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# Kōmiti Tātari me te Mātai Raru Tūpono / Audit and Risk Committee

## OPEN MINUTES

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Minutes of an extraordinary meeting of the Audit and Risk Committee held in Room 1, Level 26, 135 Albert Street, Auckland on Friday, 2 June 2023 at 10.05am. A recording of the open meeting is available on: <https://www.aucklandcouncil.govt.nz/about-auckland-council/how-auckland-council-works/meetings-council-bodies/Pages/webcasts-council-meetings.aspx>

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### TE HUNGA KUA TAE MAI | PRESENT

<b>Chairperson</b>	Bruce Robertson	
<b>Members</b>	Paul Connell	
	Cassandra Crowley	Via electronic link
	Cr Julie Fairey	Via electronic link
	Cr Christine Fletcher, QSO	
	Cr Shane Henderson	
<b>Ex-officio</b>	Martin Matthews	Via electronic link
	Mayor Wayne Brown	From 10.22am, Item C1

### TE HUNGA KĀORE I TAE MAI | ABSENT

<b>Deputy Chairperson</b>	Cr Lotu Fuli
<b>Ex-officio</b>	IMSB Member Tony Kake, MNZM Deputy Mayor Cr Desley Simpson, JP

### HE HUNGA ATU ANŌ I TAE MAI | IN ATTENDANCE

Andrew McConnell, Deputy Controller and Auditor-General  
Athol Graham, Audit Director, Audit New Zealand  
Shirley Yan, Audit Manager, Audit New Zealand

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## 1 Ngā Tamōtanga | Apologies

Resolution number ARCCC/2023/39

MOVED by Chairperson B Robertson, seconded by Cr S Henderson:

**That the Audit and Risk Committee:**

a) **whakaae / accept the apologies from:**

**Absence**

**Deputy Chairperson Cr L Fuli, on council business**

**IMSB member T Kake, MNZM**

**Deputy Mayor Cr D Simpson, JP, on council business**

**Lateness**

**Mayor W Brown, on council business**

**CARRIED**

## 2 Te Whakapuaki i te Whai Pānga | Declaration of Interest

There were no declarations of interest.

## 3 Ngā Petihana | Petitions

There was no petitions section.

## 4 Ngā Kōrero a te Marea | Public Input

There was no public input section.

## 5 Ngā Kōrero a te Poari ā-Rohe Pātata | Local Board Input

There was no local board input section.

## 6 Ngā Pakihi Autaia | Extraordinary Business

There was no extraordinary business.

## 7 Potential Long-term Plan Amendment - risk and control update

Staff spoke to their report and answered questions from members.

## 8 Te Whakaaro ki ngā Take Pūtea e Autaia ana | Consideration of Extraordinary Items

There was no consideration of extraordinary items.

**9 Procedural motion to exclude the public**

Resolution number ARCCC/2023/40

MOVED by Cr S Henderson, seconded by Member P Connell:

**That the Audit and Risk Committee:**

- a) whakaae / agree to exclude the public from the following part(s) of the proceedings of this meeting.
- b) whakaae / agree that Andrew McConnell, Deputy Controller and Auditor-General, Athol Graham, Audit Director, Audit New Zealand and Shirley Yan, Audit Manager, Audit New Zealand be permitted to remain for the confidential part of the meeting after the public has been excluded, because of their knowledge of matters which will help the Audit and Risk Committee in its decision-making.

The general subject of each matter to be considered while the public is excluded, the reason for passing this resolution in relation to each matter, and the specific grounds under section 48(1) of the Local Government Official Information and Meetings Act 1987 for the passing of this resolution follows.

This resolution is made in reliance on section 48(1)(a) of the Local Government Official Information and Meetings Act 1987 and the particular interest or interests protected by section 6 or section 7 of that Act which would be prejudiced by the holding of the whole or relevant part of the proceedings of the meeting in public, as follows:

**C1 CONFIDENTIAL: Office of the Auditor-General and Audit New Zealand briefing**

Reason for passing this resolution in relation to each matter	Particular interest(s) protected (where applicable)	Ground(s) under section 48(1) for the passing of this resolution
<p>The public conduct of the part of the meeting would be likely to result in the disclosure of information for which good reason for withholding exists under section 7.</p>	<p>s7(2)(c)(i) - The withholding of the information is necessary to protect information which is subject to an obligation of confidence or which any person has been or could be compelled to provide under the authority of any enactment, where the making available of the information would be likely to prejudice the supply of similar information or information from the same source and it is in the public interest that such information should continue to be supplied.</p> <p>In particular, the briefing from the Office of the Auditor-General covers work being performed by council staff and is still subject to a final opinion.</p>	<p>s48(1)(a)</p> <p>The public conduct of the part of the meeting would be likely to result in the disclosure of information for which good reason for withholding exists under section 7.</p>

The text of these resolutions is made available to the public who are present at the meeting and form part of the minutes of the meeting.

**CARRIED**

10.18am The public was excluded.

Resolutions in relation to the confidential items are recorded in the confidential section of these minutes and are not publicly available.

## **RESTATEMENTS**

It was resolved while the public was excluded:

### **C1 CONFIDENTIAL: Office of the Auditor-General and Audit New Zealand briefing**

Resolution number ARCCC/2023/41

MOVED by Chairperson B Robertson, seconded by Mayor W Brown:

That the Audit and Risk Committee:

- a) whiwhi / receive the information provided by the Auditor-General's representatives, Deputy Controller and Auditor-General Andrew McConnell, Audit Director Athol Graham and Audit Manager Shirley Yan and thank them for their attendance
- b) mihi / acknowledges the changing financial environment of Council and request management brief the Council at its 8 June 2023 meeting on the current and potential demands on Council's financial resources

#### **Restatement**

- c) whakaae / agree that the report will remain confidential, but the decisions be restated in the open minutes.

11.44am The public was re-admitted.

### **7 Potential Long-term Plan Amendment - risk and control update - continued**

Resolution number ARCCC/2023/43

MOVED by Mayor W Brown, seconded by Cr S Henderson:

**That the Audit and Risk Committee:**

- a) **whiwhi / receive the report on the key controls and risks relating to Auckland Council's proposal to amend its long-term plan in relation to the council's shareholding in Auckland International Airport Limited**
- b) **whakaae / agree to advise the Governing Body that effective internal control and risk management processes have been followed during the preparation of the proposed amendment**
- c) **whakaae / agree to advise the Governing Body that there are no significant outstanding audit issues in relation to the proposed amendment.**

**CARRIED**

11.46am

The Chairperson thanked Members for their attendance and attention to business and declared the meeting closed.

CONFIRMED AS A TRUE AND CORRECT RECORD  
AT A MEETING OF THE AUDIT AND RISK  
COMMITTEE HELD ON

**DATE:**.....

**CHAIRPERSON:**.....