Raru Tūpono / Audit and Risk Committee

OPEN MINUTES

Minutes of an extraordinary meeting of the Audit and Risk Committee held in Room 2, Level 25, 135 Albert Street and online on Tuesday, 14 February 2023 at 2.03pm. A recording of the open meeting is available on: <https://www.aucklandcouncil.govt.nz/about-auckland-council/how-auckland-council-works/meetings-council-bodies/Pages/webcasts-council-meetings.aspx>

TE HUNGA KUA TAE MAI | PRESENT

Chairperson	Bruce Robertson	Via electronic link
Deputy Chairperson	Cr Lotu Fuli	Presiding
Members	Paul Connell	Via electronic link
	Cassandra Crowley	Via electronic link until 4.05pm, Item C1
	Cr Julie Fairey	Via electronic link
	Cr Christine Fletcher, QSO	Via electronic link
	Cr Shane Henderson	
	Martin Matthews	Via electronic link

TE HUNGA KĀORE I TAE MAI | ABSENT

Ex-officio members	IMSB Member Tony Kake
	Mayor Wayne Brown
	Deputy Mayor Cr Desley Simpson, JP

TE HUNGA ĀPITI KUA TAE MAI | ALSO PRESENT

Cr Angela Dalton	Via electronic link
Cr Wayne Walker	Via electronic link from 3.30pm, Item C1

HE HUNGA ATU ANŌ I TAE MAI | IN ATTENDANCE

Greg Schollum, Deputy Auditor-General
Athol Graham, Audit Director, Audit New Zealand
Shirley Yan, Audit Manager, Audit New Zealand

Note: In accordance with resolution number [GB/2023/3](#) passed by the Governing Body on 10 February 2023, the in-person quorum for this meeting was two (2). All other members attended the meeting via electronic link.

Note: As the Chairperson, Bruce Robertson, joined the meeting via electronic link the Deputy Chairperson, Cr Lotu Fuli presided.

Deputy Chairperson Cr Lotu Fuli opened the meeting with a karakia.

1 Ngā Tamōtanga | Apologies

Resolution number ARCCC/2023/1

MOVED by Deputy Chairperson Cr L Fuli, seconded by Cr S Henderson:

That the Audit and Risk Committee:

a) **whakaae / accept the apologies from:**

Absence

Mayor W Brown, on council business

IMSB Member T Kake, MNZM

Deputy Mayor Cr D Simpson, JP, on council business

CARRIED

2 Te Whakapuaki i te Whai Pānga | Declaration of Interest

There were no declarations of interest.

3 Ngā Petihana | Petitions

There were no petitions.

4 Ngā Kōrero a te Marea | Public Input

There was no public input.

5 Ngā Kōrero a te Poari ā-Rohe Pātata | Local Board Input

There was no local board input.

6 Ngā Pakihi Autaia | Extraordinary Business

There was no extraordinary business.

7 Potential Long-term Plan Amendment Consultation Document - risk and control update

A draft LTP Amendment Consultation Document representation letter from Audit New Zealand was tabled at the meeting. A copy has been placed on the official minutes and is available on the Auckland Council website as a minutes attachment.

Note: changes to the original motion, adding new clause d), were made with the agreement of the meeting.

Resolution number ARCCC/2023/2

MOVED by Deputy Chairperson Cr L Fuli, seconded by B Robertson:

That the Audit and Risk Committee:

- a) **whiwhi / receive the report on the key controls and risks relating to Auckland Council's proposal to amend its long-term plan in relation to the council's shareholding in Auckland International Airport Limited**
- b) **advise the Governing Body that effective internal control and risk management processes have been followed during the preparation of the consultation material for the proposed amendment**
- c) **advise the Governing Body that there are no significant outstanding audit issues in relation to the proposed amendment**
- d) **recommend that the draft letter of representation be confirmed and signed by the mayor and chief executive.**

CARRIED

Attachments

- A 14 February 2023, Audit and Risk Committee, Item 7 - Potential Long-term Plan Amendment Consultation Document - risk and control update

8 Te Whakaaro ki ngā Take Pūtea e Autaia ana | Consideration of Extraordinary Items

There was no consideration of extraordinary items.

9 Procedural motion to exclude the public

Resolution number ARCCC/2023/3

MOVED by Deputy Chairperson Cr L Fuli, seconded by Cr S Henderson:

That the Audit and Risk Committee:

- a) **exclude the public from the following part(s) of the proceedings of this meeting.**
- b) **agree that Greg Schollum, Deputy Auditor-General, Athol Graham, Audit Director, Audit New Zealand, and Shirley Yan, Audit Manager, Audit New Zealand be permitted to remain for the confidential part of the meeting after the public has been excluded, because of their knowledge of matters which will help the Audit and Risk Committee in its decision-making.**

The general subject of each matter to be considered while the public is excluded, the reason for passing this resolution in relation to each matter, and the specific grounds under section 48(1) of the Local Government Official Information and Meetings Act 1987 for the passing of this resolution follows.

This resolution is made in reliance on section 48(1)(a) of the Local Government Official Information and Meetings Act 1987 and the particular interest or interests protected by section 6 or section 7 of that Act which would be prejudiced by the holding of the whole or relevant part of the proceedings of the meeting in public, as follows:

C1 CONFIDENTIAL: Office of the Auditor-General and Audit New Zealand briefing

Reason for passing this resolution in relation to each matter	Particular interest(s) protected (where applicable)	Ground(s) under section 48(1) for the passing of this resolution
The public conduct of the part of the meeting would be likely to result in the disclosure of information for which good reason for withholding exists under section 7.	s7(2)(c)(i) - The withholding of the information is necessary to protect information which is subject to an obligation of confidence or which any person has been or could be compelled to provide under the authority of any enactment, where the making available of the information would be likely to prejudice the supply of similar information or information from the same source and it is in the public interest that such information should continue to be supplied. In particular, the briefing paper from the Office of the Auditor-General covers work being performed by council staff and is still subject to a final opinion.	s48(1)(a) The public conduct of the part of the meeting would be likely to result in the disclosure of information for which good reason for withholding exists under section 7.

The text of these resolutions is made available to the public who are present at the meeting and form part of the minutes of the meeting.

CARRIED

2.27pm The public was excluded.

Resolutions in relation to the confidential items are recorded in the confidential section of these minutes and are not publicly available.

4.36pm The public was re-admitted.

RESTATEMENTS

It was resolved while the public was excluded:

C1 CONFIDENTIAL: Office of the Auditor-General and Audit New Zealand briefing

Resolution number ARCCC/2023/4

MOVED by Deputy Chairperson Cr L Fuli, seconded by Member P Connell:

That the Audit and Risk Committee:

- a) whiwhi / receive the information provided by the Auditor-General's representatives, Deputy Auditor-General Greg Schollum and Audit Director Athol Graham, and thank them for their attendance
- b) record its discomfort with the words of the pop-up on page 88 of the consultation document on the proposed airport shares sale and delegates to the Chairperson to work with management to discuss options for addressing the committee's concerns
- c) tuhi a-taipitopito / note the feedback from the Office of the Auditor-General and their preparedness to provide a unmodified opinion on the current draft

Restatement

- d) whakaae / agree that the report and attachment will remain confidential, but the decisions be restated in the open minutes.

Deputy Chairperson Cr Lotu Fuli closed the meeting with a karakia.

4.36pm

The Chairperson thanked Members for their attendance and attention to business and declared the meeting closed.

CONFIRMED AS A TRUE AND CORRECT RECORD
AT A MEETING OF THE AUDIT AND RISK
COMMITTEE HELD ON

DATE:.....

CHAIRPERSON:.....